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(57) Abstract <p>The present invention provides a method of producing a herbicide-resistant plant, which method entails delivering one or more herbicide resistance-conferring selectable marker genes into and expressing the same within the plastid of the plant, both in photosynthetic as well as non-photosynthetic cells. Nucleic acids for transformation and multicellular plants whose plastids have been transformed are also provided.</p>			

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**IMPROVED PLASTID TRANSFORMATION OF HIGHER PLANTS AND  
PRODUCTION OF TRANSGENIC PLANTS WITH HERBICIDE**

**RESISTANCE**

This is a continuation-in-part of U.S. Application Serial No. 08/899,061, filed  
5 July 23, 1997.

**BACKGROUND OF THE INVENTION**

**Field of the Invention**

The present invention relates to the genetic engineering of plant plastids,  
particularly plastids of non-photosynthetic cells. The invention provides selectable  
10 marker genes and genetic constructs for the expression of foreign genes in the plastids  
of higher plant species. The invention also provides a novel approach to creating  
herbicide resistance in transgenic plants and transgenic plants thereby produced.

**Background of the Invention**

Extensive work has been carried out during the past decade in the development  
15 of glyphosate-resistant plants (for review, see G. Barry *et al.* in Biosynthesis and  
Molecular Regulation of Amino Acids in Plants., B.K. Singh *et al.* [ed.], Am. Soc. Plant  
Physiologists, Rockville, MD (1992)). One of the many attractive features of this non-  
selective herbicide is its rapid degradation by soil microorganisms. Glyphosate  
specifically binds to and blocks the activity of 5-enolpyruvylshikimate-3-phosphate  
20 synthase (EPSP synthase, EPSPS) (E.C. 2.5.1.19) (Steinrucken and Amrhein, *Biochem.*  
*Biophys. Res. Comm.* 94, 1207 (1980)), an enzyme of the aromatic amino biosynthesis  
pathway. EPSPS catalyzes the reaction of shikimate-3-phosphate and  
phosphoenolpyruvate (PEP) to form 5-enolpyruvylshikimate-3-phosphate (EPSP) and  
phosphate. Glyphosate is a competitive inhibitor of EPSPS with respect to PEP, and  
25 prevents the synthesis of aromatic amino acids essential for the synthesis of protein and

certain secondary metabolites. Importantly, EPSPS activity is plastid-localized; it is a nuclear-encoded protein that is synthesized in the cytosol and then imported into the plastid, the site of aromatic amino acid biosynthesis (della-Cioppa *et al.*, *Proc. Natl. Acad. Sci. USA* **83**, 6873 (1986) ("della-Cioppa I").

5           The *E. coli hph* gene has been widely utilized as a selectable marker gene for the recovery of hygromycin B-resistant nuclear transformants, both microbial and plant (Gritz and Davies, *supra*; C. Waldron *et al.*, *Plant Mol. Biol.*, **5**, 103 (1985)). The *hph* gene product, hygromycin phosphotransferase (HPH), confers resistance by phosphorylation of the antibiotic, hygromycin B. Peñaloza-Vazquez *et al.* (*Appl. Environ. Microbiol.* **61**, 538 (1995) ("Peñaloza-Vazquez I")) demonstrated that  
10           glyphosate was also utilized as a substrate for phosphorylation by hygromycin phosphotransferase, thus permitting the growth of glyphosate-resistant *E. coli* and tobacco plants. Peñaloza-Vazquez and co-workers (Peñaloza-Vazquez *et al.*, *Plant Cell Rep.* **14**, 482 (1995) ("Peñaloza-Vazquez II")) expressed the *E. coli hph* gene in the  
15           nucleus of tobacco cells to recover glyphosate-tolerant tobacco plants. With nuclear expression, however, glyphosate resistance levels were low in the transgenic plants (perhaps due to the cytosolic localization of HPH).

          In 1995, Peñaloza-Vazquez and colleagues (Peñaloza-Vazquez I) described the isolation of a glyphosate-degrading bacterial strain, *Pseudomonas pseudomallei* 22, from  
20           glyphosate-treated soil. They further described the cloning and characterization of two genes, *glpA* and *glpB*, which were involved in the degradation of glyphosate. The *glpA* deduced amino acid sequence revealed a significant level of identity to the *E. coli hph* gene, suggesting that *glpA* encoded a phosphotransferase enzyme. This was confirmed when they demonstrated that the *glpA* enzyme could utilize both glyphosate and  
25           hygromycin B as a substrate for phosphorylation (like the HPH phosphotransferase). The

*glpB* DNA and deduced amino acid sequence had no significant homology with any other DNA or protein sequences.

Gene expression studies in *E. coli* revealed that cells harboring *glpA* were able to grow in the presence of 100 µg/ml hygromycin B whereas the host strain was inhibited by a concentration of 50 µg/ml, thus confirming its phosphotransferase activity (Peñaloza-Vazquez I). *E. coli* cells harboring *glpB* alone were able to utilize glyphosate as the sole phosphorous source, suggesting that *glpB* encodes an enzyme with glyphosate-degrading activity (Peñaloza-Vazquez I). Addition of aromatic amino acids was still required, however, to support the growth of *glpB*-expressing *E. coli* cells. This suggested that the *glpB* protein was only able to metabolize sufficient amounts of glyphosate to support the phosphorous requirements of the cell but not relieve the inhibition of aromatic amino acid biosynthesis conferred by the residual amount of intracellular glyphosate. But when *glpB* was co-expressed with *glpA* in *E. coli*, glyphosate was very rapidly degraded. Taken together, these results supported the conclusion that the phosphorylated form of glyphosate was far more readily utilized as a substrate for degradation by the *glpB* protein than the non-phosphorylated form. Although the enzymatic activity of the *glpB* enzyme remains yet uncertain, Peñaloza-Vazquez *et al.* speculated that it probably converts glyphosate by cleavage of the N-C bond to a breakdown intermediate, aminomethylphosphonic acid.

Attempts to create glyphosate-resistant plants through the over-expression of wild-type and mutant forms of EPSPS in transgenic plants have been met with varied degrees of success. Much attention has focused on the generation and characterization of novel EPSPS mutants created at the site of glyphosate binding to identify those forms that were both highly glyphosate-tolerant and still bound the PEP substrate comparably to the wild-type EPSPS (Padgett *et al.*, *J. Biol. Chem.* 266, 22364 (1991); T. Ruff *et al.*,

*Plant Physiol.* 96, 94 (1991)). Other studies have identified the critical importance of directing the glyphosate-tolerant EPSPS proteins to the plastid (della-Cioppa *et al.*, *Bio/Technology* 5, 579 (1987) ("della-Cioppa II")).

In 1992, Barry and co-workers (Barry *et al.* in, "Biosynthesis And Molecular  
5 Regulation Of Amino Acids In Plants" (B.K. Singh *et al.* [ed.], Am. Soc. Plant  
Physiologists, Rockville, MD (1992))) described the isolation and characterization of  
EPSPS from *Agrobacterium* sp. strain CP4. The CP4 EPSPS exhibited very favorable  
biochemical parameters, namely high glyphosate tolerance and tight binding for PEP,  
which strongly suggested that expression of the CP4 EPSPS gene in transgenic plants  
10 might lead to high levels of glyphosate tolerance. This prediction has been borne out as  
the CP4 EPSPS gene has been introduced into soybean nucleus to create highly  
glyphosate-tolerant soybeans (Padgett *et al.*, *Crop Science* 35, 1451 (1995)), which are  
now commercially available to farmers as ROUNDUP® READY® soybeans. Zhou and  
colleagues (Zhou *et al.*, *Plant Cell Rep.* 15, 159 (1995)) have demonstrated that  
15 bombardment with the CP4 EPSPS gene, under control of the duplicated CaMV 35S  
promoter (Kay *et al.*, *Science* 236, 1299 (1987)), into non-photosynthetic embryogenic  
callus resulted in nuclear expression of the bacterial enzyme and permitted the recovery  
of glyphosate-resistant, transgenic wheat plants.

There has been much work focusing on techniques for transforming the plastid.  
20 Cannon *et al.* (EP 0 251 654) generally discusses the transformation of plastid genomes  
with heterologous DNA employing a selectable marker gene. McBride *et al.* (*Proc. Natl.*  
*Acad. Sci. USA* 91, 7301 (1994)) demonstrated that exceptionally high levels of transgene  
expression are achievable in plastid transformants. Biolistic transformation (for review,  
see Sanford *et al.*, *Methods Enzymol.* 217, 483 (1992)) of the chloroplast genome of the  
25 freshwater unicellular green alga, *Chlamydomonas reinhardtii*, was the first report

(Boynton *et al.*, *Science* **240**, 1534 (1988)) of genetic modification of this organellar DNA in any organism. Later, Blowers *et al.* (*Plant Cell* **1**, 123 (1989)) demonstrated that foreign genes could be stably maintained on the chloroplast chromosomes of *Chlamydomonas*. The first transient transformation of chloroplasts in intact higher plant cells was demonstrated in 1990 (Daniell *et al.*, *Proc. Natl. Acad. Sci. USA* **87**, 88 (1990);  
5 Ye *et al.*, *Plant Mol. Biol.* **15**, 809 (1990)). Daniell has reviewed higher plant chloroplast transformation (Daniell, *Methods Enzymol.* **117**, 536 (1993)). In 1993, Svab and Maliga (*Proc. Natl. Acad. Sci. USA* **90**, 913 (1993)) extended plastid transformation technology by reporting the stable maintenance of a transgene on *Nicotiana tabacum* (tobacco)  
10 chloroplast chromosomes. Very recently, Daniell *et al.* (*Nature Biotech.* **16**, 345 (1998)) employed the *aadA* gene and spectinomycin selection to deliver and over-express EPSPS in tobacco chloroplasts and have shown that this can confer glyphosate tolerance.

To recover photosynthetic tobacco cell plastid transformants, regenerable leaf tissue is bombarded with gold or tungsten microparticles carrying genetic constructs for  
15 the expression of selectable marker genes like *aadA* (Svab and Maliga, *supra*) and *nptII* (Carrer *et al.*, *Mol. Gen. Genet.* **241**, 49 (1993)), which confer resistance to the antibiotics, spectinomycin and kanamycin, respectively. After bombardment, tissues are placed onto regeneration medium containing the selective agent to recover antibiotic-resistant plants that express the foreign genes in their chloroplasts. Molecular analysis  
20 has revealed that integration of the transgenes occurs by two homologous recombination events (Svab and Maliga, *supra*), which lead to direct replacement of the targeted area within the chloroplast genome by the introduced DNA.

Transgenes that express an easily assayable reporter enzyme like  $\beta$ -D-glucuronidase (GUS) have helped to elucidate molecular mechanisms that govern gene  
25 expression in the chloroplast (Staub and Maliga, *Plant J.* **7**, 845 (1995)). In addition,

expression of agronomically-important genes like *Bt* toxin genes from *Bacillus thuringiensis* (McBride *et al.*, *Bio/technology* 13, 362 (1995)) has fueled interest in manipulating the plastid genome for the purpose of crop improvement.

Daniell *et al.* (U.S. Patent No. 5,693,507) and Daniell *et al.* (*Nature Biotech.* 16, 345 (1998)) disclose methods for transforming plant chloroplasts with exogenous genes, in particular with modified EPSPS genes.

U.S. Patent 5,451,513 to Maliga and Maliga teaches transformation of photosynthetic plant plastids with selectable marker genes that when expressed at sufficient levels renders the plant cell resistant to non-lethal antibiotics such as streptomycin and spectinomycin. The '513 patent stresses the importance of using a non-lethal selection system, whereby cells that contain a small number of transformed plastids are able to survive long enough to enable the transformed plastids to replicate to a sufficient number for the cell to achieve homoplasmy and express the transformed phenotype.

Over the past several years, a variety of different foreign genes have been introduced and expressed in the chloroplasts of only a single higher plant species, tobacco (for review, see Maliga, *Trends in Biotech.* 11, 101 (1993)). Reports of successful chloroplast transformation have been limited to tobacco in large part due to the high regeneration capability of its leaf tissue. An additional advantage to utilizing leaf tissue includes the presence of a large number of transcriptionally-active chloroplasts per cell.

In most plants, including maize, wheat, rice, cotton, turfgrass and oat, however, plant regeneration is not feasible using leaf tissue. In these species and many others, plant regeneration is most easily accomplished through the route of somatic embryogenesis, which involves non-photosynthetic plant tissue, the most common source of material for genetic transformation and subsequent regeneration. Typically, rates of

plant regeneration in these tissue culture systems do not approach that observed for tobacco leaf tissue. In such non-photosynthetic plant tissues (*e.g.*, embryogenic callus and embryogenic cell suspensions), undifferentiated plastids, or proplastids, are present instead of the fully differentiated and functional chloroplasts that develop in green leaf tissue. Since most plant regeneration regimes must be initiated from non-photosynthetic callus or suspension cells, the range of plants whose plastid genomes can be genetically engineered by existing techniques is greatly limited.

A major factor that has severely limited the genetic manipulation of the chloroplast genomes of many plant species has been the lack of a reliable selective agent (and associated selectable marker gene) for the recovery of plastid transformants. Although the spectinomycin resistance phenotype conferred by expression of the *aadA* gene in the plastid has been useful for the recovery of tobacco plastid transformants, it has not been broadly applicable. For example, in attempts to generate plastid transformants of *Petunia hybrida* (petunia), we and others (Hanson, personal communication) have noted that exposure of petunia explants to spectinomycin interferes with the regeneration process of petunia shoots, thereby preventing the recovery of petunia plastid transformants. Also, recovery of *bona fide* spectinomycin-resistant tobacco plastid transformants has been complicated by the concomitant regeneration of spontaneous tobacco mutants that gain resistance to spectinomycin (Svab and Maliga, *supra*). Finally, it has been very uncertain whether spectinomycin, an antibiotic that acts in plants by inhibiting photosynthesis, would be efficacious in the recovery of plastid transformants after DNA introduction into non-photosynthetic plant tissues (*e.g.*, callus and suspension cells).

## SUMMARY OF THE INVENTION

To circumvent problems inherent in the prior art and to expand the range of plant species susceptible to plastid transformation, the present inventors sought to identify a selective agent that would permit a more broad application of plastid transformation technology. We discovered that the non-selective herbicide, glyphosate (*N*-phosphonomethyl-glycine), the active ingredient in ROUNDUP® herbicide (Malik *et al.*, *BioFactors* 2, 17 (1989)), works remarkably well as a chloroplast selective agent and results in much more efficient selection than spectinomycin selection. Despite the observations that glyphosate is a nonselective herbicide, acts primarily in the plastid, and has been successfully used as a selective agent involving non-photosynthetic tissues for the recovery of nuclear expressed glyphosate-resistant transformants, one could not have been reasonably certain that glyphosate would also have utility in higher plant plastid transformations for selection of transformants or for production of plants having commercially acceptable levels of resistance to glyphosate.

The present invention is based upon our realization that the expression of a gene (or genes) in the plastid to confer herbicide (particularly glyphosate) tolerance in transgenic plants would have significant commercial potential. The exceptionally high levels of transgene expression that are achievable in plastid transformants (McBride *et al.*, *supra*) would be favorable for conferring commercially-acceptable levels of herbicide, particularly glyphosate, tolerance. Moreover, since plastid-borne genes are not normally transmitted through the pollen, but only through the egg, the presence of glyphosate resistance transgene(s) on the chloroplast chromosome only would be a very attractive feature when addressing environmental concerns surrounding the issue of transgene dissemination into related "weedy" species. Furthermore, we realized that since many herbicides act within the plastid, expressing herbicide-inactivating genes in

the plastid would circumvent the need for the transport of nuclear-encoded, cytosol-synthesized enzymes into the plastid. To date, however, a means for achieving the foregoing has remained elusive.

Consistent with the foregoing, the present invention provides methods of transforming the plastid (particularly proplastid) genome of a plant with a nucleic acid comprising one or a plurality of selectable marker genes that confer herbicide resistance to the transform plant cells. More particularly, such selectable marker genes express an enzyme that inactivates an herbicide. Gene expression in plastids/proplastids transformed according to the invention occurs at levels that enable a plant having the transformed plastids/proplastids to survive contact with at least the minimal amount of herbicide that would kill an otherwise similar wild-type plant.

In a preferred embodiment, the method comprises plastid transformation with nucleic acids comprising one or a plurality of genes that express enzymes that inactivate glyphosate, phosphinothricin ("PPT") (which is 2-amino-4-(methyl(hydroxyphosphoryl))butanoic acid, the active ingredient in RELY® and FINALE®), or glufosinate (the ammonium salt of phosphinothricin and the active ingredient in BASTA®). (Because their molecular mechanisms of action are the same for the purposes of this invention, the terms "glufosinate" and "PPT" are used interchangeably herein.) In a preferred embodiment, the methods comprise transformation with nucleic acids comprising genes that express proteins that inactivate glyphosate. The *hph* and *glpA* genes are most preferred. While we have found that the *glpB* gene does not itself confer glyphosate resistance, when co-transfected with either or both of the *hph* and *glpA* genes, the *glpB* gene enhances the degree of glyphosate resistance of the transformed plant cell.

In another preferred embodiment, the methods comprise transformation with nucleic acids comprising genes that express proteins that inactivate the herbicide glufosinate. Particularly preferred are the *bar* and *pat* genes.

As implied before, the nucleic acids that are transfected according to the methods of the present invention can comprise a plurality of genes for expression. The genes can encode the same or different enzymes. Consequently, in a preferred embodiment of this aspect of the invention, the methods utilize nucleic acids comprising a plurality of different genes. In one preferred embodiment, the nucleic acid comprises a first gene, which encodes an enzyme that inactivates a first herbicide, and a second gene. The second gene can be a reporter gene or one that produces another desirable phenotypic characteristic, including, but not limited to, resistance to a second herbicide, resistance to an insect or other pathogenic infection, robustness to adverse environmental conditions, and aesthetically pleasing physical characteristics. In another embodiment, the nucleic acid further comprises a third gene, different from the first two, that encodes another desirable phenotypic characteristic, as described above.

Surprisingly, we have found that despite there being many fewer genome copies in proplastids as compared to differentiated plastids (particularly chloroplasts), the methods of the present invention are capable of transforming these organelles. Moreover, the present invention presents for the first time methods that are capable of transforming non-photosynthetic cells, where the number of proplastid genome copies is often an order of magnitude smaller than photosynthetic cells rich in chloroplasts. For many plant species, transformation via proplastids is the only practical route to successful plastid transformation.

The methods of the present invention extend, to a surprising extent, the range of plant species whose plastid genomes may be transformed. The methods of the invention

can be used to confer commercially-acceptable levels of resistance to the herbicide glyphosate when whole plants are regenerated.

Expression cassettes, for the expression of selectable marker genes, reporter genes, or other genes and nucleic acid constructs of interest in the plastid are also  
5 described.

The present invention further comprises multicellular plant tissues (particularly whole plants and calli) whose plastids and/or proplastids have been transformed in accordance with the methods of the invention.

In another aspect, the invention also comprises methods and compounds for the  
10 transformation of plastids of non-photosynthetic cells with the *aadA* gene, whose expression product confers resistance to the antibiotic spectinomycin. Further provided by this aspect of the invention are multicellular plant tissues having proplastids transformed with the *aadA* gene. That transformation of proplastids with the *aadA* gene confers resistance to a non-photosynthetic cell (in which the proplastids are found) is a  
15 surprising result because spectinomycin is believed to interfere with the photosynthetic process, which, of course, is not active in non-photosynthetic cells.

The foregoing merely summarizes certain aspects of the invention and is not intended, nor should it be construed, as limiting the invention, which is described more fully below. All patents and publications recited herein are hereby incorporated by  
20 reference in their entirety.

#### BRIEF DESCRIPTION OF THE DRAWINGS

**Fig. 1.** *DNA sequence of the petunia chloroplast 16S rDNA promoter region, transcription initiation site, and petunia rbcL leader sequence and ribosome binding site used in the plastid expression cassettes. The P<sub>m</sub> fragment includes sequences from the*

petunia chloroplast 16S rDNA promoter of the ribosomal RNA operon and the 16S rRNA transcription initiation site. Leader sequences and a ribosome binding site (RBS) based upon the petunia *rbcL* gene are also present. The canonical -35 and -10 elements of the 16S rDNA promoter are underlined. The site of transcription initiation is marked by the asterisks. The RBS element is highlighted in bold while the translational initiation codon is both highlighted in bold and underlined.

**Fig. 2.** Diagrammatic representation of the plastid expression cassettes for the expression of foreign genes in non-photosynthetic plastids. The reporter-*aadA* (pSAN347), *glpB-aadA* (pSCO1) and *hph-aadA* (pSCO2) dicistronic operons, and the *glpB-hph-aadA* (pSCO3) polycistronic operon are under control of the 16S rDNA promoter ( $P_m$ ), and the 3' region from the tobacco plastid *psbA* gene ( $T_{psbA}$ ). In pSCO35, the *hph* gene is under the control of the 16S rDNA promoter and the 3' region from the petunia plastid *rbcL* gene ( $TrbcL$ ). Although the genes are co-transcribed from the 16S rDNA promoter, each protein-coding region has its own RBS element for efficient translation initiation of separate proteins (as indicated by the arrows).

**Fig. 3.** Gene insertion into the tobacco plastid genome. The plastid targeting fragments from the petunia chloroplast genome found in plasmids pSAN308 (A) and pSAN307 (B) for use in the construction of plasmids pSAN347 (A) and pSCO1-pSCO3 (B), respectively, are shown. After integration of the foreign genes into the tobacco plastid genome by homologous recombination, the transgenic chromosomes will have the physical structures shown for pSAN347 (C), pSCO2 (D) and pSCO3 (E). The striped boxes represent the introduced foreign genes. The arrowheads in (A) - (E) show the direction of transcription. Abbreviations are as follows: B, *Bam* HI; H, *Hinc* II; P, *Pst* I; and S, *Sac* I. Note that the *Hinc* II site shown at the end of ORF70B (other *Hinc* II sites in this region are not shown) is the insertion site for the expression cassettes.

**Fig. 4.** *Reporter activity in spectinomycin-resistant, pSAN347 tobacco NT1 plastid transformants.* (A) Spectinomycin-resistant NT1 transformants recovered after bombardment with pSAN347 plasmid DNA were incubated in buffer to detect reporter gene activity. Observable indications of reporter gene expression were detected within five minutes after addition of substrate. (B). The pSAN347 transformants manifesting expression of the reporter in (A) were assayed for reporter gene activity. Untransformed NT1 cells (control) and nuclear-transformed, kanamycin-resistant NT1 cell lines (pBI426) expressing the reporter gene under control of the enhanced version of the CaMV 35S promoter were also assayed. The values shown represent the mean of twenty transformants.

**Fig. 5.** *DNA gel blot analysis of spectinomycin-resistant, pSAN347 tobacco NT1 plastid transformants.* Total cellular DNA was isolated, digested with *Bam* HI, transferred to nylon, and probed with a radiolabeled reporter gene fragment. Note that the reporter gene probe hybridizes to a high-copy 6.3 kb fragment in the transgenic lines (lanes 3-9) and no hybridization is detected in the DNA sample from untransformed cells (lane 2). The signal in lane 1 represents hybridization to the reporter gene-containing restriction fragment that was used for radiolabeling.

**Fig. 6.** *Correct integration of the pSAN347 plastid expression cassette into the plastid chromosomes in spectinomycin-resistant NT1 plastid transformants.* Total cellular DNA was isolated, digested with *Bam* HI, transferred to nylon, and probed with the radiolabeled 3.3 kb *Bam* HI plastid DNA fragment from pSAN307 that comprises the plastid targeting fragment in pSCO2. Note that the pSAN307 probe hybridizes to a high-copy 3.3 kb fragment in the wild-type chromosomes of untransformed cells (lane 3) and a larger, high-copy 6.3 kb fragment in the transgenic chromosomes of the spectinomycin-resistant NT1 cell lines (lanes 4-7). The signal in lane 1 represents hybridization to the

petunia chloroplast DNA-containing restriction fragment that was used for radiolabeling.

Lane 2 is empty.

**Fig. 7.** *The pSCO2 and pSCO3 glyphosate-resistant tobacco transformants contain high levels of HPH phosphotransferase activity.* Cell-free extracts from  
5 glyphosate-resistant cells maintained on glyphosate-containing medium were prepared and assayed for the presence of HPH phosphotransferase activity using glyphosate as the substrate. Extracts were prepared from both glyphosate-resistant tobacco NT1 (NT1) and regenerable (NT-R) calli. Extracts prepared from untransformed cells (controls) grown on medium lacking glyphosate were also assayed.

10 **Fig. 8.** *DNA gel blot analysis of glyphosate-resistant, pSCO2 NT1 plastid transformants.* Total cellular DNA was isolated, digested with *Bam* HI, transferred to nylon, and probed with a radiolabeled *hph* fragment. Note that the *hph* probe hybridizes to a high-copy 5.5 kb fragment in the transgenic lines (lanes 3-9) and no hybridization is detected in the DNA sample from untransformed cells (lane 2). The signal in lane 1  
15 represents hybridization to the *hph*-containing restriction fragment that was used for radiolabeling.

**Fig. 9.** *Correct integration of the pSCO2 plastid expression cassette into the plastid chromosome in glyphosate-resistant NT1 plastid transformants.* Total cellular DNA was isolated, digested with *Bam* HI, transferred to nylon, and probed with the  
20 radiolabeled 3.3 kb *Bam* HI petunia chloroplast DNA fragment from pSAN307 that comprises the plastid targeting fragment in pSCO2. Note that the pSAN307 probe hybridizes to a high-copy 3.3 kb fragment in the wild-type chromosomes of untransformed cells (lane 3) and a larger, high-copy 5.5 kb fragment in the transgenic chromosomes of the glyphosate-resistant NT1 cell lines (lanes 4-10). The signal in lane

1 represents hybridization to the petunia chloroplast DNA-containing restriction fragment that was used for radiolabeling. Lane 2 is empty.

**Fig. 10.** *The  $P_m$  fragment used to direct transcription of the plastid selectable marker and reporter genes does not contain a putative nep promoter.* The DNA sequences of the tobacco (Nt), mustard (Sa), soybean (Gm), spinach (So) and maize (Zm) 16S rDNA promoter regions, and the petunia-derived sequence used in the plastid expression vectors ( $P_m$ ) are shown. Dashes indicate spaces that were introduced to maximize sequence alignment. The canonical -35 and -10 elements of the *pep* promoter for each plant species are underlined. The sites of transcription initiation from the tobacco *pep* promoter are shown below the asterisks. The putative *nep* promoter identified for the tobacco 16S rDNA gene, and the sequences homologous to this promoter in the other plant species are highlighted in bold. The site of transcription initiation that has been identified in transgenic tobacco plants that lack the *rpoB* subunit of the chloroplast-encoded RNA polymerase is marked by the single, solid dot. The ribosome-binding site and the translation initiation codon in the  $P_m$  fragment are italicized and outlined, respectively. The consensus sequence for the putative *nep* promoter that has been identified by Maliga and colleagues is shown at the bottom. Transcription initiation at the consensus *nep* promoter occurs at one (or more) of the last three A residues marked with the solid dots.

**Fig. 11.** *Gene insertion into monocot plant plastid genomes.* (A) The plastid targeting fragment from the bentgrass chloroplast genome in plasmid pSCO5 for use in the construction of plasmids pSCO6 - pSCO11 (B) is shown. The arrowheads in (A) and (B) show the direction of transcription. Abbreviations are as follows: S, *Sac* I; and X, *Xba* I. The *Sac* I sites at each end of the plastid DNA fragment in (A) were added during the cloning process and are not necessarily found at these sites in the natural bentgrass

plastid chromosome. Note that the unique *Xba* I site shown downstream from ORF72 is the insertion site for the expression cassettes. The individual components of the expression cassettes shown in (B) are as described for Fig. 2.

**Fig. 12.** *The glyphosate-resistant pSCO2 and pSCO3 tobacco plants contain high levels of HPH phosphotransferase activity.* Leaf cell-free extracts were prepared from glyphosate-resistant pSCO2 and pSCO3 plants maintained *in vitro* on glyphosate-containing medium, and assayed for the presence of HPH phosphotransferase activity using glyphosate as the substrate. A leaf extract prepared from a untransformed plant (control) grown on medium lacking glyphosate was also assayed.

**Fig. 13.** *Correct integration of the pSCO2 and pSCO3 plastid expression cassettes into the plastid chromosome in glyphosate-resistant tobacco plants.* Total cellular DNA was isolated from leaves, digested with *Bam* HI, transferred to nylon, and probed with the radiolabeled 3.3 kb *Bam* HI petunia chloroplast DNA fragment from pSAN307 which comprises the plastid targeting fragment in pSCO2 and pSCO3. Note that the pSAN307 probe hybridizes to a high-copy, wild-type 3.3 kb fragment in DNA from an untransformed plant (lane 2). Intense 5.5 kb and 6.4 kb signals can be observed in pSCO2 (lanes 3-5) and pSCO3 (lanes 6-9) transformants, respectively. The signal in lane 1 represents hybridization to the petunia chloroplast DNA-containing restriction fragment which was used for radiolabeling.

**Fig. 14.** *The pSCO2 and pSCO3 glyphosate-resistant tobacco plants survive after spray application of ROUNDUP® herbicide.* Transplastomic pSCO2 and pSCO3 tobacco plants were acclimated in the greenhouse for several weeks. These plants, along with untransformed control plants which had been maintained similarly, were sprayed with a commercial formulation of ROUNDUP® at rates up to 1.8 kg/ha (equivalent to 72 oz/acre). Figs. 14A and 14B display the results in plants of different size. The

untransformed plants on the right died after treatment with 0.3 kg/ha ROUNDUP®. The pSCO2 (*hph*) and pSCO3 (*hph/glpB*) plants survived application rates of 0.8 kg/ha and 1.2 kg/ha, respectively.

**Fig. 15.** *The pSCO2 glyphosate-resistant maize BMS cells contain high levels of HPH phosphotransferase activity.* Cell-free extracts were prepared from glyphosate-resistant maize BMS cells maintained on glyphosate-containing medium and assayed for the presence of HPH phosphotransferase activity using glyphosate as the substrate. An extract prepared from untransformed BMS cells (control) grown on medium lacking glyphosate was also assayed.

**Fig. 16.** *Correct integration of the pSCO6 plastid expression cassette into the plastid genome of glyphosate-resistant maize BMS plastid transformants.* Total cellular DNA was isolated, digested with *Bam* HI, transferred to nylon, and probed with the radiolabeled 1.0 kb *Sac* II bentgrass chloroplast DNA fragment from pSCO5 which comprises the plastid targeting fragment in pSCO6. Note that the pSCO6 probe hybridizes to a high-copy, wild-type 3.2 kb fragment in untransformed cells (lane 2). In contrast to the wildtype, an intense 5.4 kb signal can be observed in all seven pSCO6 (lanes 3-9) transformants. The signal in lane 1 represents hybridization to the bentgrass chloroplast DNA-containing restriction fragment which was used for radiolabeling.

**Fig. 17.** *Glyphosate-resistant bentgrass calli transformed with pSCO6 and pSCO9 contain an hph-specific PCR product.* Total cellular DNA was prepared from three glyphosate-resistant creeping bentgrass calli and two untransformed calli for PCR amplification. The PCR products were fractionated by agarose gel electrophoresis and visualized by UV illumination after staining with ethidium bromide. The lanes were as follows: lane 1, 1 Kb DNA Ladder; lane 2, no DNA control; lanes 3 and 6, pSCO6-

transformed calli; lane 5, pSCO9-transformed calli; and lanes 4 and 7, untransformed calli. The expected *hph*-specific fragment is shown by the arrow on the left.

**Fig. 18.** *The pSCO6 bentgrass transformant survives after spray application of ROUNDUP® herbicide.* A pSCO6-transformed bentgrass plant was maintained in the greenhouse for several weeks. After acclimation, this plant, along with untransformed control plant which had been maintained similarly, were sprayed with a commercial formulation of ROUNDUP® at a rate of 0.6 kg/ha (equivalent to 24 oz/acre glyphosate). In this photo, taken 29 days after herbicide application, the dead, untransformed control plant is on the left while the thriving pSCO6 transformant is on the right.

**Fig. 19.** *Glyphosate-resistant rice calli transformed with pSCO8 and pSCO9 contain an hph-specific PCR amplification product.* Total genomic DNA was prepared from a number of glyphosate-resistant rice calli and an untransformed callus sample. PCR amplification results are shown for five samples: -ve control is untransformed callus, +ve control is plasmid DNA (pSCO8), (3) 1 is a callus line transformed with pSCO8, 2 is another callus line transformed with pSCO8, and 3 is a callus line transformed with pSCO9. Each sample has 3 lanes for aliquots taken out after 10, 20, and 30 cycles of PCR with *hph* primers. PCR amplification detected the presence of an *hph*-specific fragment in each of the glyphosate-resistant calli. No PCR product was observed in the reaction which contained genomic DNA from the untransformed control callus.

**Fig. 20.** *The glyphosate-resistant pSCO2 avocado cells and papaya plants contain high levels of HPH phosphotransferase activity.* Cell-free extracts were prepared from pSCO2-transformed avocado cells maintained on glyphosate-containing medium and assayed for the presence of HPH phosphotransferase activity using glyphosate as the substrate. An extract prepared from untransformed avocado cells (control) grown on

medium lacking glyphosate was also assayed. Leaf cell-free extracts prepared from pSCO2-transformed papaya plants maintained *in vitro* on glyphosate-containing medium were assayed for the presence of HPH phosphotransferase activity using glyphosate as the substrate. A leaf extract prepared from an untransformed plant (control) grown on  
 5 medium lacking glyphosate was also assayed.

**Fig. 21.** *Correct integration of the pSCO2 plastid expression cassette into the plastid genomes in glyphosate-resistant avocado callus and papaya plants.* Total cellular DNA was isolated, digested with *Bam* HI, transferred to nylon, and probed with the radiolabeled 3.3 kb *Bam* HI petunia chloroplast DNA fragment from pSAN307 which  
 10 comprises the plastid targeting fragment in pSCO2. Note that the pSAN307 probe hybridizes to a high-copy, wild-type ~3.3 kb fragment in DNA from untransformed avocado (lane 2) and papaya (lane 6). Instead of the wild-type fragment, a larger, high-copy ~5.5 kb fragment is detected in DNA samples from transformed avocado cells (lanes 3-5) and papaya plants (lanes 7-9). The signal in lane 1 represents hybridization  
 15 to the petunia chloroplast DNA-containing restriction fragment which was used for radiolabeling.

**Fig. 22.** *glpA gene insertion into the tobacco plastid genome.* The defective *glpA* plasmid, pSCO24, contains a mutated \**glpA*\* gene (note the destroyed *Nco* I site represented by the crossed-out *N*) under the control of the plastid *rrn* promoter (hatched  
 20 box). Plasmid pSCO18, the *corrective* copy, contains a wild-type *glpA* gene but lacks both a plastid promoter and an RBS element. After homologous recombination between shared sequences on pSCO24 and pSCO18 (shown by the dotted lines) to restore functionality to the *glpA* gene, and integration into the plastid genome, the transgenic chromosomes (transplastome) will have the physical structure shown below the heavy  
 25 arrow. The arrowheads associated with the genes show their direction of transcription.

Abbreviations are as follows: B, *Bam* HI; H, *Hinc* II; and N, *Nco* I. The plastid-like ribosome binding site is abbreviated as RBS. Note that the *Hinc* II site shown at the end of ORF70B (other *Hinc* II sites in this region are not shown) is the insertion site for the expression cassettes.

5       **Fig. 23.** *The pSCO24/pSCO18 glyphosate-resistant tobacco transformants contain high levels of glpA phosphotransferase activity.* Cell-free extracts were prepared from transformed NT1 cells maintained on glyphosate-containing medium and assayed for the presence of *glpA* phosphotransferase activity using glyphosate as the substrate. An extract prepared from untransformed cells (control) grown on medium lacking  
10       glyphosate was also assayed.

**Fig. 24.** *Correct integration of the pSCO24/pSCO18 plastid expression cassettes into the plastid chromosome restores glpA function in glyphosate-resistant NT1 transformants.* (A) Total cellular DNA was isolated, digested with both *Bam* HI and *Nco* I, transferred to nylon, and probed with radiolabeled *glpA* DNA. Note that the *glpA*  
15       probe hybridizes to 2.1 kb and 1.0 kb fragments only in pSCO24/pSCO18 transformants (lanes 4-7). No hybridization is observed in DNA isolated from untransformed NT1 cells (lane 3). The signal in lane 2 represents hybridization to the *glpA*-containing restriction fragment which was used for radiolabeling. (B) Total cellular DNA was isolated, digested with both *Bam* HI and *Nco* I, transferred to nylon, and probed with the  
20       radiolabeled 3.3 kb *Bam* HI petunia chloroplast DNA fragment from pSAN307. Note that the pSAN307 probe hybridizes to a high-copy, wild-type 3.3 kb fragment in untransformed cells (lane 3). Instead of the wild-type fragment, two novel, high-copy 2.6 and 2.1 kb fragments are observed in DNA from the glyphosate-resistant NT1 cell lines (lanes 3-6). The signal in lane 1 represents hybridization to the petunia chloroplast DNA-  
25       containing restriction fragment which was used for radiolabeling.

**Fig. 25. *bar* gene insertion into the tobacco plastid genome.** The defective \**hph*\* plasmid, pSCO56, contains a mutated \**hph*\* gene (note the truncated *hph* coding region) under the control of the plastid *rrn* promoter (hatched box). The plasmid is also largely devoid of flanking chloroplast DNA sequences (compare to pSCO57). Plasmid pSCO57, the *bar*-containing template, contains wild-type *hph* and *bar* genes but lacks a plastid promoter. After homologous recombination between shared *hph* sequences on pSCO56 and pSCO57 (shown by the dotted lines) to restore expression to the *hph* and *bar* genes, and integration into the plastid genome, the transgenic chromosomes (transplastome) will have the physical structure shown below the heavy arrow. The arrowheads associated with the genes show their direction of transcription. Abbreviations are as follows: B, *Bam* HI and H, *Hinc* II. The plastid-like ribosome binding site is abbreviated as RBS. Note that the *Hinc* II site shown at the end of ORF70B (other *Hinc* II sites in this region are not shown) is the insertion site for the expression cassettes.

## DETAILED DESCRIPTION OF THE PREFERRED EMBODIMENTS

### First Aspect of the Invention

In one aspect, the invention comprises a method of producing an herbicide-resistant plant cell, the method comprising stably transforming the plastid or proplastid genome of the plant cell with a nucleic acid that comprises a first herbicide-resistance-conferring selectable marker gene, wherein the first herbicide-resistance-conferring selectable marker gene encodes a protein that inactivates the herbicide, and which gene is expressed at levels that result in the plant cell surviving contact with the minimal amount of the herbicide that would kill a untransformed plant cell of the same species.

As demonstrated herein, we have discovered that genes that encode enzymes that inactivate herbicides are extremely effective selectable markers for use in plant plastid transformations. The invention is useful not only as a research and development tool for identifying and selecting plant cells whose plastids and/or proplastids have been successfully transformed, but also for producing plants resistant to an herbicide. The method according to this aspect of the invention is useful for transformation of the plastid genome of any plant species that is amenable to manipulation under tissue culture conditions, including both monocots and dicots. The Examples presented herein demonstrate successful transformation according to the invention of both monocots and dicots as well as of plants having a plastid genome of virtually unknown content.

The method employs selection techniques based on cell survival when cells, which have been subject to transformation protocols described herein, are contacted with an herbicide. We have found that contrary to the teachings of the prior art (as disclosed, for example, in U.S. Patent 5,451,513), plastid transformation need not be conducted under non-lethal selection conditions.

When the herbicide-resistant plant cell produced according to this aspect of the invention is a regenerable cell (which is preferable), multicellular plant tissues resistant to the herbicide can be generated using art recognized methods. Plant tissues produced according to the invention are able to withstand contact with the minimal amount of herbicide that would kill a similar, untransformed plant tissue of the same species. It is a routine matter for the one of ordinary skill in the art to determine the minimal amount of herbicide that would kill a non-transformed plant tissue.

Importantly, however, plant cells and tissues according to the invention can withstand at least twice the concentration (denoted as "2x") of herbicide typically applied in field applications and up to amounts of about 5x. For easy to control plants such as

grasses, about 2 quarts of 41% glyphosate solution per acre are typically used (which is equivalent to 20 gallons of 2.5% ROUNDUP® ULTRA (41% glyphosate) solution per acre). For hard to control plants such as dandelions, about 4-5 quarts of 41% glyphosate solution per acre are typically used.

5           As used herein, the term “inactivates” means to chemically modify or degrade an herbicide in such a manner as to reduce or eliminate its toxicity to the plant cell. The term, “stably transforming the plastid or proplastid genome of the plant cell with a nucleic acid” means that under desired conditions the transformed plant cell retains the transfected phenotype and does not revert back to the wild-type. In some applications,  
10 the cell will be maintained in such a manner so as to allow it to achieve a state of homoplasmy following transfection, and the “desired conditions” are any in which the cell can survive and which exerts a selective pressure favoring growth and multiplication of transformed genomes, plastids, and cells. In other applications, such as commercial applications, the “desired conditions” may be field conditions, with or without periodic  
15 application of a herbicide.

Furthermore, as used herein, “stably transforming the plastid or proplastid genome of the plant cell with a nucleic acid” means that subsequent to transformation, the genome contains a non-native nucleic acid; the term is intended to imply nothing as to whether the transformation occurred as a result of recombination of a single nucleic  
20 acid into the plastid genome or a plurality of nucleic acids into the genome.

Briefly, and as illustrated in more detail below, plant cells are transfected with a plasmid comprising a region homologous to the plastid genome of the cells and further comprising an expression cassette including the first herbicide-resistance-conferring selectable marker gene, any other genes of interest, and various control elements.  
25 Transfection may be accomplished by any convenient technique, *e.g.*, PEG treatment,

electroporation, and biolistic delivery. Biolistic delivery is preferred. The transfected nucleic acid comprising the expression cassette incorporates into the plastid genome through homologous recombination events. The cells are then placed in a medium containing the herbicide (for which the herbicide resistance-conferring gene confers resistance) as well as other necessary nutrients, thereby exerting a selective pressure that favors the growth and replication of transformed genomes, plastids, and cells. Untransformed cells die in this medium (or fail to grow), whereas the transformed cells survive and grow towards a state of homoplasmy (although heteroplasmy may sometimes be desirable and, therefore, sustained).

10 In practice, the nucleic acid employed in this aspect of the invention can be mono- or polycistronic and preferably comprises not only the herbicide resistance-conferring selectable marker gene, but various control elements as well. Such control elements will preferably include, but are not limited to promoters (e.g., 16S rDNA promoter (*rrn*),) and a ribosome binding site (RBS) (e.g., that derived from petunia *rbcL* gene) positioned at an appropriate distance upstream of the translation initiation codon to ensure efficient translation initiation. A chloroplast promoter is preferred. The petunia chloroplast 16S rDNA promoter of the ribosomal RNA operon is particularly preferred.

Additionally, because recombination of the plastid genome occurs by homologous recombination events, the nucleic acid will further comprise flanking sequences (one on each of the 3' and 5' ends of the expression cassette) that are homologous to sequences within the plastid genome. The flanking sequences not only facilitate recombination, they also provide the means by which the method selectively targets the plastid genome for recombination. Expression vectors not having sequences homologous to a region within the plastid genome will not recombine with the plastid genome. Preferably, the nucleic acid's 3'-end further comprises a stem-loop structure to

confer stability. A preferred flanking sequence is derived from the tobacco *psbA* 3'-flanking region.

Although originating from dicots, we have found that the petunia *rrn* promoter and tobacco *psbA* 3'-flanking region are both suitable for use in monocot transformations.

- 5 The same conclusion was also reached for the tobacco  $T_{psbA}$  element, which is required for transcript 3' end maturation and stability, although any other element that provides 3'-end maturation and stability can be used in place of  $T_{psbA}$ . For example, elements having stem-loop structures can also be used for transcript 3' end maturation and stability. Although the use of heterologous (petunia) chloroplast DNA sequences to
- 10 direct the gene expression cassettes into the tobacco chloroplast chromosome had not been previously described, the high degree of DNA sequence homology between the two plastid genomes in the inverted repeat region suggested that this would not be problematic.

- Of the major crops grown in the United States as well as abroad, such as wheat,
- 15 corn, oats, sorghum and rice, all are classified as monocotyledonous plants. Although the gene content and gene arrangement of plastid genomes are generally very conserved among vascular land plants, there are some significant differences that have been reported. These differences may include genome size, gene content, gene organization, variable spacing between genes, and differing sizes of the single-copy and inverted repeat
- 20 regions. Therefore, the selection of an insertion site for foreign genes and the associated flanking sequences that surround the insertion site to provide the necessary homology for integration into the plastid chromosome must be carefully considered. The insertion of transgenes into the plastid genome must not disrupt essential chloroplast genes nor seriously interfere with the expression of neighboring genes.

We have found that the inverted repeat region of the plastid genome is a suitable, and preferable, locus for recombinant insertion of the nucleic acids in all aspects of the invention. The entire chloroplast genomes of the monocots, *Oryza sativa* (rice) (Hiratsuka *et al.*, *Mol. Gen. Genet.* 217, 185 (1989)) and *Zea mays* (maize) (Maier *et al.*, *J. Mol. Biol.* 251, 614 (1995)) have been sequenced. DNA sequence comparison reveals that these monocot genomes share a very high degree of homology with each other. Inspection of the rice and maize plastid inverted repeat sequences, as a particular example, reveals the shared presence of an intergenic region that contained no detectable protein-coding regions. Fig. 11 depicts this span, nearly 1 kb in length, located between exon 2 of the *rps12* gene and a putative protein-coding region of unknown function designated ORF72. Importantly, the DNA sequence homology in this intergenic region and in the flanking regions is extremely high between maize and rice, suggesting that the flanking regions are suitable for targeting foreign genes into a variety of monocot plastid chromosomes. An additional attractive feature of this region is that, in the unlikely event that DNA integration disrupted an essential chloroplast gene (identified or not), an intact duplicate of this region would still remain on the other copy of the inverted repeat. Under this scenario, plastid transformants would likely be recovered that would be predicted to then contain dissimilar inverted repeat regions.

The rice and maize genomes also share significant homology with the tobacco plastid genome, the first dicot chloroplast genome to be sequenced in its entirety (Shinozaki *et al.*, *EMBO J.* 5, 2043 (1986). While gene content differences and structural changes exist between the monocots and the dicots, selected portions of the inverted repeat segments of dicot and monocot plastid chromosomes are some of the most highly conserved regions of the plastid genome. Accordingly, and more importantly, as

demonstrated below, the inverted repeat region of the plastid genome is suitable for homologous recombination in both monocots and dicots.

A surprising feature of this aspect of the invention is that it can be employed to transform not only differentiated plastids in photosynthetic cells, but proplastids in non-photosynthetic cells as well. This result is unexpected because non-photosynthetic cells have many fewer plastids than photosynthetic cells, and the proplastid plastome consists of many fewer copies of the plastid genome as compared to differentiated plastids. *E.g.*, Maliga, *Tibtech* 11, 101 (1993). For example, there are 10-15 proplastids per meristem cell (a non-photosynthetic cell), each of which contains about 50 genome copies, to give roughly 500-750 genome copies per cell. By contrast, a leaf cell can contain as many as 100 chloroplasts, each with about 100 copies of the genome, to make about 10,000 genome copies per leaf cell. In some species, this may be as high as 50,000 genome copies per leaf cell. Because of the much larger number of genome copies in photosynthetic cells, prior art attempts at transforming the plastid genome have employed photosynthetic cells. Despite the relative paucity of genome copies in proplastids, we have been able to transform non-photosynthetic cells and generate whole plants therefrom with the methods provided herein.

To our knowledge, the present invention demonstrates for the first time the ability to and utility of transforming non-photosynthetic cells. The importance of this feature can be appreciated when one realizes that nearly all regeneration systems for monocot plants rely upon the initiation and maintenance of regenerable, non-photosynthetic callus or cell suspension cultures.

Out data also suggests that transformation of non-photosynthetic cells is likely more efficient than transformation of photosynthetic cells. In Example 1, *infra*, wherein the *aadA* gene was co-transfected with a reporter gene, 40 out of 40 calli assayed positive

for reporter gene activity. This observation indicates that the background of spontaneous mutation(s) that confer resistance to spectinomycin in NT1 cells is at least 40-fold below plastid transformation rates and are essentially undetectable in this system. This is in marked contrast to the recovery of spectinomycin-resistant plastid transformants after bombardment of tobacco leaves with a similar *aadA*-expressing transgene. In that system, the background of spectinomycin-resistant mutants that are attributed to spontaneous mutations (Svab and Maliga, *supra*) is at least 10-fold higher than observed with NT1 cells. Thus, recovery of *aadA*-expressing, spectinomycin-resistant calli is likely more efficient in non-photosynthetic cell systems than ones that are photosynthetically competent.

Other types of leaf tissue can be transformed according to the invention, however, including callus and leaf tissue. As previously noted, the method can be used to transform monocots as well as dicots, including ornamental plants, turfgrass, soybeans, wheat, cotton, rice, canola, and corn.

Moreover, another surprising advantage of the present method is that no knowledge of the target plastid genome is required, as demonstrated in Example 8, *infra*. In that Example the plastids of avocado and papaya, two relatively obscure and exotic plants that have not been extensively used in transgenic studies, were transformed. Moreover, their plastid genomes are virtually uncharacterized. We demonstrate herein that glyphosate-resistant avocado cell lines and papaya plants with transformed plastid chromosomes can indeed be recovered with relative ease despite the lack of knowledge regarding their plastid genome. These results demonstrate that methods of the present invention can be used for widespread, routine manipulation of the plastid genomes in a diverse array of land plants.

In a preferred embodiment of this aspect of the invention, the nucleic acid comprises the *hph* gene, the sequence of which is disclosed in Gritz and Davies (*Gene* 25, 179 (1983)) and Santerre and Rao (U.S. Patent No. 4,727,028). The *hph* gene has been shown to phosphorylate both glyphosate and hygromycin. Peñaloza-Vasquez I, *supra*. *Hph* expression in the plant nucleus is insufficient to select for glyphosate resistance, and commercially useful levels are not achieved. We have found that the *hph* gene, when inserted into a nucleic acid expression cassette and transfected into the plastid or proplastid genome expressed in photosynthetic or non-photosynthetic plastids according to this embodiment of the invention, is an excellent selectable marker and allows the recovery of glyphosate-resistant plant cell transformants, from which, if the cell is regenerable, multicellular transformed plant tissues can be generated. Although the invention is not limited by any theory of action, we theorize that glyphosate entering into the plastid is phosphorylated and subsequently inactivated by hygromycin phosphotransferase (HPH, the *hph* expression product), thus permitting the growth of glyphosate-resistant plant cells and leading to whole plants with significant field levels of resistance to glyphosate.

As used herein, "glyphosate" means N-(phosphonomethyl)glycine in free or salt form, preferably the mono(isopropylamine) salt (*e.g.*, ROUNDUP®) or the trimesium salt (*e.g.*, TOUCHDOWN®).

As demonstrated in Example 2, *infra*, plastid transformation with the *hph* gene results in cells displaying a surprisingly higher level of HPH phosphotransferase activity (up to six times higher) as compared to nuclear-transformed, partially or very weakly glyphosate-resistant cells.

In another preferred embodiment of this aspect of the invention, the nucleic acid comprises the *glpA* gene, the sequence of which is disclosed in Peñaloza-Vasquez I,

*supra*. Like the *hph* gene, the *glpA* gene has been shown to phosphorylate glyphosate. Peñaloza-Vazquez I, *supra*. The *glpA* gene, when inserted into a nucleic acid expression cassette and transfected into the plastid or proplastid genome expressed in photosynthetic or non-photosynthetic plastids according to this embodiment of the invention, allows the  
5 recovery of glyphosate-resistant plant cell transformants, from which, if the cell is regenerable, multicellular transformed plant tissues can be generated.

In still another preferred embodiment of this aspect of the invention, the nucleic acid comprises the *bar* gene, the sequence of which is disclosed in Thompson et al., *EMBO J.* 6, 2519 (1987). The *bar* gene, when inserted into a nucleic acid expression  
10 cassette and transfected into the plastid or proplastid genome expressed in photosynthetic or non-photosynthetic plastids according to this embodiment of the invention, allows the recovery of glufosinate-resistant plant cell transformants, from which, if the cell is regenerable, multicellular transformed plant tissues can be generated.

In yet another preferred embodiment of this aspect of the invention, the nucleic  
15 acid comprises the *pat* gene, the sequence of which is disclosed in Wohlleben et al., *Gene* 70, 25 (1988). The *pat* gene, when inserted into a nucleic acid expression cassette and transfected into the plastid or proplastid genome expressed in photosynthetic or non-photosynthetic plastids according to this embodiment of the invention, allows the  
20 recovery of glufosinate-resistant plant cell transformants, from which, if the cell is regenerable, multicellular transformed plant tissues can be generated.

In another embodiment of this aspect of the invention, the nucleic acid comprises a plurality of genes. In one preferred embodiment, the nucleic acid further comprises a second gene. The first herbicide resistance conferring selectable marker gene and the second gene can encode the same or different enzymes. Generally, however, a single  
25 copy of a gene is sufficient to confer a desired phenotype. Consequently, in a preferred

embodiment, the methods utilize nucleic acids comprising a plurality of different genes.

When the nucleic acid comprises two genes, the second gene can be a reporter gene or one that produces another desirable phenotype (*e.g.*, one of agronomic interest), including, but not limited to, resistance to a second herbicide, resistance to an insect or  
5 other pathogenic infection, robustness to adverse environmental conditions, and aesthetically pleasing physical characteristics, including pleasant aroma and/or appearance. A suitable reporter gene that can be the second gene is the *gusA* gene. Jefferson *et al.*, *EMBO J.* 6, 3901 (1987).

Alternatively, the second gene can be one that enhances the function of the first  
10 gene. In a preferred embodiment, the first gene is the *hph* gene or the *glpA* gene and the second gene is the *glpB* gene, the sequence of which is disclosed in Peñaloza-Vazquez I. We have found that cells whose plastids are transformed with and express only the *glpB* gene do not exhibit significant glyphosate resistance. When the *hph* or *glpA* gene is co-transfected with the *glpB* gene, however, transformed cells manifest increased  
15 resistance to glyphosate as compared to cells transformed with either the *hph* gene or the *glpA* gene alone. Earlier gene expression studies in *E. coli* suggested that the *glpB* enzyme highly preferred the phosphorylated form of glyphosate over the unmodified form as a substrate for degradation. Thus, when co-transfected, the *hph* or *glpA* gene enzymes appears to phosphorylate glyphosate, thereby providing the preferred substrate  
20 for the *glpB* gene enzyme.

In another embodiment, the second gene can be a second (different) herbicide-resistance-conferring selectable marker gene. (Although the second herbicide-resistance-conferring selectable marker gene could be the same gene as the first, there is not seen to be any particular advantage to transformation with such a construct relative to  
25 transformation with a construct containing only one copy of the gene.) In one

embodiment, the second gene may confer resistance to the same herbicide, but by a different mechanism (*e.g.*, a modified enzyme for which the herbicide is not a substrate but which otherwise possesses similar activity to the wild-type enzyme and enables normal cell maintenance, growth, and reproduction). For example, the first herbicide resistance-conferring selectable marker gene can be one whose expression product inactivates glyphosate (*e.g.*, the *hph* gene or the *glpA* gene) and the second gene can be one (a) whose expression product is an active enzyme for which glyphosate is not a substrate (*e.g.*, a modified EPSPS enzyme, such as the *aroA* gene (della-Cioppa II, *supra*), the CP4 EPSPS gene (Barry *et al.*, *supra*), Class II EPSPS genes (*e.g.*, U.S. 5,633,435), GA21 mutant gene (used in, *e.g.*, ROUNDUP® resistant corn) (WO 95/06128) or any other glyphosate-resistant EPSPS enzyme), (b) that overexpresses the EPSPS enzyme and thereby enables the plant or cell to survive contact with amounts of herbicide that would otherwise kill the plant or cell, or (c) one encoding the GOX enzyme (which is a glyphosate oxidoreductase; U.S. 5,776,760).

In another embodiment, the first herbicide resistance-conferring gene and the second gene provide resistance to different herbicides. In a preferred embodiment, the first herbicide-resistance-conferring selectable marker gene is a glyphosate resistance-conferring gene and the second is a glufosinate resistance-conferring gene. In a particularly preferred embodiment, the first herbicide resistance-conferring selectable marker gene is the *hph* or *glpA* gene (to confer glyphosate resistance) and the second herbicide resistance-conferring selectable marker gene is the *bar* or *pat* gene (for conferring glufosinate resistance).

In another embodiment, the nucleic acid further comprises a third gene, different from the first two, that encodes a gene for another desirable phenotypic characteristic or a gene that enhances a phenotypic characteristic, as described above. Thus, for example,

in this embodiment the first gene can be the *hph* or *glpA* gene, the second gene can be the *bar* or *pat* gene, a modified EPSPS gene, an overexpressed EPSPS gene, or the *gox* gene, and the third gene can be the *glpB* gene.

In general, those skilled in the art will appreciate that nucleic acids comprising  
5 any one of the multiple combinations of the *hph* (with and without the *glpB* gene) and/or *glpA* genes with one or more modified EPSPS genes, overexpressed EPSPS genes, and the *gox* gene can be used according to the invention to transfect plant plastids. Furthermore, any such nucleic acid can further comprise additional genes of interest, including, but not limited to, genes conferring resistance to other herbicides, resistance  
10 to an insect or other pathogenic infection, robustness to adverse environmental conditions, and aesthetically pleasing physical characteristics, including pleasant aroma and/or appearance.

In another embodiment of this aspect of the invention, plant cells transformed according to this aspect of the invention may have previously been transformed with one  
15 or more other genes or may subsequently be transformed with one or more other genes. Accordingly, rather than simultaneous co-transformation of the first herbicide-resistance conferring gene with one or a plurality of other genes residing in the same expression cassette, the first herbicide-resistance conferring gene (alone or with one or more other genes) can be transfected into the plastid in a separate transformation event, either before  
20 or after transformation with one or more other genes.

Alternatively, plastids can be transformed according to this aspect of the invention by simultaneously co-transfecting a first nucleic acid comprising a first herbicide resistance-conferring gene with a second, separate nucleic acid comprising a second gene.

In yet another embodiment, the nucleic acid sequences necessary for herbicide resistance conferring selectable marker gene expression can be present on a plurality of vectors (preferably two), none of which individually is capable of transforming a plastid to express the gene, but all of which, when inserted into the plastid and when present  
5 simultaneously in the plastid, undergo recombination resulting in a transformed plastid genome that expresses the herbicide resistance-conferring selectable marker gene and from which can be generated a cell comprising plastids expressing the gene at levels sufficient to confer herbicide resistance to the minimum level of glyphosate that would kill untransformed cells of the same species can be generated.

10 This embodiment preferably comprises a method of producing an herbicide-resistant plant cell, the method comprising stably transforming the plastid or proplastid genome of the plant cell with a nucleic acid that comprises a first herbicide-resistance-conferring selectable marker gene, wherein the first herbicide-resistance-conferring selectable marker gene encodes a protein that inactivates the herbicide, and which gene  
15 is expressed at levels that result in the plant cell surviving contact with the minimum amount of the herbicide that would kill an untransformed plant cell of the same species, and wherein said transforming comprises introduction of a first vector and a second vector into the plastid, wherein

- a) the first vector comprises an herbicide resistance-conferring selectable  
20 marker gene whose expression product is capable of inactivating an herbicide, but which vector does not comprise one or a plurality of nucleic acid sequences required for recombination into the plastid or proplastid genome, required for expression of the selectable marker gene, or both,

- b) the second vector comprises the nucleic acid sequence or sequences not present in the first vector that are required for recombination into the plastid or proplastid genome, required for expression of the selectable marker gene, or both,
- 5 and wherein the first vector, the second vector, and the plastid genome together are capable of recombining through a series of recombination events to produce a plastid genome transformed with the herbicide resistance-conferring selectable marker gene. For instance, Example 9 demonstrates co-bombardment of plastids with two different plasmids. The first plasmid comprises the *gfpA-aadA-T<sub>psbA</sub>* cassette flanked at its 3' end
- 10 with petunia chloroplast DNA for facilitating DNA integration into the plastid chromosome, but lacking (a) a 5' plastid-homologous flanking sequence, (b) a plastid promoter, and (c) a plastid-like RBS element for efficient transcription and translation, respectively. This plasmid, when introduced alone into the plastid, does not confer glyphosate resistance since the *gfpA* gene lacks these elements. Moreover, double
- 15 homologous recombination events between the plasmid and the plastid chromosome leading to integration should occur rarely, if at all, since the gene cassette is flanked on only one side with chloroplast DNA sequences.

The second plasmid comprises the  $P_{rm}$ -\**gfpA*\*-*aadA*- $T_{psbA}$  expression cassette, which contains the required homologous flanking sequences and control elements, but

20 which has a defective *gfpA* gene (denoted "\**gfpA*\*").

Simultaneous introduction of the two plasmids into the plastid resulted in a series of recombination events that resulted in a transformed plastid capable of expressing the *gfpA* gene at sufficient levels to confer glyphosate resistance.

In another preferred embodiment, the first plasmid comprises an expression

25 cassette that itself comprises a truncated *hph* plasmid (denoted \**hph* ) under the control

of the plastid *rrn* promoter. The expression cassette is also largely devoid of flanking chloroplast DNA sequences. The second plasmid comprises wild-type *hph* and *bar* genes and flanking homologous regions but lacks a plastid promoter.

Accordingly, using this approach, plastids can be transformed with herbicide  
5 resistance-conferring genes according to the invention by simultaneous introduction of two plasmids into a plastid. Preferably the first plastid comprises an expression cassette lacking both one or more control elements and a homologous flanking sequence at either the 3' end of the expression cassette or on the 5' end (but not at both ends). The second  
10 plasmid comprises what would otherwise be a suitable expression cassette for transforming a plastid to express the herbicide resistance-conferring selectable marker gene except that the marker gene is defective and, therefore, unable to express an active enzyme. Introduction of both plasmids into the cell (preferably simultaneously) in conjunction with the application of selective pressure (*e.g.*, by exposing transfected cells to an herbicide-containing medium) results, after a series of recombination events, in the  
15 production of cells containing plastids transformed with an expressible herbicide-resistance conferring selectable marker gene.

In an alternative embodiment, both plasmids may lack sufficient homologous regions to enable each to individual recombine into the plastid genome, but together recombine to yield a plasmid capable of recombining into the plastid genome to yield a  
20 transformed plastid.

This approach offers a couple of advantages. First, it enables introduction and expression of transgenes into plastids that otherwise might not have been possible. Second, it enables the ability to introduce larger segments of foreign DNA in the plastid chromosome. This method effectively doubles the size limit of foreign DNA that can be  
25 integrated into the plastid genome in a single transformation event.

In a closely related embodiment, the invention provides a method of transforming a plastid genome with two or more plasmids, each comprise one or a plurality of genes targeted (via homologous nucleic acid regions) to *different* loci in the plasmid genome in a single transformation event. Preferably, one of the genes on one of the plasmids is  
5 an herbicide-resistance selectable marker gene (preferably *hph* or *glpA*). Preferably, the other plasmid also comprises a selectable marker gene so that selection for both phenotypes can be made.

To the extent that nuclear inheritance may be desirable, in an alternative embodiment glyphosate resistance can be achieved by transforming the plant cell nucleus  
10 with a construct that expresses *hph* or *glpA* (alone or co-expressed with *glpB*), or any of the combinations of genes describe herein, fused with a transit peptide at the 5' end, which transit peptide targets the expression product to the plastid, particularly the chloroplast. In this manner plastid expression is achieved through nuclear transformation. Numerous methods and constructs for transforming plant cell nuclei are  
15 known by those skilled in the art and can be employed. Similarly, several suitable transit peptides for targeting plastids are known by those skilled in the art, as are their coding sequences. Based upon these teachings and those disclosed herein, it would be a routine matter for one skilled in the art to prepare suitable nucleic acid constructs and insert them into plant cell nuclei, resulting in expression of glyphosate resistance-conferring enzymes  
20 (alone or with other desired proteins) and their localization in plastids. Such nuclear transformation enables a wider range of options for transcriptional regulation. Furthermore, this embodiment provides Mendelian inheritance.

### Second Aspect of the Invention

In a second aspect of the present invention, nucleic acid constructs are provided for use in the first aspect of the invention. The structural features of the nucleic acid constructs according to this aspect of the invention are detailed in the description of the nucleic acids presented in the description of the first aspect of the invention, *supra*. Such nucleic acids can be made using art recognized techniques.

Since the structure and function and the biochemistry and molecular biology of plastids are so highly conserved in both dicotyledonous and monocotyledonous plants, the gene expression cassettes for use in the invention have equal utility in both types of higher plants. This is particularly true for the proplastids that are found in the callus and suspension cells derived from dicot and monocot plants alike.

Because in certain embodiments of the first aspect of the invention successful transformation is accomplished by simultaneous introduction of two plasmids into the plastid, another embodiment of this invention comprises a composition of two vectors,

- a) the first vector comprises an herbicide resistance-conferring selectable marker gene whose expression product is capable of inactivating an herbicide, but which vector does not comprise one or a plurality of nucleic acid sequences required for recombination into the plastid or proplastid genome, required for expression of the selectable marker gene, or both, and
- b) the second vector comprises the nucleic acid sequence or sequences not present in the first vector that are required for recombination into the plastid or proplastid genome, required for expression of the selectable marker gene, or both,

such that when the composition is introduced into the plastid, the first and second vector, together with the plastid genome recombine to yield a transformed plastid genome capable of expressing the herbicide resistance-conferring selectable marker gene at levels sufficient to confer herbicide resistance to amount of the herbicide that would kill an  
5 untransformed cell of the same species.

Compositions according to this embodiment are useful in all embodiments of the first aspect of the invention.

### Third Aspect of the Invention

In a third aspect, the invention comprises a cell or cells and multicellular plant  
10 tissue (preferably whole plants, calli, and leaf tissue) having cells whose plastid and/or proplastid genomes comprise a first herbicide-resistance-conferring selectable marker gene (preferably a glyphosate resistance-conferring gene; more preferably the *hph* or *glpA* gene), wherein the first selectable marker gene encodes a protein that inactivates the herbicide, and which gene is expressed at levels sufficient to enable the plant tissue to  
15 survive contact with the minimal amount of the herbicide that would kill an untransformed plant tissue of the same species.

All of the cells of the multicellular plant tissue comprise plastids transformed with a first herbicide resistance-conferring selectable marker gene, which plastids express the gene at sufficient levels to confer the cell with resistance to the herbicide. The cells  
20 can be homoplasmic or heteroplasmic. Preferably the cells are homoplasmic.

The multicellular plant tissue according to this aspect of the invention can be made by transforming the plastids of a regenerable cell using the methods of the first aspect of the invention and then subjecting the cell to art recognized conditions that facilitate its reproduction, differentiation, and growth into a multicellular tissue.

Regeneration of intact plants may be accomplished either with continued selective pressure or in the absence of selective pressure if homoplasmy has already been achieved within the transformed cell line.

In general, multicellular plant tissues according to this aspect of the invention  
5 broadly encompass all multicellular plant tissues that can be generated from regenerable cells transformed according to the first aspect of the invention. Thus, for example, multicellular plant tissues according to this aspect of the invention will comprise cells transformed with one, two, three, or more genes, at least one of which is an herbicide resistance-conferring selectable marker gene that inactivates an herbicide. The plant  
10 tissue can be monocotyledonous or dicotyledonous and the cells of the tissue photosynthetic and/or non-photosynthetic, homoplasmic, or heteroplasmic.

#### Fourth Aspect of the Invention

In a fourth aspect, the invention comprises a method of transforming non-photosynthetic cells with the *aadA* gene, a selectable marker gene that confers resistance  
15 to the antibiotic spectinomycin. The bacterial *aadA* gene encoding aminoglycoside 3'-adenylyltransferase inactivates spectinomycin, and has already been successfully expressed in photosynthetic tobacco cells to recover plastid transformants (Svab and Maliga, *supra*). We have surprisingly found that the *aadA* gene, when expressed in *non-photosynthetic* plastids, permits the recovery of spectinomycin-resistant plant cell  
20 transformants. This is unexpected because the reported mechanism of action of the *aadA* gene product in plant cells is inhibition of photosynthesis.

Expression of a second (or third, etc.) gene, such as a reporter gene or a gene of agronomic interest, can also be accomplished by including that gene on the same plasmid as the *aadA* gene, even within the same transcription unit as the *aadA* gene (polycistronic

operon). Alternatively, the second (or other) gene can be present on a separate vector that is co-introduced with the *aadA*-containing vector.

In general, the method according to this aspect of the invention is the same as for the first aspect of the invention except that (a) the first herbicide resistance-conferring gene of the first aspect is replaced in this aspect of the invention with the *aadA* gene and  
5 (b) selection is conducted by exposure of cells to spectinomycin rather than an herbicide.

Similarly, this aspect of the invention also comprises multicellular plant tissues comprising a proplastid transformed with the *aadA* gene.

Having described the present invention, reference will now be made to certain  
10 examples, which are provided solely for purposes of illustration and are not intended to limit the invention in any manner. It will be apparent to those skilled in the art that changes and modifications may be made to the above-described and below-exemplified embodiments without departing from the spirit and scope of the present invention.

The protocols described in the following Examples are illustrative for making and  
15 using each of the aspects of the invention described above. Following these protocols and relying solely on common knowledge available to one of ordinary skill in the art, one can successfully make and use all aspects of the invention using only but routine experimentation. That is, one would be able to transform *any* plastids of *any* cells according to the methods of the invention and to make the full range of nucleic acids and  
20 plants according to the invention.

## EXAMPLES

### Example 1

#### *Recovery of Spectinomycin-Resistant Tobacco NT1 Cell Plastid Transformants*

To assess the efficacy of the *aadA* gene as a selectable marker for plastid transformation in non-photosynthetic cells, a plastid gene expression cassette suitable for foreign gene expression in this organelle was constructed. The *aadA* gene was placed under the control of the strong, constitutive 16S rDNA promoter and the expression cassette embedded within a segment of the petunia chloroplast inverted repeat region to provide DNA sequence homology for recombination events with the resident tobacco plastid chromosomes.

As described more fully below, spectinomycin-resistant calli were recovered in large numbers. DNA gel blot analysis confirmed that the introduced *aadA* gene had integrated into the tobacco plastid chromosome at the expected site by homologous recombination. Moreover, no wild-type plastid chromosomes were detected in the spectinomycin-resistant NT1 transformants indicating that homoplasmy had been achieved. Foreign gene expression in the plastid was further demonstrated by the detection of high levels of enzyme activity from the reporter gene that was contained within the same plastid gene expression cassette.

#### **Materials and Methods for Example 1**

**Plasmid construction.** A chimeric *aadA* expression cassette containing a reporter gene was constructed by placing the reporter gene and *aadA* genes under control of the petunia 16S rDNA promoter. A RBS derived from the petunia *rbcL* gene was provided for efficient translation initiation of the reporter gene. The *aadA* gene, supplied with a nearly-identical RBS element, was inserted immediately downstream of the reporter gene

so that both genes would be co-transcribed. A stem-loop structure from the 3' end of tobacco *psbA* gene was placed downstream of the *aadA* gene for transcript stability and efficient maturation of the dicistronic transcript's 3' end. Plasmid pSAN308 contains a 5.8 kb *Pst* I/*Sac* I fragment from the inverted repeat region of the petunia chloroplast chromosome spanning from the *rps7* gene to the *trnA* gene. The dicistronic reporter-*aadA* expression cassette was embedded within the petunia plastid DNA fragment at a *Hinc* II site (to create plasmid pSAN347) such that the transgenes were flanked by ~2.4 kb on the side of the *rps7/rps12* genes and by ~3.4 kb on the side of the *trnI/trnA* genes. Zoubenko *et al.* (*Nucl. Acids. Res.* 22, 3819 (1994)) had previously demonstrated that this site at the end of ORF70B could be utilized as an insertion site for foreign genes in the tobacco chloroplast genome. The reporter-*aadA* genes are transcribed toward the *rps7/rps12* genes.

**Plant cell transformation.** Tobacco NT1 suspension cells were collected onto filter paper and placed onto solid NT1 medium containing either 0.4 M mannitol or a combination of 0.2 M sorbitol/0.2 M mannitol for at least 6 hours prior to bombardment. For bombardment, M-10 tungsten particles were coated with pSAN347 plasmid DNA, and introduced into NT1 suspension cells using the PDS1000He Biolistic gun at 800 psi. NT1 cells were allowed to recover overnight on the osmoticum-containing medium and then transferred to medium lacking osmoticum the following day. On the second day following bombardment, the filter paper containing the cells was transferred to NT1 medium containing 500 µg/ml spectinomycin. Spectinomycin-resistant NT1 calli selected for further analysis were maintained on either solid or liquid NT1 medium containing 500 µg/ml spectinomycin.

**DNA gel blot analysis.** Total cellular DNA was prepared, digested with restriction endonuclease *Bam* HI, and transferred to nylon. Hybridization to a random-primed labeled DNA fragment was carried out overnight at 65 °C.

## Results and Discussion

5           Previously, Svab *et al.* (Svab and Maliga, *supra*) had demonstrated that the *aadA* gene, under control of the tobacco 16S rDNA promoter, was able to confer spectinomycin resistance in photosynthetic tobacco plastid transformants. We had previously constructed a similar plastid gene expression cassette that employed the 16S rDNA promoter region from the highly homologous petunia chloroplast genome (Fig. 1). A  
10   DNA fragment containing the strong, constitutive petunia 16S rDNA promoter and transcription initiation site of the ribosomal RNA (*rrn*) operon was cloned. A 5' leader sequence and a ribosome binding site (RBS) positioned at the appropriate distance upstream of the translation initiation codon to ensure efficient translation initiation were derived from the petunia *rbcL* gene. A reporter gene was inserted next to the RBS (Fig.  
15   2). An *aadA* gene, flanked at its 5' end with an RBS element based upon the tobacco *rbcL* gene and at its 3' end by DNA sequences from the 3' end of the tobacco *psbA* gene, was placed immediately adjacent to the reporter gene. In this gene expression cassette, the reporter and *aadA* genes are co-transcribed as a dicistronic mRNA (Fig. 2), but translation should be initiated at their respective ATG initiation codons since each gene  
20   possess its own ribosome-binding site. It had previously been reported (Staub and P. Maliga, *Plant J.* 7, 845 (1995)) that transgenes contained on polycistronic mRNAs were efficiently translated as individual proteins in tobacco chloroplasts.

Since plastid transformation is known to be mediated by homologous recombination events, the reporter-*aadA* expression cassette was embedded with a region

of the petunia chloroplast chromosome (Bovenberg *et al.*, *Nucl. Acids Res.* 9, 503, 1981).

This plasmid had originally been designed for plastid transformation of petunia, but the very high degree of DNA sequence homology between the tobacco and petunia chloroplast genomes in this region suggested to us that the extent of homology would be sufficient for efficient homologous recombination. A 5.8 Kb *Pst* I/*Sac* I fragment from the inverted repeat region of the petunia chloroplast chromosome spanning from the *rps7* gene to the *trnA* gene was cloned (Fig. 3A). Previously, Zoubenko *et al.*, *supra*, had demonstrated the existence of a site located at the end of the ORF70B gene (Shinozaki *et al.*, *EMBO J.* 5, 2043 (1986)) that was suitable for insertion of foreign genes into the tobacco chloroplast chromosome. The reporter-*aadA* expression cassette was inserted into this site with the direction of transcription toward the *rps7/rps12* genes. Zoubenko *et al.*, *supra*, had further demonstrated that little, if any, readthrough of plastid transcripts occurred in this region of the tobacco chloroplast genome. The high degree of homology with respect to gene sequence and gene arrangement in this region of the petunia chloroplast chromosome strongly suggested to us that the same would hold true in petunia as well. The resulting plasmid, pSAN347, was introduced by particle bombardment into tobacco NT1 cells, where the reporter-*aadA* genes would be expected to integrate into the resident tobacco plastid chromosome(s) via homologous recombination events in the flanking plastid DNA.

Tobacco NT1 suspension cells were bombarded with pSAN347 and allowed to recover for two days prior to being transferred to selective medium containing 500 µg/ml spectinomycin. Within three weeks after bombardment, micro-calli were observed to be growing against a lawn of dead and dying cells. After several more weeks, the calli were picked and transferred to fresh medium containing 500 µg/ml spectinomycin where they continued to grow. An average of approximately 20 spectinomycin-resistant calli per

bombarded plate were observed. No calli were recovered on non-bombarded cells, which were subsequently incubated on spectinomycin-containing medium.

To determine if any of the spectinomycin-resistant NT1 calli expressed the reporter gene, small samples of calli were transferred to microfuge tubes filled with substrate-containing buffer. Within minutes after addition, the calli began to manifest reporter gene expression (Fig. 4A). In total, 40 out of 40 calli manifested the presence of active reporter gene enzyme. No reporter gene expression was ever observed in untransformed NT1 cells.

To gain a more quantitative measurement of reporter activity, cell-free extracts from pSAN347-transformed calli were assayed using a second substrate. As can be observed in Fig. 4B, very high levels of reporter gene expression product activity were observed in the pSAN347-transformed calli, confirming the results obtained with histochemical assays. A comparison of enzyme levels to a nuclear NT1 transformant expressing the reporter gene product was also made.

Tobacco NT1 transformants expressing the reporter gene under control of the enhanced version of the CaMV 35S promoter (E35S) (Kay *et al.*, *supra*) were also analyzed. The plasmid used, harboring the E35S-reporter gene, also included the 5' untranslated leader region from the alfalfa mosaic virus genome, which serves to increase the translational efficiency of the reporter gene-containing transcript. Thus, this reporter transgene can be considered to be optimized for high levels of nuclear gene expression in tobacco cells. Enzymatic assays revealed that the transformants expressed the reporter gene product at levels approximately 3-fold higher than that observed for pBI426 transformants. Taken together with the histochemical data, these results strongly suggested that the *reporter gene-aadA* dicistronic operon was being highly expressed from the 16S rDNA promoter in the proplastids of NT1 cells.

DNA gel blot analysis of the pSAN347 transformants provided evidence that the *reporter-aadA* genes had integrated into the tobacco plastid genome. If integration into the plastid chromosome has occurred, a single, high-copy 6.3 kb *Bam* HI fragment should be present in pSAN347 transformants (Fig. 3C). Total cellular DNA isolated from seven spectinomycin-resistant NT1 transformants was digested with *Bam* HI and probed with the reporter gene. As can be observed in Fig. 5, a single 6.3 kb reporter-hybridizing *Bam* HI fragment (lanes 3-9) measured to be present in 500-1,000 copies per cell was detected. No hybridization to the DNA sample from untransformed NT1 cells was observed (lane 2).

If the *reporter-aadA* expression cassette has inserted into the expected chromosomal location by homologous recombination, the wild-type 3.3 kb *Bam* HI fragment should be replaced by a larger, novel 6.3 kb *Bam* HI fragment when probed with petunia chloroplast DNA from plasmid pSAN307 (Fig. 3C). In DNA from untransformed NT1 cells, the expected 3.3 kb *Bam* HI fragment was detected (Fig. 6, lane 3). However, in the four spectinomycin-resistant lines that were examined (from the seven in Fig. 5), the anticipated 6.3 kb *Bam* HI fragment was detected (lanes 4-7), indicating correct integration at the expected chromosomal location. No wild-type 3.3 kb *Bam* HI fragment was detected in any of the four lines. The lack of wild-type fragments illustrates two important points. First, the *reporter-aadA* cassette has been "copy-corrected" from one copy of the inverted repeat to the other inverted repeat. Second, all the chloroplast chromosomes have been transformed, indicating that homoplasmy has been achieved.

Taken together with the reporter gene product expression data, these results provide convincing evidence that the *aadA* gene can be utilized to recover plastid transformants in non-photosynthetic cells like NT1. As noted previously, *a priori* it was

uncertain whether spectinomycin would be an effective selective agent for the recovery of plastid transformants of non-photosynthetic cells since its reported mechanism of action in plant cells is inhibition of photosynthesis. The results presented herein are consistent with the notion that spectinomycin could also disrupt protein synthesis in  
5 mitochondria, another organelle with prokaryotic-like (70S) ribosomes. If so, high levels of gene expression from the plastid-borne *aadA* gene may be sufficient to inactivate enough spectinomycin that enters into the cell and plastid to provide protection to the mitochondria as well, thus permitting growth.

### Example 2

#### 10 *Recovery of Glyphosate-Resistant Tobacco NT1 Plastid Transformants*

As described in Example 1, recovery of plastid transformants of tobacco NT1 cells, a non-photosynthetic cell line was achieved. To determine if plastid transformants could be recovered using an alternative selectable marker gene, the *hph* gene was investigated for its ability to confer resistance to the herbicide, glyphosate. The *hph* gene  
15 was placed under the control of the strong, constitutive 16S rDNA promoter and the expression cassette embedded with a segment of the petunia chloroplast inverted repeat region to provide DNA sequence homology for recombination events with the resident tobacco plastid chromosomes. Glyphosate-resistant calli were recovered in large numbers. High levels of HPH phosphotransferase activity were detected in the  
20 glyphosate-resistant NT1 transformants. DNA gel blot analysis confirmed that the introduced *hph* gene had integrated into the tobacco plastid chromosome at the expected site by homologous recombination. Moreover, no wild-type plastid chromosomes were detected in the glyphosate-resistant NT1 transformants indicating that homoplasmy had been achieved. Bombardment of regenerable, photosynthetically-active tobacco callus

has also resulted in the recovery of glyphosate-resistant calli that contain HPH phosphotransferase activity. The novel genetic construct described herein may be used to extend the range of species in which plastid transformation is feasible and may be used to recover glyphosate-resistant plants with commercially-acceptable levels of herbicide resistance.

## Materials and Methods for Example 2

**Plasmid construction.** A chimeric *hph-aadA* expression cassette was constructed by placing the *hph* and *aadA* genes under control of the petunia chloroplast 16S rDNA promoter. An RBS derived from the petunia *rbcL* gene was placed 3' to the transcription initiation site for efficient translation initiation of the *hph* gene. The *aadA* gene, supplied with a nearly-identical RBS element, was inserted immediately downstream of a reporter gene so that both genes would be co-transcribed. A stem-loop structure from the 3' end of tobacco *psbA* gene was placed downstream of the *aadA* gene for transcript stability and efficient maturation of the dicistronic transcript's 3' end. This dicistronic cassette was embedded within petunia plastid DNA sequences at the same *Hinc* II site as described in Example 1. However, rather than the 5.8 kb *Pst* I/*Sac* I fragment in pSAN308, a 3.3 kb *Bam* HI sub-fragment of this region found in plasmid pSAN307 was employed. This *Bam* HI fragment from the inverted repeat region of the petunia chloroplast chromosome spans from beyond the ORF70B gene to the *trnI* gene such that the *hph-aadA* cassette was flanked by ~0.9 kb on the side of the ORF70B gene and by ~2.4 kb on the side of the *trnV*-16S rDNA-*trnI* genes. The direction of transcription of the *hph-aadA* dicistron is toward the ORF70B/*rps12/rps7* genes.

**Plant cell transformation.** Plant cell transformation was carried out as described in Example 1 except that the bombarded cells on filter paper were transferred to selective

medium containing either 1 mM or 2 mM glyphosate. Both levels were equally efficacious in the recovery of glyphosate-resistant transformants.

*Phosphotransferase assays.* Cell extracts prepared from calli were tested with glyphosate for phosphorylating activity with [ $^{32}$ P]ATP as described first by Haas and Dowding (*Methods Enzymol.* 43, 611 (1975)) and later by Peñaloza-Vazquez *et al.* (Peñaloza-Vazquez I).

*Gel blot analysis.* DNA gel blot analysis was carried out as described in Example 1.

## Results and Discussion

Peñaloza-Vazquez I recently demonstrated that hygromycin phosphotransferase, the product of the *hph* gene, efficiently utilized glyphosate as a substrate for phosphorylation. Moreover, *E. coli* cells harboring the *hph* gene were able to grow in glyphosate-containing medium. These observations prompted them to investigate the possibility that the *hph* gene might confer glyphosate resistance in transgenic plants. Although the *hph* gene has been widely used as a selectable marker gene in nuclear plant transformation studies to confer resistance to the antibiotic, hygromycin B, whether resistance to glyphosate could be attained was unknown. Indeed, expression of the *hph* gene in the nucleus conferred low, but detectable levels of glyphosate resistance in transgenic tobacco plants (Peñaloza-Vazquez II). We sought to extend these observations to determine if the *hph* gene, when expressed in the plastid, could be utilized as a selectable marker gene for plastid transformation.

The *hph* gene was placed under control of the strong, constitutive petunia chloroplast 16S rDNA promoter (Fig. 2). An *aadA* gene was situated immediately downstream of the *hph* gene such that a dicistronic transcript would be expected to be

synthesized by the plastid RNA polymerase. A stem-loop region from the tobacco *psbA* gene was provided at the 3' end of the transcript for mRNA 3' end maturation and transcript stability. For plastid targeting, a 3.3 kb *Bam* HI sub-fragment from the petunia DNA insert found in pSAN308 was utilized (Fig. 3B). This *Bam* HI fragment from the  
5 inverted repeat region of the petunia chloroplast chromosome spans from beyond the ORF70B gene to the *trnI* gene such that the *hph-aadA* cassette was flanked by ~0.9 kb on the side of the ORF70B gene and by ~2.4 kb on the side of the *trnV-16S rDNA-trnI* genes. The direction of transcription of the *hph-aadA* dicistron is toward the ORF70B/*rps12/rps7* genes. This plasmid was designated pSCO2.

10 Plasmid pSCO2 was precipitated onto tungsten microparticles and bombarded into tobacco NT1 cells for selection on either 1 mM or 2 mM glyphosate-containing medium. Within 2-3 weeks after transfer to selective medium, microcalli were observed. Within 5-6 weeks after bombardment, these calli were transferred to fresh medium containing 2 mM glyphosate. An average of approximately 100 glyphosate-resistant calli  
15 per bombarded plate were observed. No calli were ever observed on plates of non-bombarded cells. After allowing the calli to proliferate, cell suspensions were established from a number of independently-transformed calli and the cell lines challenged with higher concentrations of glyphosate. Cells continued to grow in liquid medium containing 10 mM glyphosate, the highest level tested.

20 Phosphotransferase assays were carried out to detect the enzymatic activity of the HPH protein. Cell-free extracts were prepared from the glyphosate-resistant transformants and tested for their ability to phosphorylate glyphosate *in vitro*. As can be observed in Fig. 7, high levels of HPH phosphotransferase activity were detected in all three pSCO2 NT1 transformants. For comparison, Peñaloza-Vazquez *et al.* (Peñaloza-  
25 Vazquez II) reported a lower level of HPH phosphotransferase activity ( $2.79 \times 10^3$

cpm/mg protein) in leaf extracts of a nuclear-transformed, glyphosate-resistant tobacco plant (their strongest HPH expressor). Little phosphotransferase activity was detected in the extract prepared from untransformed NT1 cells.

DNA gel blot analysis was carried out to determine if the *hph-aadA* cassette had  
5 inserted into the plastid genome. Total cellular DNA was isolated, digested with *Bam*  
HI, and probed with radiolabeled *hph* DNA. As can be observed in Fig. 8, the expected  
5.5 kb *Bam* HI fragment was observed in all seven glyphosate-resistant cell lines. The  
copy number of this fragment was measured to be approximately 500-1,000 copies per  
cell. If the *hph-aadA* cassette has inserted into the expected chromosomal location, the  
10 wild-type 3.3 kb *Bam* HI fragment should be replaced by a larger, novel 5.5 kb *Bam* HI  
fragment when probed with petunia chloroplast DNA from plasmid pSAN307 (Fig. 3D).  
In DNA from untransformed NT1 cells, the expected 3.3 kb *Bam* HI fragment was  
detected (Fig. 9). However, in all seven glyphosate-resistant lines, the anticipated 5.5 kb  
*Bam* HI fragment was detected, indicating correct integration at the expected  
15 chromosomal location. No wild-type 3.3 kb *Bam* HI fragment was detected in any of the  
seven lines. The lack of wild-type fragments illustrates two important points. First, the  
*hph-aadA* cassette has been "copy-corrected" from one copy of the inverted repeat to the  
other inverted repeat. Second, all the chloroplast chromosomes have been transformed,  
indicating that homoplasmy has been achieved in each of the seven lines examined.  
20 Taken together, these results indicate that glyphosate is an extremely efficient selective  
agent in the recovery of plant cell plastid transformants and at promoting the  
establishment of homoplasmy in these transformants.

Glyphosate selection of plastid transformants expressing *hph* should be equally  
efficacious in regeneration systems that utilize photosynthetic or non-photosynthetic cells  
25 as the recipient tissue for introduction of foreign genes. To support this argument,

bombardment of pSCO2 into a regenerable, photosynthetically-active tobacco cell suspension has resulted in the recovery of glyphosate-resistant green calli. Fig. 7 shows that one pSCO2 transformant (NT-R) contained HPH phosphotransferase activity similar to that observed for the pSCO2 NT1 transformants. Although sufficient plant material  
5 for DNA gel blot analysis was not yet available, there is every reason to believe that the *hph-aadA* expression cassette has integrated into the chloroplast chromosome at the expected site, as was found for the pSCO2 NT1 transformants.

Moreover, the *hph* gene, when expressed in the plastid, confers high levels of glyphosate resistance to plastid transformants (transformed cells continue to grow in the  
10 presence of 10 mM glyphosate, the highest level tested). Even higher levels of plastid-localized HPH phosphotransferase activity (and glyphosate resistance) should be achievable in the photosynthetically-active chloroplasts found in leaf tissue as a number of factors act together to dramatically boost chloroplast gene expression activity in green tissue. These factors include an increase in the number of chloroplasts per cell, higher  
15 numbers of chromosomes due to increases in chloroplast number as well as chromosomes per chloroplast, and an overall up-regulation in transcriptional/translational activity throughout the genome.

Commercially-acceptable levels of glyphosate resistance should be achievable in regenerated plants that express the *hph* gene in their plastids. Even in non-photosynthetic  
20 tissues like meristems and roots, *hph* gene expression levels should be comparable to that observed with a nuclear construct driven by the very active enhanced version of the CaMV 35S promoter (see Example 1). The availability of glyphosate-resistant pSCO2 tobacco plants in the near future (regenerating from the green calli) will permit a comprehensive analysis of the glyphosate resistance levels that have been achieved.

### Example 3

#### *Recovery of Glyphosate-Resistant Tobacco NT1 Plastid Transformants Expressing Various Glyphosate-Inactivating Enzymes*

In Example 2, the *hph* gene was demonstrated to be an extremely effective  
5 selectable marker gene for recovery of glyphosate-resistant plastid transformants in tobacco NT1 cells. Since the HPH enzyme has been shown to phosphorylate glyphosate *in vitro*, this is the most likely mechanism for the observed herbicide resistance. A second gene, the *glpA* gene from *Pseudomonas pseudomallei*, shares extensive amino acid and DNA sequence homology with *hph*. Like HPH, the *glpA* gene product has been  
10 demonstrated to possess phosphotransferase activity using either glyphosate or hygromycin B as a substrate. Taken together, these observations suggested to us that *glpA*, when expressed in the plastid like *hph*, would also provide glyphosate resistance to the transformed cells.

To achieve higher levels of glyphosate resistance, it may be desirable to introduce  
15 into the plastid organelle enzymes that are capable of degrading glyphosate. One such candidate gene, the *glpB* gene from *Pseudomonas pseudomallei*, is thought to encode a glyphosate-degrading enzyme. Accordingly, we have constructed a plastid expression cassette that co-expresses the *hph* and *glpB* genes together and have introduced this cassette into NT1 cells for the recovery of glyphosate-resistant tobacco NT1 cell plastid  
20 transformants. Also, bombardment of regenerable, photosynthetically-active tobacco callus with the *glpB-hph* genes has resulted in the recovery of glyphosate-resistant calli that contain HPH phosphotransferase activity.

#### Materials and Methods for Example 3

**Plasmid construction.** Plasmid pSCO2 contains the *hph* and *aadA* genes under  
25 control of the petunia 16S rDNA promoter. The *glpB* gene, with its own RBS element

from the *rbcL* gene, was inserted adjacent to and upstream of the *hph* gene in plasmid pSCO2 to create plasmid pSCO3. Thus, a polycistronic transcript would be predicted to be synthesized in the plastid that included the *glpB-hph-aadA* genes. This cassette is embedded within the 3.3 kb *Bam* HI petunia chloroplast DNA fragment found in pSAN307 for targeting into the tobacco plastid chromosome.

**Plant cell transformation.** Plant cell transformation was carried out as described in Example 2.

**Phosphotransferase assays.** HPH phosphotransferase assays were carried out as described in Example 2.

## 10 Results and Discussion

One of the many attractive features of the non-selective herbicide glyphosate is its rapid degradation by soil microorganisms. In 1995, Peñaloza-Vazquez and colleagues (Peñaloza-Vazquez I) described the isolation of a glyphosate-degrading bacterial strain, *Pseudomonas pseudomallei* II, from glyphosate-treated soil. They further described the cloning and characterization of two genes, *glpA* and *glpB*, which were involved in the degradation of glyphosate. The *glpA* deduced amino acid sequence revealed a significant level of identity to the *E. coli hph* gene, suggesting that *glpA* encoded a phosphotransferase enzyme. This prediction was realized when they demonstrated that the *glpA* enzyme could utilize both glyphosate and hygromycin B as a substrate for phosphorylation (like the HPH phosphotransferase). The *glpB* DNA and deduced amino acid sequence had no significant homology with any other DNA or protein sequences.

Gene expression studies in *E. coli* revealed that cells harboring *glpA* were able to grow in the presence of 100 µg/ml hygromycin B whereas the host strain was inhibited by a concentration of 50 µg/ml, thus confirming its phosphotransferase activity (Peñaloza-Vazquez I). *E. coli* cells harboring *glpB* alone were able to utilize glyphosate

as the sole phosphorous source, suggesting that *glpB* encodes an enzyme with glyphosate-degrading activity (Peñaloza-Vazquez I). Although the activity of the *glpB* enzyme remains uncertain, the authors speculated that it probably converts glyphosate by cleavage of the N-C bond to a breakdown intermediate, aminomethylphosphonic acid.

5           With a view toward increasing the level of glyphosate resistance achievable in plant cell plastid transformants, we inserted the *glpB* gene into a chloroplast expression cassette already containing the *hph* and *aadA* genes. The *glpB* gene, supplied with its own RBS based upon the *rbcL* gene, was placed immediately upstream of the *hph* gene in pSCO2 (thus creating pSCO3) such that a polycistronic transcript containing *glpB*-  
10   *hph-aadA* would be synthesized by the plastid RNA polymerase (Fig. 2). This cassette, under the control of the petunia chloroplast 16S DNA promoter, is embedded within the 3.3 kb *Bam* HI petunia chloroplast inverted repeat region found in pSAN307 for targeting in the plastid genome (Fig. 3B).

Tobacco NT1 cells were bombarded with plasmid pSCO3 and plastid  
15   transformants selected on medium containing 2 mM glyphosate. Within several weeks, microcalli were observed to be proliferating. After several more weeks, the calli were transferred to fresh medium containing 2 mM glyphosate. No calli were ever observed on plates of non-bombarded cells.

Phosphotransferase assays detected the presence of enzymatically-active HPH  
20   protein. Cell-free extracts were prepared from the glyphosate-resistant pSCO3 NT1 transformants and tested for their ability to phosphorylate glyphosate *in vitro*. As can be observed in Fig. 7, high levels of HPH phosphotransferase activity were detected in all three pSCO3 transformants. These values were essentially identical to the ones measured for the pSCO2 NT1 transformants (Fig. 7). These results indicate that *hph* is expressed  
25   equally well in pSCO2 and pSCO3 NT1 transformants. Therefore, the position of the

*hph* gene in the dicistronic and polycistronic operons (see Fig. 2) of pSCO2 and pSCO3, respectively, has little, if any, influence on its expression. Little phosphotransferase activity was detected in the extract prepared from untransformed NT1 cells.

Plasmid pSCO3 was also bombarded into a regenerable, photosynthetically-active tobacco cell suspension for the recovery of glyphosate-resistant calli and plants. Glyphosate-resistant green calli were recovered and three transformants observed to contain levels of HPH phosphotransferase activity similar to those observed for pSCO3 NT1 transformants (Fig. 7). DNA gel blot analysis of these pSCO3 transformants should reveal that the *glpB-hph-aadA* expression cassette has integrated into the chloroplast chromosome at its targeted site (as was observed for the NT1 transformants).

#### Example 4

##### *Plastid Transformation of Non-Photosynthetic Plant Cells Does Not Require an nep Promoter*

Allison *et al.* (*EMBO J.* 15, 2802 (1996)) recently described the generation of transgenic tobacco plants that were genetically-modified in their plastid chromosomes to delete the *rpoB* subunit of the plastid-encoded RNA polymerase (*pep*) enzyme. The resulting transgenic plants (designated  $\Delta rpoB$ ) were albino and lacked the differentiated, photosynthetically-active chloroplast structure typically found in mature leaves. Gene expression studies of these mutants revealed that the transcript abundance of certain plastid genes was dramatically reduced while the abundance of others was relatively unaffected or even slightly increased. Analysis of the genes whose transcript abundance was relatively unaffected (or increased) revealed that the site of transcription initiation for these genes in  $\Delta rpoB$  plants differed from that found in wild-type plants. The authors concluded that these novel transcripts were synthesized by a nuclear-encoded RNA polymerase (*nep*) enzyme that is synthesized in the cytosol and imported into the plastid.

Transcript analysis of the tobacco 16S rDNA gene revealed the presence of transcription initiation sites for both *pep* and *nep* enzymes (Fig. 10) (Allison *et al.*, *supra*). The *pep* initiation sites are used almost exclusively in wild-type plants whereas the *nep* initiation site is used predominantly in the  $\Delta$ rhoB plants. DNA sequence analysis of the 16S rDNA 5' region revealed the presence of both the familiar -35/-10 *pep*-associated promoter elements as well as a sequence motif homologous to the consensus sequence for a *nep* promoter (Fig. 10). The authors concluded that the *nep* promoter would be preferentially utilized in non-photosynthetic plant tissues such as meristems and roots, which would contain proplastids and amyloplasts, respectively. This conclusion is supported by earlier observations (Vera and Sugirra, *Curr. Genet.* 27, 280 (1995)) that although 16S rRNA transcripts were initiated from both *pep* and *nep* promoters in chloroplasts from green tobacco leaves and in proplastids from non-photosynthetic cultured tobacco cells, *nep*-derived transcripts were more abundant than *pep*-derived transcripts in the proplastids. The authors further speculated that plastid transformation

of non-photosynthetic tissues such as embryogenic or non-embryogenic callus and suspension cultures would require a *nep* promoter for efficient expression of foreign genes like selectable marker genes since the *pep* promoter is not efficiently utilized in the plastids of non-photosynthetic tissues.

5           Examination of the DNA sequence in the  $P_m$  fragment of our plastid expression cassettes (found in pSAN347, pSCO2 and pSCO3) reveals that while the canonical -35/-10-like elements, which comprise the *pep* promoter, are present, the putative *nep* promoter, which has been identified, is absent (Fig. 10). In the genetic constructs described here, the *nep* promoter has been deleted and replaced in the same location by  
10 the ribosome-binding site for translation initiation. DNA sequence comparison in this immediate region reveals no significant homology between the  $P_m$  sequence and the tobacco wild-type 16S promoter fragment. It is important to note that a putative *nep* promoter can be identified by DNA sequence inspection in the chloroplast 16S rDNA genes from mustard, soybean, spinach, and maize in the same relative position as  
15 identified for the tobacco 16S rDNA gene (Fig. 10). Therefore, this strongly suggests that the *nep* promoter in the  $P_m$  fragment has been deleted and is not merely lacking sufficient homology to be detected by DNA sequence comparison.

It might seem feasible that the expression of the foreign genes in our transgenic lines is due to read-through transcription from other plastid promoters that lie outside of  
20 our expression cassette. However, our transgenes are located and oriented on the tobacco chloroplast chromosome in the same manner as first reported by Zoubenko *et al.*, *supra*, (Figs. 3C and 3D). Those authors analyzed transcripts from the *gusA* gene, either promoterless (pLAA25A) or under control of the tobacco 16S rDNA promoter (pLAA24A), situated in the same location and orientation on the tobacco chloroplast  
25 chromosome as our cassettes. No *gusA* transcripts were detected in tobacco chloroplasts

containing the promoterless *gusA* gene whereas a highly abundant *gusA* transcript derived from the 16S promoter was found in pLAA24A transformants. Taken together, these results indicate that a functional promoter must be included in an expression cassette to obtain detectable levels of gene expression when the cassette is situated in this location and orientation within the plastid chromosome. Since the tobacco and petunia genomes are extremely highly conserved in both DNA sequence and gene arrangement in this region of the chromosome, the same general observations made in tobacco should apply to petunia.

Based upon the report of Zoubenko *et al.*, *supra*, in conjunction with our own results, which indicate high levels of reporter gene product and HPH phosphotransferase activity in our pSAN347 and pSCO2/pSCO3 plastid transformants, respectively, the inescapable conclusion is that transcription initiation must be directed by the *pep* promoter in our P<sub>m</sub> fragment. The observations of Vera and Sugaira, *supra*, who identified 16S rRNA transcripts originating from the *pep* promoter in non-photosynthetic proplastids of cultured tobacco cells, also support this argument. These results strongly indicate that the *nep* promoter identified by Maliga and colleagues is not required for high-level plastid gene expression in plastid transformants of non-photosynthetic plant cells.

### Example 5

#### Plastid Expression Vectors for the Recovery of Monocot Plant Cell Plastid Transformants

All of the major crops grown in the United States as well as abroad, such as wheat, corn, oats, sorghum and rice, are classified as monocotyledonous plants. If the capability to reliably transform the plastid genome was expanded to include these agronomically-important crops and other valuable monocot species (like turfgrass), new

opportunities in crop improvement could be realized. Although the gene content and gene arrangement of plastid genomes is generally very conserved among vascular land plants, there are some significant differences that have been reported. These differences may include genome size, gene content, gene organization, variable spacing between  
5 genes, and differing sizes of the single-copy and inverted repeat regions. Therefore, the selection of an insertion site for foreign genes and the associated flanking sequences that surround the insertion site to provide the necessary homology for integration into the plastid chromosome must be carefully considered. The insertion of transgenes into the plastid genome must not disrupt essential chloroplast genes nor seriously interfere with  
10 the expression of neighboring genes.

The entire chloroplast genomes of the monocots, *Oryza sativa* (rice) (Hiratsuka *et al.*, *Mol. Gen. Genet.* 217, 185 (1989)) and *Zea mays* (maize) (Maier *et al.*, *J. Mol. Biol.* 251, 614 (1995)) have been sequenced. DNA sequence comparison has revealed that these monocot genomes share a very high degree of homology with each other, and  
15 to a large extent, with the tobacco plastid genome, the first dicot chloroplast genome to be sequenced in its entirety (Shinozaki *et al.*, *EMBO J.* 5, 2043 (1986)). However, gene content differences and structural changes were noted between the monocots and the dicot. With these differences noted, we sought to identify an insertion site within the monocot plastid chromosome that would likely be conserved and thus be applicable to  
20 a broad range of monocot plant species. Since the inverted repeat region of the dicot plastid genome has already proven to be an excellent site for the targeting of transgenes into the plastid chromosome, this area was selected for further consideration. Moreover, selected portions of the inverted repeat segments of dicot and monocot plastid chromosomes are some of the most highly conserved regions of the plastid genome, and  
25 are likely candidates for the identification of a suitable insertion site.

Inspection of the rice and maize plastid inverted repeat sequences revealed the shared presence of an intergenic region that contained no detectable protein-coding regions. Fig. 11 depicts this span, nearly 1 kb in length, located between exon 2 of the *rps12* gene and a putative protein-coding region of unknown function, designated ORF 72. Importantly, the DNA sequence homology in this intergenic region and in the flanking regions was extremely high between maize and rice, suggesting to us that the flanking regions would be suitable for targeting foreign genes (through homologous recombination) into a variety of monocot plastid chromosomes. Therefore, this region appeared to meet the criteria sought for the integration of foreign genes into monocot plastid chromosomes. An additional attractive feature of this region is that, in the unlikely event that DNA integration disrupted an essential chloroplast gene (identified or not), an intact duplicate of this region would still remain on the other copy of the inverted repeat. In this scenario, it would be expected that plastid transformants would be recovered that would contain dissimilar inverted repeat regions.

Since we maintained a primary interest in transforming the plastid genomes of turfgrasses, a 3.1 kb fragment spanning from the *rps7* gene to the *trnV* gene of the inverted repeat region was cloned from the plastid genome of *Agrostis stolonifera*, or bentgrass. Although the bentgrass genome has not yet been sequenced, bentgrass chloroplast structural DNA information is given in Katayama *et al.*, *Curr. Genet.* 29, 572 (1996). Partial DNA sequence analysis of this fragment revealed that the DNA sequence homology between bentgrass and rice easily exceeded 95%. From the sequence analysis, a unique *Xba* I site within the intergenic region (Fig. 11) was selected as the insertion site for the transgenes. The nearest protein-coding region to this *Xba* I site, ORF72, is nearly 200 bp away; in the opposite direction, the *rps12* gene lies almost 800 bp away. The plastid expression cassettes would then be flanked by ~1 kb of bentgrass plastid sequence

on the side of the ORF72/ORE85 genes and by ~2.1 kb on the side of the *rps12/rps7* genes (Fig. 11) to facilitate homologous recombination events with the resident plastid chromosomes. It is especially worth noting that this same *Xba* I site is also conserved in both the rice and maize plastid genomes.

5           The 2.2 - 3.2 kb plastid expression cassettes found in plasmids pSAN347, pSCO2 and pSCO3, extending from the P<sub>mn</sub> fragment to the T<sub>psbA</sub> fragment (Fig. 2), were liberated from the petunia chloroplast sequences by digestion with *Not* I and *Pst* I. In the cases of pSCO2 and pSCO3, partial *Pst* I digests were necessary since the *hph* gene contains a *Pst* I site within its coding region. DNA sequence comparison of the petunia chloroplast 16S  
10   rDNA promoter and the *rbcL* RBS element in the P<sub>mn</sub> fragment to the maize sequences revealed a very high degree of homology, strongly suggesting to us that these regulatory elements would function properly in monocot plastids. The same conclusion was also reached for the tobacco T<sub>psbA</sub> element, which is required for transcript 3' end maturation and stability. The *reporter-aadA* (from pSAN347), *hph-aadA* (from pSCO2) and *glpB-*  
15   *hph-aadA* (from pSCO3) cassettes were each inserted into the *Xba* I site of the bentgrass plastid fragment in plasmid pSCO5. All plastid expression cassettes were inserted in both possible directions of transcription (Fig. 11) in the unlikely event that orientation within the inverted repeat would impact the recovery of spectinomycin- and glyphosate-resistant plastid transformants.

20           Virtually all regeneration systems for monocot plants rely upon the initiation and maintenance of regenerable, non-photosynthetic callus or cell suspension cultures. We chose to test our gene expression vectors for monocot plastid transformation in two monocots, maize and creeping bentgrass. Maize Black Mexican Sweet (BMS) cells, a non-regenerable corn line, provide an extremely attractive target for biolistic  
25   transformation (the cell suspensions are very fine and grow well). We bombarded six

plasmids into maize BMS cells for the recovery of glyphosate-resistant (pSCO6 - pSCO9) and spectinomycin-resistant (pSCO10/pSCO11) plastid transformants. In addition, we bombarded these same plasmids into a regenerable, embryogenic cell suspension derived from creeping bentgrass. After plastid transformants were recovered  
5 as callus, intact plants were regenerated from the transgenic callus, either in the presence (if the plastid transformants have not yet achieved homoplasmy) or absence of selective pressure (when homoplasmy is achieved).

### Example 6

#### 10 *Glyphosate Resistance in Tobacco Plastid Transformants Expressing the hph, glpB, and hph-glpB Co-transfected Glyphosate-Inactivating Enzyme*

In Example 2, the *hph* gene was demonstrated to be an extremely effective selectable marker gene for recovery of glyphosate-resistant plastid transformants in tobacco NT1 cells. Since the HPH enzyme has been shown to phosphorylate glyphosate *in vitro*, this is the most likely mechanism for the observed herbicide resistance. A  
15 second gene, the *glpA* gene from *Pseudomonas pseudomallei*, shares extensive amino acid and DNA sequence homology with *hph*. Like HPH, the *glpA* gene product has been demonstrated to possess phosphotransferase activity using either glyphosate or hygromycin B as a substrate. We concluded that *glpA*, when expressed in the plastid like *hph*, should also provide glyphosate resistance to the transformed cells.

20 It was also of interest to investigate other genes that, when expressed in the plastid, might confer glyphosate resistance through alternative (*i.e.*, non-phosphorylating) molecular mechanisms. One such candidate gene, the *glpB* gene from *Pseudomonas pseudomallei*, is thought to encode a glyphosate-degrading enzyme that works in concert with the *glpA* phosphotransferase to confer glyphosate resistance in that microorganism.

25 Earlier gene expression studies in *E. coli* suggested that the *glpB* enzyme highly

preferred the phosphorylated form of glyphosate over the unmodified form as a substrate for degradation. To assess the *glpB* gene in plant cells, plastid expression cassettes that express *glpB* alone or co-express *hph* and *glpB* together were first introduced into tobacco NT1 cells. Despite repeated attempts, no plastid transformants expressing *glpB* alone  
5 could be recovered after selection on glyphosate-containing medium. NT1 transformants co-expressing *glpB* and *hph* displayed the same glyphosate resistance properties as *hph*-expressing NT1 lines. These results suggested that *glpB* expression in tobacco NT1 plastid transformants appeared to be inconsequential.

Transplastomic tobacco plants expressing *glpB-hph* were recovered and tested for  
10 their glyphosate resistance phenotype. In spray tests conducted in a growth chamber environment, plants expressing *hph* survived ROUNDUP® application rates up to 0.8 kg/Ha. However, *glpB-hph* plants survived a ROUNDUP® application rate of 1.2 kg/Ha, the highest concentration tested was 1.8 kg/Ha. Untransformed control plants died when exposed to only 0.12 kg/ha of glyphosate. Taken together, these results demonstrated  
15 that significant levels of glyphosate resistance could be achieved in *hph*-expressing transplastomic plants. Moreover, these results indicate that glyphosate resistance can be augmented by co-expression of the *glpB* gene. Finally, emerging results from experiments focused on achieving monocot plant plastid transformation also indicate that co-expression of *glpB* with *hph* will confer an advantage to plastid transformants selected  
20 on glyphosate-containing medium over transformant expressing *hph* only. Overall, these results demonstrate that co-expression of *glpB* with *hph* favorably impacts the glyphosate resistance phenotype achieved in some plastid transformants.

To determine whether the HPH protein exerted its influence solely in the plastid or was also transported out of the plastid into the cytoplasm, tobacco plants whose  
25 plastids were transformed with the *hph* or *hph/glpB* and control tobacco plants nuclear

transformed with *hph* under control of the 35S promoter were subjected to 30 µg/ml hygromycin. After 15 days of incubation, the chloroplast-transformed plants died under hygromycin selection, but the nuclear transformed plants were still growing. These results suggest that the hygromycin phosphotransferase protein is not exported out of the chloroplasts.

#### Materials and Methods for Example 6

**Plasmid construction.** Plasmid pSAN325 contains the *aadA* gene under control of the petunia plastid 16S rDNA promoter. This plasmid was digested with restriction enzymes *Cla* I and *Stu* I, which cleave between the *rrn* promoter and the *aadA* gene. A *Cla* I - *Sma* I restriction fragment containing the *glpB* coding region, supplied with its own synthetic RBS element modeled after the *rbcL* gene, was generated by PCR amplification. This *glpB* gene was then inserted between the *Cla* I and *Stu* I sites of pSAN325 to create plasmid pSCO1. It was predicted that a dicistronic *glpB-aadA* transcript should be synthesized in the plastid.

Plasmid pSCO2 contains the *hph* and *aadA* genes under control of the petunia plastid 16S rDNA promoter. Plasmid pSCO2 was linearized by digestion with *Cla* I, which cleaves just prior to the RBS element of the *hph* gene. The *Cla* I ends were then filled in by DNA synthesis using Klenow DNA polymerase. The same *Cla* I-*Sma* I *glpB* gene used in the construction of pSCO1 was also treated with Klenow DNA polymerase to create a blunt-ended fragment. This *glpB* gene was then inserted in the correct orientation at the modified *Cla* I site adjacent to the *hph* gene in plasmid pSCO2 to create plasmid pSCO3. Thus, a polycistronic transcript would be predicted to be synthesized in the plastid that would include the *glpB-hph-aadA* genes.

The plastid expression cassettes in both pSCO1 and pSCO3 were embedded (at the *Hinc* II site located at the end of ORF70B) within the 3.3 kb *Bam* HI petunia chloroplast DNA fragment found in pSAN307 for targeting into the tobacco plastid chromosome. In both plasmids, the direction of transcription is toward the *rps12* gene.

5        **Plant cell transformation.** Plant cell transformation was carried out as described in Example 2.

**Phosphotransferase assays.** HPH phosphotransferase assays were carried out as described in Example 2.

10       **DNA gel blot analysis.** DNA gel blot analysis was carried out as described in Example 1.

## Results and Discussion

A two-fold approach was adopted to investigate *glpB* gene expression in plastids. First, with a view toward increasing the level of glyphosate resistance achievable in plant cell plastid transformants, the *glpB* gene was inserted into a chloroplast expression cassette already containing the *hph* (and *aadA*) genes. The *glpB* gene, supplied with its own RBS based upon the *rbcL* gene, was placed immediately upstream of the *hph* gene in pSCO2 (thus creating pSCO3) such that a polycistronic transcript containing *glpB-hph-aadA* would be synthesized by the plastid RNA polymerase (Fig. 2). We hypothesized that the *hph* enzymewould act in a similar manner to the *glpA* protein and phosphorylate glyphosate for immediate breakdown by the *glpB* enzyme.

15       20

Second, to assess the level of glyphosate resistance conferred by *glpB* alone, the *glpB* gene was also inserted into a chloroplast expression cassette containing the *aadA* gene, but not the *hph* gene. The same *glpB* gene as found in pSCO3 was placed immediately upstream of the *aadA* gene (thus creating pSCO1) such that a dicistronic

transcript containing *glpB-aadA* would be synthesized by the plastid RNA polymerase (Fig. 2). These expression cassettes, under the control of the petunia chloroplast *rrn* promoter, were embedded (at the *Hinc* II site located at the end of ORF70B) within the 3.3 kb *Bam* HI petunia chloroplast inverted repeat region found in pSAN307 for targeting  
5 in the plastid genome (Fig. 3B).

Tobacco NT1 cells were bombarded with plasmids pSCO3 (*glpB-hph-aadA*) and pSCO1 (*glpB-aadA*), and plastid transformants selected on medium containing 2 mM glyphosate. Within several weeks, micro-calli were observed to be proliferating on plates that had been bombarded with pSCO3 DNA, but no micro-calli were observed on plates  
10 bombarded with pSCO1. After several additional weeks, glyphosate-resistant, pSCO3-bombarded NT1 calli were transferred to fresh medium containing 2 mM glyphosate. Still, no calli were observed on the plates of pSCO1-bombarded cells. Additional tobacco NT1 cell bombardments were performed with pSCO1 DNA, but no glyphosate-resistant calli were ever recovered. The inability to recover pSCO1 NT1 transformants  
15 strongly supported the conclusion from earlier *E. coli* studies that suggested that non-phosphorylated glyphosate was a relatively poor substrate for utilization by the *glpB* protein. Therefore, we focused our attention on whether co-expression of *glpB* with *hph* would increase glyphosate resistance levels in NT1 transformants relative to transformants expressing *hph* alone.

20 Cell-free extracts were prepared from the glyphosate-resistant pSCO3 NT1 transformants and tested for their ability to phosphorylate glyphosate *in vitro*. As can be observed in Fig. 7, high levels of HPH phosphotransferase activity were detected in all three pSCO3 transformants. These values were very similar to the ones measured for the pSCO2 NT1 transformants (Fig. 7). These results indicate that *hph* is expressed equally  
25 well in pSCO2 and pSCO3 NT1 transformants. Therefore, the position of the *hph* gene

in the dicistronic and polycistronic operons (see Fig. 2) of pSCO2 and pSCO3, respectively, has little, if any, influence on its expression. Once again, little phosphotransferase activity was detected in the extract prepared from untransformed NT1 cells.

5           Since HPH phosphotransferase levels were so similar in pSCO2 (*hph*) and pSCO3 (*glpB-hph*) transformants, we sought to determine if *glpB* expression increased glyphosate resistance levels. Tobacco NT1 plastid transformants expressing *hph* or *glpB-hph* were maintained in 1 mM glyphosate-containing liquid medium. These cells were then used to inoculate fresh liquid cultures containing either 1, 5, 10 or 20 mM  
10   glyphosate, and growth was monitored for nearly three weeks. Untransformed NT1 cells were also tested and failed to grow in medium containing the lowest level of glyphosate (1 mM). Both pSCO2- and pSCO3-transformed NT1 cells grew at essentially the same rates in medium containing up to 10 mM glyphosate, the highest level of glyphosate in which growth was observed. No significant cell growth was observed in medium  
15   containing 20 mM glyphosate. Therefore, this study could not detect differences in either growth rate in glyphosate-containing medium nor absolute level of glyphosate resistance between *hph* and *glpB-hph*-expressing NT1 cells, suggesting that *glpB* contributed little, if any, to the glyphosate resistance phenotype observed here.

          Although phenotypic differences between pSCO2 and pSCO3 NT1 transformants  
20   could not be discerned, we wanted to determine if similar results would be obtained in tobacco plants expressing with these same transgenes. To recover transplastomic tobacco plants, plasmids pSCO2 and pSCO3 were bombarded into regenerable, photosynthetically-active tobacco callus. Glyphosate-resistant green calli were recovered for each DNA and were shown to express HPH phosphotransferase activity (Fig. 7).  
25   Additionally, DNA gel blot analysis of the pSCO2 and pSCO3 transformants revealed

that the  $P_{\text{m}}\text{-hph-aadA-T}_{\text{psbA}}$  and  $P_{\text{m}}\text{-glpB-hph-aadA-T}_{\text{psbA}}$  expression cassettes, respectively, had integrated into the chloroplast chromosome at the expected targeted site (Fig. 13).

Tobacco shoots were regenerated from the transformed calli in the presence of glyphosate and assayed for HPH phosphotransferase activity. As can be observed in Figure 12, leaf extracts prepared from *in vitro*-maintained pSCO2 and pSCO3 transplastomic plants contained similarly high levels of HPH phosphotransferase activity. The tobacco plants were eventually moved to the growth chamber for assessment of glyphosate resistance levels. Transplastomic tobacco plants expressing *hph* alone or co-expressing *glpB-hph* were sprayed with commercial formulations of ROUNDUP® at rates up to 1.8 kg/Ha (equivalent to 72 oz./acre) (Fig. 14). It was observed that pSCO2 (*hph*) plants exhibited no glyphosate-related symptoms at 0.8 kg/Ha but that damage was observed when sprayed at a rate of 1.2 kg/Ha. This was ~10-fold above the level that was required to kill untransformed tobacco plants. When pSCO3 (*glpB-hph*) plants were sprayed, no herbicide-related damage was observed at a rate of 1.2 kg/Ha, the same rate that had affected pSCO2 plants. When the ROUNDUP® application rate was increased to 1.8 kg/Ha, the highest concentration tested, glyphosate-related damage was observed on the pSCO3 plants. This increased level of glyphosate resistance in pSCO3 plants was reproducible and most likely can be attributed to *glpB* expression within the plastid.

To explain these results, it could be imagined that the HPH phosphotransferase first modifies the glyphosate molecules entering the plastid in both pSCO2 and pSCO3 plants, thus deactivating the herbicide. This enzymatic step constitutes the primary mode of action for the observed glyphosate resistance phenotype in pSCO2 and pSCO3 tobacco plants. However, the possibility that the phosphorylated glyphosate molecule still retains a residual amount of binding activity for the EPSPS enzyme cannot be ruled out. Thus,

in the absence of the *glpB* enzyme, the phosphorylated glyphosate may still exert some inhibitory activity within the plastid compartment. However the presence of the *glpB* protein, with its glyphosate-degrading activity, should reduce intracellular concentrations of the modified glyphosate, effectively increasing the overall level of glyphosate  
5 resistance.

Alternatively, it could be postulated that phosphate moiety in glyphosate is cleaved by random phosphatases within the plant cell and/ or perhaps non-enzymatic hydrolyzation, thereby restoring full EPSPS-binding activity. However, in the presence of the *glpB* protein, the phosphorylated glyphosate molecule would be immediately  
10 degraded, thereby making it unavailable to intracellular phosphatases.

In addition to these observations in tobacco plants, we have noted that *glpB* expression might be providing enhanced glyphosate resistance to maize calli co-expressing *hph* versus *hph* alone. We have casually noted that during selection of bombarded maize suspension cells on glyphosate-containing medium, plates of cells  
15 bombarded with a plasmid designed to co-express both genes in the plastid exhibit both faster-growing and higher numbers of transformants than plates bombarded with *hph* alone. This same observation has also been noted after similar bombardments of rice suspension cells. Taken together with the ROUNDUP® spray tests of the transplastomic tobacco plants, these observations indicate that co-expression of *glpB-hph* in the plastid  
20 compartment can improve the glyphosate resistance phenotype.

At this time, we are uncertain as to why no phenotypic differences were observed in tobacco NT1 pSCO2 and pSCO3 transformants (as compared to the whole plants). It may be that such differences are dependent upon plant species (tobacco vs. maize) and/or tissue type (callus vs. plant). Finally, it should be noted that a plastid expression cassette  
25 that contains the *hph* gene under regulatory control of the *rrn* promoter (see PSCO35 in

Fig. 2) has also yielded glyphosate-resistant tobacco transformants (as verified by detection of an *hph*-specific PCR product). This indicates that the *aada* gene, which is found in plasmids pSCO2 and pSCO3, is dispensable for the recovery of glyphosate-resistant transformants.

5       The glyphosate-resistant tobacco plants were grown to flowering. The plants appeared phenotypically normal, and were as vigorous as the control plants. These plants were then selfed and crossed to wild-type plants. the transgenic plants appeared to be fully male and female fertile. Seed was collected from the crosses and was screened for the presence of the *aadA* gene by germination in the presence of spectinomycin  
10 (seedlings are green if the gene is present in the chloroplast, white if the gene is absent). When the transgenic plants were used as the female parent, all of the progeny were green, but when the wild-type parent was pollinated by the transgenics, all progeny were white, proving classical maternal inheritance, as expected.

#### Example 7

15       *Recovery of Glyphosate-Resistant Plant Cell Plastid Transformants from the Maize and Bentgrass Monocots*

As described in Examples 2, 3 and 6, transplastomic tobacco NT1 cultured cells and plants were recovered using the *hph* gene (and, in some cases, *glpB*) to confer resistance to the herbicide, glyphosate. With a view toward expanding the application  
20 of this plastid transformation technology beyond tobacco, the agronomically-important monocot crop plants were targeted for plastid genome manipulation.

An *hph* expression cassette, under the control of the strong, constitutive petunia 16S rDNA promoter, was embedded within a segment of the creeping bentgrass chloroplast inverted repeat region to provide DNA sequence homology for recombination  
25 events with the resident monocot plastid chromosomes. After bombardment of non-

regenerable maize suspension cells, glyphosate-resistant calli were recovered in modest numbers. High levels of HPH phosphotransferase activity were detected in the glyphosate-resistant maize transformants. DNA gel blot analysis confirmed that the introduced *hph* gene had integrated into the maize plastid chromosome at the expected site by homologous recombination. Bombardment of a regenerable creeping bentgrass cell suspension also resulted in the recovery of glyphosate-resistant calli. A bentgrass plant regenerated from one of the glyphosate-resistant calli exhibited a high level of glyphosate resistance after spray application of the herbicide ROUNDUP®. Bombardment of a regenerable rice cell suspension has also resulted in the recovery of glyphosate-resistant calli; similar transformation experiments have recently been undertaken in wheat, too. These results provide strong, convincing evidence that the plastid-expressed *hph* gene, when used as a selectable marker gene together with glyphosate as the selective agent, permits relatively facile manipulation of the plastid genomes of monocotyledonous plants.

The novel genetic constructs described herein have extended the range of land plant species in which plastid transformation is feasible and may be used to recover glyphosate-resistant plants with commercially-acceptable levels of herbicide resistance.

#### Materials and Methods for Example 7

**Plasmid construction.** Oligonucleotide primers were designed to anneal to sequences found in the *trnV* and *rps7* genes of the creeping bentgrass chloroplast inverted repeat region. A 3.1 kb fragment spanning from *trnV* to *rps7* was amplified by PCR and digested with *Sac* I, which cleaves at a primer-specific site. This fragment, which will provide the flanking DNA sequences necessary for facilitating integration of transgenes

into monocot plastid genomes, was inserted into *Sac* I-digested pGEM5 DNA (Promega) to create plasmid pSCO5.

The 2.2 - 3.2 kb plastid expression cassettes found in plasmids pSAN347, pSCO2 and pSCO3, extending from the  $P_m$  fragment to the  $T_{psbA}$  fragment (Fig. 2), were liberated  
5 from the petunia chloroplast sequences by digestion with *Not* I and *Pst* I. In the cases of pSCO2 and pSCO3, partial *Pst* I digests were necessary since the *hph* gene contains a *Pst* I site within its coding region. All restriction fragments were treated with T4 DNA polymerase to create blunt-ended restriction fragments. Plasmid pSCO5 was linearized by digestion with *Xba* I, which cleaves in the intergenic region between the *trnV* and *rps7*  
10 genes, and the ends filled in by DNA synthesis using T4 DNA polymerase. The  $P_m$ -*reporter-aadA-T<sub>psbA</sub>* (from pSAN347),  $P_m$ -*hph-aadA-T<sub>psbA</sub>* (from pSCO2) and  $P_m$ -*glpB-hph-aadA-T<sub>psbA</sub>* (from pSCO3) cassettes were each inserted into the now-modified *Xba* I site of the bentgrass plastid fragment in plasmid pSCO5. All plastid expression cassettes were inserted in both possible directions of transcription (Fig. 11) in the  
15 unlikely event that orientation within the inverted repeat would impact the recovery of spectinomycin- and glyphosate-resistant plastid transformants.

**Plant cell transformation.** Plant cell transformation was carried out as essentially as described in Example 2.

**Phosphotransferase assays.** HPH phosphotransferase assays were carried out as  
20 described in Example 2.

**DNA gel blot analysis.** DNA gel blot analysis was carried out as described in Example 1.

## Results and Discussion

Since we maintained a primary interest in transforming the plastid genomes of turfgrasses, a 3.1 kb fragment spanning from the *rps7* gene to the *trnV* gene of the inverted repeat region was cloned from the plastid genome of *Agrostis stolonifera*, or bentgrass. Partial DNA sequence analysis of this fragment revealed that the DNA  
5 sequence homology between bentgrass and rice easily exceeded 95%. From the sequence analysis, a unique *Xba* I site within the intergenic region (Fig. 11) was selected as the insertion site for the transgenes. The nearest protein-coding region to this *Xba* I site, ORF72, is nearly 200 bp away; in the opposite direction, the *rps12* gene lies almost 800 bp away. The plastid expression cassettes would then be flanked by ~1 kb of bentgrass  
10 plastid sequence on the side of the ORF72/ORF85 genes and by ~2.1 kb on the side of the *rps12/rps7* genes (Fig. 11) to facilitate homologous recombination events with the resident plastid chromosomes. It is especially worth noting that this same *Xba* I site is also conserved in both the rice and maize plastid genomes.

The  $P_{\text{m}}\text{-reporter-aadA-T}_{\text{psbA}}$  (from pSAN347),  $P_{\text{m}}\text{-hph-aadA-T}_{\text{psbA}}$  (from pSCO2)  
15 and  $P_{\text{m}}\text{-glpB-hph-aadA-T}_{\text{psbA}}$  (from pSCO3) cassettes were each inserted into this *Xba* I site of the bentgrass plastid fragment in plasmid pSCO5. All plastid expression cassettes were inserted in both possible directions of transcription (Fig. 11) in the unlikely event that orientation within the inverted repeat would impact the recovery of spectinomycin- and glyphosate-resistant plastid transformants. In addition, there was  
20 considerable concern that the dicot regulatory elements employed in these cassettes (petunia *rrn* promoter and tobacco *psbA* 3'-flanking region) might not be fully functional in monocot plastids. DNA sequence comparison of the petunia chloroplast 16S rDNA promoter and the *rbcl* RBS element in the  $P_{\text{m}}$  fragment to the maize sequences revealed  
25 elements should indeed function properly in monocot plastids. The same conclusion was

also reached for the tobacco  $T_{psbA}$  element, which is required for transcript 3' end maturation and stability, although any other element that provides 3'-end maturation and stability can be used in place of  $T_{psbA}$ . For example, elements having stem-loop structures can also be used for transcript 3' end maturation and stability.

5           Virtually all the regeneration systems that have been established for monocot plants rely upon the initiation and maintenance of regenerable, non-photosynthetic callus or cell suspension cultures. We chose to test our monocot plastid transformation vectors in three monocot species, maize, rice and creeping bentgrass. Maize Black Mexican Sweet (BMS) cells, a non-regenerable tissue culture line, provide an extremely attractive  
10   target for biolistic transformation (the cell suspensions are very fine and grow well). Initially, plasmids pSCO10 and pSCO11 ( $P_{m-reporter-aadA-T_{psbA}}$ ) were bombarded into maize BMS cells. Two days after bombardment, cells were assayed for reporter gene product activity by incubation in the presence of substrate-containing buffer. Microscopic examination of the cells revealed a number of extremely small foci  
15   manifesting reporter gene expression that were not observed on plates of cells bombarded with non-reporter gene-containing plasmid DNA (data not shown). Moreover, the pSCO10/pSCO11-bombarded cells were distinctively different from other cells that that were bombarded with plasmid DNA containing the reporter gene fused to a nuclear promoter (which were larger and more diffuse). These results supported our prediction  
20   that the dicot regulatory elements employed here in our plastid expression cassettes would be functional in maize (and other monocot) plastids.

To continue, plasmids pSCO6/pSCO7 ( $P_{m-hph-aadA-T_{psbA}}$ ) and pSCO8/pSCO9 ( $P_{m-glpB-hph-aadA-T_{psbA}}$ ) were bombarded into maize BMS cells. After bombardment, the cells were moved to selective medium containing 2 mM glyphosate for nearly two  
25   months. After this period of selection, glyphosate-resistant calli were recovered.

Initially, HPH phosphotransferase assays were carried out to detect *hph* gene expression in pSCO6 transformants. Cell-free extracts were prepared from the glyphosate-resistant pSCO6 calli and tested for their ability to phosphorylate glyphosate *in vitro*. As can be observed in Fig. 15, high levels of HPH phosphotransferase activity were detected in the BMS pSCO6 transformants. These amounts are comparable to or higher than the HPH phosphotransferase levels found in tobacco NT1 pSCO2 transformants expressing the same *hph* expression cassette. Little phosphotransferase activity was detected in the extract prepared from untransformed BMS cells.

DNA gel blot analysis was carried out to determine if the *hph-aadA* cassette had inserted into the maize plastid genome. Total cellular DNA was isolated, digested with *Bam* HI, and probed with radiolabeled *hph* DNA. As can be observed in Fig. 16, the expected 5.4 kb *Bam* HI fragment was observed in all six glyphosate-resistant cell lines. The copy number of this fragment was measured to be approximately 500-1,000 copies per cell. If the *hph-aadA* cassette has inserted into the expected chromosomal location, the wild-type 3.2 kb *Bam* HI fragment should be replaced by a larger, novel 5.4 kb *Bam* HI fragment when probed with bentgrass chloroplast DNA from plasmid pSCO5 (Fig. 3D). In DNA from untransformed BMS cells, the expected 3.2 kb *Bam* HI fragment was detected (Fig. 16). However, in all seven glyphosate-resistant lines, the anticipated 5.4 kb *Bam* HI fragment was detected, indicating correct integration at the expected chromosomal location. No wild-type 3.2 kb *Bam* HI fragment was detected in any of the seven lines.

The lack of wild-type fragments illustrates two important points. First, the *hph-aadA* cassette has been "copy-corrected" from one copy of the inverted repeat to the other inverted repeat. Second, all the chloroplast chromosomes have been transformed, indicating that homoplasmy has been achieved in each of the six lines examined. Based

upon our observations in tobacco and the turfgrass studies presented below, one would expect that if these glyphosate-resistant calli were capable of regeneration the resulting transgenic corn plants would be highly resistant to spray applications of glyphosate (see turfgrass studies below for support of this statement).

5           In addition, some of these same plasmids (pSCO6 and pSCO9) were bombarded into a regenerable, embryogenic cell suspension derived from creeping bentgrass. A small number of glyphosate-resistant bentgrass calli were recovered after selection on medium containing up to 3 mM glyphosate. PCR analysis was carried out to determine if the glyphosate-resistant calli contained the *hph* gene. As can be observed in Figure 17,  
10   the expected 0.8 kb *hph* PCR fragment was observed in each of the four glyphosate-resistant calli. No PCR product was observed in genomic DNA prepared from untransformed callus.

          Shoots were regenerated from one of the glyphosate-resistant calli and, after rooting, transferred to the greenhouse. After acclimation, the transgenic bentgrass plant  
15   and a untransformed plant were sprayed with a commercial formulation of ROUNDUP® herbicide at a rate equal to 1.2 kg/Ha. As dramatically shown in Figure 18, the transgenic bentgrass plant showed no signs of any glyphosate-related damage and continued to grow normally while the control plant succumbed. This result is entirely consistent with the strong glyphosate resistance phenotype that we had previously observed in transplastomic  
20   tobacco plants expressing the same *hph* expression cassette. This result thus extends the glyphosate resistance phenotype conferred by plastid-expressed *hph* genes to a horticulturally-important monocotyledonous plant species.

          We also introduced the pSCO6-pSCO9 series of plastid expression vectors into a regenerable rice cell suspension. For these experiments, rice suspension cells were  
25   collected onto filter paper, bombarded with plasmid DNA, and selection carried out on

selective medium containing .2 mM glyphosate. Glyphosate-resistant calli were recovered and maintained on medium containing 1 mM glyphosate (where they proliferate faster). Fig. 19 shows that these calli contain an *hph*-specific PCR product.

Finally, bombardment of cultured immature wheat embryos (*cv.* Bobwhite) with  
5 plasmids pSCO6-pSCO9 was undertaken to recover glyphosate-resistant plastid transformants of wheat. These plasmids will permit the recovery of transplastomic wheat plants that are highly resistant to applications of ROUNDUP® herbicide.

### Example 8

#### 10 *General Utility of hph-based Plastid Expression Cassettes in the Recovery of Glyphosate-Resistant Plastid Transformants in Other Dicotyledonous Plant Species*

Successful recovery of glyphosate-resistant maize and rice plastid transformants was facilitated, in part, by the plastid genetic information available for these species (Hiratsuka *et al.*, *supra*, for rice; Maier *et al.*, *supra*, for maize; Katayama *et al.* for bentgrass and the availability of extensive tissue culture methods for the manipulation  
15 of these species under *in vitro* conditions.

To provide a more stringent and rigorous test of the general utility of our plastid transformation system, we proposed to transform the plastid genomes of avocado and papaya, two relatively obscure and exotic plants that have not been extensively used in transgenic studies. Of similar importance for these experiments, their plastid genomes  
20 are virtually uncharacterized. We have found that glyphosate-resistant avocado cell lines and papaya plants with transformed plastid chromosomes could indeed be recovered with relative ease. The conclusions reached here demonstrate that widespread, routine manipulation of the plastid genomes in a diverse array of land plants is now feasible using the plastid transformation system described herein.

## Materials and Methods for Example 8

**Plasmid construction.** Plasmid pSCO2 has already been described in Example 2.

**Plant cell transformation.** Plant cell transformation was carried out as essentially as described in Example 2.

**Phosphotransferase assays.** HPH phosphotransferase assays were carried out as described in Example 2.

**DNA gel blot analysis.** DNA gel blot analysis was carried out as described in Example 1.

## Results and Discussion

As noted previously, the entire chloroplast genomes of several dicots and monocots, including *Nicotiana tabacum* (tobacco) (Shinozaki *et al.*, *supra*), *Arabidopsis thaliana* (unpublished results), *Oryza sativa* (rice) (Hiratsuka *et al.*, *supra*) and *Zea mays* (maize) (Maier *et al.*, *supra*) have been sequenced. DNA sequence comparison has revealed that these plastid genomes generally share a very high degree of homology with each other. However, gene content differences and structural changes were noted between the monocots and the dicots as well as within the two groups. With these differences noted, we sought to identify a highly conserved region within the plastid genome that could be exploited for transformation purposes in a broad range of land plant species. Since the inverted repeat region has been characterized to possess some of the most highly conserved regions of the entire plastid genome and has already proven to be an excellent site for the targeting of transgenes into either dicot or monocot plastid chromosomes, this area was selected for further consideration. The intergenic region between the *trnV* and *rps12* genes has already proven to be a useful site for the

integration of transgenes, and the flanking sequences that surround this region direct efficient integration of the transgenes into the plastid chromosome.

With a view toward assessing the general utility of our existing *hph*-based plastid expression cassettes and glyphosate selection system, we proposed that plasmid pSCO2  
5 be bombarded into regenerable cell cultures of avocado and papaya, two fairly exotic fruit-bearing plant species that have not been used extensively for generation of transgenic plants. Moreover, virtually no chloroplast DNA sequence data exists for these two species; only the DNA sequences of their *rbcl* genes has been deposited in GenBank (version 105.0). Thus without any clear knowledge of the gene content, gene order, or  
10 DNA sequence of the chloroplast inverted repeat regions of these plants, and without any prior knowledge of the compatibility of these cell culture systems with glyphosate as a selective agent, we initiated plasmid DNA bombardments. We fully recognized that our proposal to achieve avocado or papaya plastid transformation would represent a serious challenge to our hypothesis that glyphosate selection of a plastid-expressed *hph* gene  
15 cassette will readily result in recovery of plastid transformants, even in relatively obscure plants with virtually uncharacterized plastid genomes.

Plasmid pSCO2 was precipitated onto tungsten microparticles and bombarded into avocado and papaya embryogenic cells for selection on either 1 mM or 2 mM glyphosate-containing medium. Within several weeks micro-calli were first observed.  
20 Calli continued to proliferate after transfer to fresh selective medium containing 2 mM glyphosate. Papaya shoots were eventually regenerated and whole, rooted papaya plants were recovered.

After the avocado calli and papaya plants had reached an appropriate size, phosphotransferase assays were carried out to detect the enzymatic activity of the HPH  
25 protein. Cell-free extracts were prepared from the glyphosate-resistant avocado calli and

tested for their ability to phosphorylate glyphosate *in vitro*. As can be observed in Fig. 20, a wide range of HPH phosphotransferase activities (from moderate to very high) was detected in all five pSCO2 transformants. Little phosphotransferase activity was detected in the extract prepared from untransformed avocado cells. The wide range of HPH phosphotransferase activities may be attributable to varying degrees of heteroplasmy (*i.e.*, lines with high phosphotransferase levels may contain a higher percentage of *hph*-containing plastid chromosomes than lines that display more moderate activity levels). Leaf extracts prepared from two *in vitro*-maintained glyphosate-resistant papaya plants also exhibited high levels of HPH phosphotransferase activity.

10 DNA gel blot analysis was carried out to determine if the *hph-aadA* cassette had inserted into the avocado and papaya plastid genomes. Total cellular DNA was isolated, digested with *Bam* HI, and probed with radiolabeled *hph* DNA. As can be observed in Fig. 21, the expected 5.5 kb *Bam* HI fragment was observed in all samples from the glyphosate-resistant lines. If the *hph-aadA* cassette has inserted into the expected  
15 chromosomal location, the wild-type 3.3 kb *Bam* HI fragment should be replaced by a larger, novel 5.5 kb *Bam* HI fragment when probed with petunia chloroplast DNA from plasmid pSAN307 (Fig. 3D). In DNA from untransformed tissues, the expected 3.3 kb *Bam* HI fragment was detected (Fig. 21). However, in all glyphosate-resistant lines, the anticipated 5.5 kb *Bam* HI fragment was detected, indicating correct integration at the  
20 expected chromosomal location. No wild-type 3.3 kb *Bam* HI fragment was detected in any of the seven lines.

The lack of wild-type fragments illustrates two important points. First, the *hph-aadA* cassette has been "copy-corrected" from one copy of the inverted repeat to the other inverted repeat. Second, all the chloroplast chromosomes have been transformed,  
25 indicating that homoplasmy has been achieved in each of the seven lines examined.

These studies provide convincing evidence that plastid transformants can be successfully recovered with relative ease without any prior knowledge of the gene content, gene order or gene sequence of the plastid genome in the host plant species. These results further dramatically illustrate the broad utility of an *hph*-based plastid gene expression cassette and glyphosate as an extremely efficient selectable marker gene and selective agent, respectively, for the recovery of transplastomic plant cells. These findings strongly indicate that the plastid genome of any plant species that is amenable to manipulation under tissue culture conditions can now be genetically modified for crop improvement purposes or to address fundamental questions within the context of a basic research program.

#### Example 9

##### *Recovery of Glyphosate-Resistant Tobacco NT1 Cell Plastid Transformants Expressing glpA*

Several independent observations strongly suggested to us that *glpA* might effectively substitute for the *hph* gene in conferring glyphosate resistance to plant cell plastid transformants in the transformation scheme disclosed herein. First, Peñaloza-Vazquez *et al.* (Peñaloza-Vazquez I) reported that the *glpA* protein shared extensive regions of amino acid homology to the *hph* gene product. Second, both the *glpA* and *hph* proteins possess phosphotransferase activities that utilize hygromycin and glyphosate equally well as substrates for phosphorylation *in vitro*. Finally, *glpA* and *hph* were able to confer both hygromycin and glyphosate resistance to *E. coli* cells harboring either of these genes on plasmids. Taken together, *glpA* appeared to us to be an excellent candidate gene for conferring glyphosate resistance in plant cell plastid transformants.

Unanticipated cloning problems presumably associated with *glpA* overexpression in *E. coli* required the construction of complementary *glpA*-containing

vectors. Briefly, two *glpA*-containing plasmids were assembled, neither of which alone could express *glpA* phosphotransferase activity well, if at all, in *E. coli* or plastids. However, if co-bombarded into the plastid, and taking advantage of the active homologous recombination system in this organelle, recombination between the two  
5 plasmids could lead to restoration of full and complete *glpA* expression. Indeed, co-bombardment of the two *glpA*-containing plasmids into tobacco NT1 cells yielded glyphosate-resistant plastid transformants that expressed high levels of *glpA* phosphotransferase activity.

Homologous recombination between co-introduced plasmids in the plastid  
10 becomes yet another tool for manipulating the plastid genomes of land plants, thereby permitting the introduction and expression of genes in the plastid that otherwise might not be achievable.

#### Materials and Methods for Example 9

**Plasmid construction.** The general strategy to recover *glpA*-expressing plastid  
15 transformants was to construct two complementary *glpA*-containing plasmids, neither of which alone would express *glpA* in *E. coli* or the plastid, but when recombined within the plastid, would restore *glpA* activity. These two plasmids were designated as containing either *defective* or *corrective glpA* genes, with recovery of glyphosate-resistant transformants dependent upon recombination-mediated 'repair' of the *defective* gene by  
20 the *corrective* copy.

To construct the *corrective glpA* version, a 1.3 kb *Bam* HI - *Xba* I *glpA*-containing fragment (coding region, only) was inserted between the *Bam* HI and *Xba* I sites of cloning vector, pUC118. This particular *glpA* gene lacks a plastid-like RBS element that would be recognized and utilized by prokaryotic-like ribosomes; thus

translation initiation at the *glpA* initiator codon should be rare, if at all, in *E. coli* or plastids. At the same time, plasmid pSAN325 was digested with *Stu* I and *Eco* RV to liberate a ~1.8 kb fragment containing, in order, the *aadA* coding region (with its own plastid-like RBS element),  $T_{psbA}$  sequences, and ~0.8 kb of flanking petunia chloroplast  
5 inverted repeat DNA in the vicinity of the *rps12* gene. This *Stu* I-*Eco* RV fragment was then inserted into the *Hinc* II site of the MCS region of pUC118 so that the *aadA* gene was now adjacent to the *glpA* 3' end. The resulting plasmid, pSCO18, contains a *glpA*-*aadA*- $T_{psbA}$  cassette flanked at its 3' end with petunia chloroplast DNA for facilitating DNA integration into the plastid chromosome. This plasmid, when introduced alone into  
10 the plastid, would not be expected to confer glyphosate resistance since the *glpA* gene lacks both a plastid promoter and a plastid-like RBS element for efficient transcription and translation, respectively. Moreover, double homologous recombination events between the plasmid and the plastid chromosome leading to integration should occur rarely, if at all, since the gene cassette is flanked on only one side with chloroplast DNA  
15 sequences.

To construct the *defective glpA* copy, a unique *Nco* I site within the *glpA* coding region was targeted for mutagenesis. Modification of the *Nco* I site (cleavage followed by DNA synthesis fill-in) would be expected to cause a frameshift mutation leading to the formation of two consecutive in-frame nonsense codons immediately after the  
20 destroyed *Nco* I site. The predicted outcome would be the synthesis of a truncated *glpA* protein (lacking nearly 25% of its amino acids) with dramatically reduced or abolished phosphotransferase activity. To accomplish this, a *glpA*-containing plasmid (coding region, only) was linearized by digestion with *Nco* I, treated with Klenow DNA polymerase to fill-in the ends by DNA synthesis, and then re-ligated. Successful  
25 destruction of the *Nco* I site was verified by the inability of *Nco* I to digest the resulting

clones. A plastid-like RBS element was then added to the defective *glpA* gene (now designated as *\*glpA\**) for efficient translation in the plastid. The *\*glpA\** gene was liberated from vector sequences by digestion with *Xba* I and treated with Klenow DNA polymerase to yield blunt ends. At the same time, plasmid pSAN325 was digested with  
5 *Cla* I and *Stu* I, which cut between the petunia plastid *rrn* promoter and the *aadA* gene to provide a site for insertion of the *\*glpA\** gene. The *Cla* I site was also made blunt-end by the action of Klenow DNA polymerase. The *\*glpA\** gene was then inserted in the correct orientation to yield the plastid gene expression cassette,  $P_{rrn}$ -*\*glpA\**-*aadA*- $T_{psbA}$ ; the cassette being situated at the end of the ORF70B gene within the inverted repeat  
10 region of petunia chloroplast DNA found in pSAN307. The defective *glpA* plasmid was designated pSCO24.

**Plant cell transformation.** Plant cell transformation was carried out essentially as described in Example 2 except that the bombarded cells on filter paper were transferred to selective medium containing 2 mM glyphosate. Equivalent amounts of  
15 pSCO18 and pSCO24 were co-precipitated onto tungsten microparticles for all bombardments.

**Phosphotransferase assays.** Phosphotransferase assays were carried out as described in Example 2.

**DNA gel blot analysis.** DNA gel blot analysis was carried out as described in  
20 Example 1.

## Results and Discussion

To test the hypothesis that *glpA*, like *hph*, could confer glyphosate resistance in plant cell plastid transformants, a *glpA*-containing fragment (coding region, only) was designed that could be conveniently placed under control of the strong, constitutive

petunia plastid *rrn* promoter. However, despite numerous cloning attempts in *E. coli*, no desired clone could be recovered. These failures were especially surprising since the identical cloning strategy had been successfully used for *hph* and *glpB* in the construction of plasmids pSCO2 and pSCO1, respectively. Two possible explanations for these observations were considered: i) the desired transgene was inherently structurally unstable and could not be maintained in *E. coli*; or ii) *glpA* over-expression in *E. coli* (the petunia *rrn* promoter is very active in *E. coli*) was toxic to the cells. To address both these possibilities simultaneously, alternative plastid expression vectors were chosen for insertion of the *glpA* gene. Additionally, further cloning protocols were designed that would permit the *glpA* gene to insert bidirectionally, in either the sense or anti-sense orientation (relative to the *rrn* promoter).

To summarize these exhaustive efforts, clones containing the *glpA* gene in the sense orientation (with respect to *rrn*) could not be recovered; only plasmids in which the *glpA* gene was oriented in the anti-sense orientation were observed. These results strongly suggested that *glpA* over-expression in *E. coli* was problematic. Attempts were made to recover the desired clone in a pACYC184-based plasmid vector, with the hope that the lower plasmid copy number would reduce *glpA* expression levels sufficiently. However, once again, no desired clones were recovered.

The strategy that ultimately proved successful was designed around the idea that if two *glpA*-containing constructs could be separately assembled, neither of which alone could express a fully functional *glpA* protein in *E. coli* or the plastid, then homologous recombination between the two plasmids within the plastid might reconstitute a fully functional *glpA* gene, thus permitting the recovery of glyphosate-resistant plastid transformants. Accordingly, two *glpA*-containing plasmids were constructed to achieve this goal, as shown in Figure 22. The approach followed was to first assemble one

plasmid known as the *defective* copy. This plasmid, designated pSCO24, contains a mutated *glpA* coding region under control of the petunia plastid *rrn* promoter. To create the mutant *glpA* gene (designated *\*glpA\**), a unique *Nco* I site within the coding region was abolished, in the process causing a frameshift mutation. As a result, two consecutive  
5 in-frame nonsense codons were created adjacent to the modified site, leading to the predicted synthesis of a truncated *\*glpA\** protein (lacking ~100 amino acid residues, or ~25% of the protein). After the *\*glpA\** gene was created, it was found that it could be placed under control of the *rrn* promoter with ease, suggesting that the truncated *\*glpA\** protein had either dramatically reduced or abolished phosphotransferase activity. A  
10 second plasmid (pSCO18), designated as the *corrective* copy, was constructed that contained a promoterless wild-type *glpA* gene also lacking a plastid-like ribosome binding site (Fig. 22). This gene would not be predicted to be expressed well in *E. coli* or the plastid since it lacks both a promoter and a ribosome binding site for efficient transcription and translation initiation, respectively.

15 The P<sub>rrn</sub>-*\*glpA\**-*aadA*-T<sub>psbA</sub> cassette in plasmid pSCO24, the *defective* copy, was flanked on both sides by regions of chloroplast DNA (from the petunia inverted repeat region) to facilitate integration of the transgene into the plastid chromosome. The *corrective* copy was flanked with chloroplast DNA only at its 3' end and therefore should integrate rarely, if at all, when introduced alone into the plastid chromosome. However,  
20 co-introduction of the *defective* and *corrective* copies into the plastid would permit recombination between shared sequences on the plasmids. If homologous recombination between pSCO24 and pSCO18 were to occur within the *glpA* sequences prior to the mutated *Nco* I site and then again beyond the mutation somewhere within the *aadA* gene or flanking chloroplast DNA region, a fully functional *glpA* gene should be restored. The  
25 end-products of these double homologous recombination events could be recovered by

their ability to confer glyphosate resistance. It should be noted that recombination between the regions of homology on plasmids pSCO24 and pSCO18 may occur while both DNA's are extra-chromosomal (plasmid-to-plasmid). Alternatively, pSCO24/pSCO18 recombination may proceed after the *defective \*glpA\** cassette in pSCO24 has already integrated into the plastid chromosome (plasmid-to-chromosome).  
5 Nonetheless, it was predicted that a pair of double homologous recombination events would be required to recover *glpA*-expressing, glyphosate-resistant transformants. However, it should also be noted that *a priori* we could not rule out the possibility that *glpA* over-expression in the plastid might be lethal to the plant cell, as was presumably  
10 observed for *E. coli*.

Equivalent amounts of plasmids pSCO24 and pSCO18 DNA were co-precipitated onto tungsten microparticles, co-bombarded into tobacco NT1 cells, and the cells subsequently maintained on selective medium containing 2 mM glyphosate. Within 3 weeks after bombardment, small glyphosate-resistant calli could be observed growing on  
15 the selective medium. When the NT1 calli reached an appropriate size, a small sample was removed and cell-free extracts were prepared to measure *glpA* phosphotransferase levels. Indeed, all four extracts contained levels of glyphosate-phosphorylating activity (see Figure 23) that were similar to HPH phosphotransferase levels in pSCO2 and pSCO3 *hph*-expressing NT1 calli (Fig. 7). Little phosphotransferase activity was observed in  
20 extracts prepared from untransformed NT1 cells. DNA gel blot analysis was carried out to determine if the *glpA-aadA* cassette had inserted into the plastid genome. As can be observed in Fig. 24A, radiolabeled *glpA* DNA hybridized to two *glpA*-containing restriction fragments (2.1 kb and 1.0 kb) in DNA prepared from each of four glyphosate-resistant NT1 transformants (lanes 4-7). No hybridization was detected in the lane

containing DNA from untransformed cells (lane 3). When the same transformants were probed with petunia plastid DNA sequences from pSAN307, the expected 3.3 kb BamHI fragment was observed in DNA prepared from untransformed cells (Fig. 24B, lane 3).

By contrast, the DNA samples from the glyphosate-resistant NT1 calli exhibited 2.6 kb and  
5 2.1 kb-hybridizing fragments, the expected sizes if integration had occurred at the correct site (Fig. 24B, lanes 4-7. The appearance of two hybridization signals in the glyphosate-resistant transformants is very significant as it demonstrates that the NcoI site which had been deleted in pSCO24 (see Fig. 22) was restored after recombination with the wild-type *glpA* gene found in pSCO18.

10 The observation that multiple plasmids simultaneously co-bombarded into the plastid will recombine with one another and/or recombine sequentially with the plastid chromosome opens up new avenues for genetic manipulation of this genome. Already, we have demonstrated that co-bombardment of partially homologous plasmids permitted the introduction and expression of transgenes in the plastid that otherwise might not have  
15 been achievable. A second potential advantage offered by this technology includes the ability to introduce larger segments of foreign DNA into the plastid chromosome. At present, we have been successful in the introduction of expression cassettes up to ~3.1 kb in length ( $P_{m}-glpB-hph-aadA-T_{psbA}$ ). In the future, it might be desirable to possess the capability of introducing up to 10 kb or more of foreign DNA (perhaps an operon  
20 comprising a complex, multi-step biosynthetic pathway), into the plastid chromosome. It seems quite predictable that as the size of the foreign DNA for integration increases, the efficiency of plastid transformation will concomitantly decline. However, Example 9 provides a method for effectively doubling the size limit (at this time) of foreign DNA that can be integrated into the plastid chromosome in a single transformation event.

The results described here also suggest that multiple homologous recombination events can be detected between plasmid and chromosomal templates during a single transformation event. This observation further suggests that co-bombarded plasmids that are targeted for integration at different loci on the plastid chromosome have a strong  
5 likelihood of being recovered in a single transformation event. This capability would increase the rate at which multiple genes could be manipulated during a single round of transformation. Collectively, these observations indicate that co-transformation of two (or more) different DNA templates will greatly enhance our ability to genetically manipulate the plastid genome.

10

#### Example 10

##### *Recovery of Phosphinothricin-Resistant Tobacco NT1 Cell Plastid Transformants Expressing the bar Gene*

The successful utilization of the *hph*, *glpA*, and *glpB* genes to confer glyphosate resistance in plastid transformants of a number of land plant species prompted the  
15 question as to whether there were other available genes that might also confer herbicide resistance when expressed in the plastid. The non-selective herbicide, LIBERTY® (also known as BASTA®) contains the active ingredient, phosphinothricin (PPT; also known as glufosinate, which terms are used interchangeably herein). PPT acts by inhibiting the action of glutamine synthetase (GS), a nuclear-encoded amino acid biosynthetic enzyme  
20 (for glutamine) whose activity is localized primarily in the plastid. Plant cells exposed to PPT, a glutamate analogue, become impaired in their nitrogen metabolism and not only accumulate high levels of ammonia, but also become starved for glutamine. Transformed plant cells expressing the *Streptomyces bar* gene inactivate PPT by the process of acetylation, thus preventing both the accumulation of ammonia and depletion

of glutamine. We envisioned that plastid expression of the *bar* gene would acetylate the PPT as it entered the organelle, thereby providing resistance to the plant cell.

Unanticipated cloning problems presumably associated with *bar* over-expression in *E. coli* required the construction of two complementary vectors. Briefly, a *bar*-  
5 containing plasmid was assembled that alone could not express *bar* acetyltransferase activity well, if at all, in *E. coli* or plastids. However, if co-bombarded with an accompanying plasmid, and taking advantage of the active homologous recombination system in this organelle, recombination between the two plasmids could lead to restoration of full and complete *bar* expression. Co-bombardment of the two plasmids  
10 into tobacco NT1 cells yielded glyphosate-resistant plastid transformants that were then analyzed for their ability to grow on PPT-containing medium.

#### Materials and Methods for Example 10

**Plasmid construction.** For the construction of plasmid pSCO56, plasmid pSCO35, which contains the  $P_{\text{tm}}\text{-}hph\text{-}T_{\text{rcL}}$  expression cassette inserted into the *Hinc* II  
15 site at the end of ORF70B in plasmid pSAN307, was partially digested with restriction endonuclease *Sca* I. *Sca* I cleaves near the 3' end of the *hph* coding region (as well as once within the *bla* gene of the vector backbone). The partially-digested plasmid DNA was digested again with *Eco* RV, which cleaves once in the vicinity of the *rps12* gene near the end of the flanking chloroplast DNA. A linear DNA fragment that now lacks a  
20 portion of the *hph* coding sequence, the  $T_{\text{rcL}}$  element for plastid transcript maturation and stability, and virtually the entire 3' flanking chloroplast region was isolated by gel purification. This DNA fragment was then re-ligated to form pSCO56.

For the construction of plasmid pSCO57, plasmid pSCO34, which contains the  $P_{\text{tm}}\text{-}T_{\text{rcL}}$  expression cassette inserted into the *Hinc* II site at the end of ORF70B in

plasmid pSAN307, was digested with *Hpa* I and *Bam* HI to liberate a 1.2 kb fragment containing the  $T_{rbcL}$  element and the entire 3'-flanking chloroplast DNA region. At the same time, plasmid pSCO26, which contains the *bar* coding region (with its own plastid-like RBS element) in Bluescript, was digested with *Sma* I and *Bam* HI, both of which  
5 cleave immediately downstream of the *bar* gene. The 1.2 kb *Hpa* I - *Bam* HI  $T_{rbcL}$ -chloroplast DNA fragment from pSCO34 was then inserted between the *Sma* I and *Bam* HI sites of pSCO26 so that the *bar* gene was now flanked at its 3'-end by the  $T_{rbcL}$  element and associated 3'-flanking chloroplast sequences. The resulting plasmid was then linearized with *Xho* I, which cleaves within the MCS region just prior to the 5' end  
10 of the *bar* gene. The fragment ends were then treated with T4 DNA polymerase to create blunt ends for the next cloning step. Plasmid pSCO32, which contains the *hph* coding region (also with its own plastid-like RBS element), was digested with *Xho* I and *Sma* I to liberate a 1.1 kb *hph*-containing fragment. The *hph* fragment was then treated with T4 DNA polymerase to fill in the *Xho* I ends. The blunt-ended *hph* fragment was then  
15 inserted into the modified *Xho* I site of the plasmid described immediately above. The resulting plasmid, pSCO57, contains in order, promoterless *hph* and *bar* genes arranged in a dicistron, the  $T_{rbcL}$  element and finally, ~0.9 kb of petunia chloroplast DNA.

**Plant cell transformation.** Plant cell transformation was carried out as described in Example 2.

## 20 Results and Discussion

As demonstrated herein, transplastomic tobacco plants expressing *hph* or *glpB-hph* manifested commercially-significant levels of resistance to the herbicide, ROUNDUP®. To create additional transplastomic herbicide-resistant plants, we considered other herbicides that act upon the plastid and any associated herbicide

resistance genes that might be more efficacious when expressed in plastids rather than the nucleus. Phosphinothricin (PPT), the active ingredient in the non-selective herbicide, LIBERTY®, acts by inhibiting the action of glutamine synthetase (GS), an amino acid biosynthetic enzyme (for glutamine) and the main enzyme responsible for nitrogen metabolism in the plant cell. Glutamine synthetase carries out the enzymatic conversion of glutamate (or glutamic acid) to glutamine in an ATP-dependent reaction. Plant cells exposed to PPT, a glutamate analogue, become impaired in their nitrogen metabolism and not only accumulate high levels of ammonia but also become starved for glutamine. The bacterial *bar* and *pat* genes, isolated from individual *Streptomyces* species, confer resistance to phosphinothricin (PPT). Transformed plant cells expressing the *bar* or *pat* gene inactivate PPT by the process of acetylation (the proteins possess acetyltransferase activity), thus preventing both the accumulation of ammonia and depletion of glutamine.

In the plant systems thus far studied (*e.g.*, *Arabidopsis thaliana*), glutamine synthetase activity is conferred by both plastid- and cytosolic-localized enzymes encoded by nuclear genes. Lam *et al.*, *The Plant Cell* 7, 887 (1995). The plastid-localized GS2 enzyme, encoded by the *GLN2* gene, is expressed strongly throughout photosynthetic tissues and is thought to be largely responsible for the roles of glutamine biosynthesis and nitrogen assimilation within the plant. The function of the cytosolic GS1, expressed most strongly in roots from the *GLN1* gene (or members of the *GLN1* gene family), is less certain. Since the chloroplast-localized GS2 protein is the likely primary target of PPT action, high-level expression of the *bar* gene in the plastid should be sufficient to confer significant levels of PPT resistance to the plant cell.

A question remained as to whether plastid-localized *bar* expression would adequately protect the cytosolic version of glutamine synthetase. However, it should be recalled that PPT, unlike glyphosate, is not efficiently translocated throughout the plant.

Thus, after herbicide application, PPT levels should be relatively modest in the root, the tissue that shows the highest level of GLN1 expression in the plant. Therefore, the requirement for protection of cytosolic GS1 is likely obviated.

To assess whether a plastid-expressed *bar* (or *pat*) gene could confer PPT resistance to plants sprayed with the herbicide LIBERTY®, we modified the *bar* gene to be placed under control of the petunia plastid *rrn* promoter. The *bar* gene, supplied with its own RBS element based upon the *rbcl* gene (the same RBS element as employed for *hph*, *glpA* and *glpB* genes expressed in the plastid), was ligated between the petunia plastid *rrn* promoter and the *aadA* gene such that a dicistronic *bar-aadA* transcript would be predicted to be synthesized in the plastid. Surprisingly, no desired recombinants could be recovered in *E. coli*. Additional experiments confirmed that both the vector and insert DNA's had the proper restriction ends and could be successfully utilized in other cloning procedures. The repeated inability to recover the desired  $P_{rrn}$ -*bar-aadA*- $T_{psbA}$  transgene was similar to the cloning problems experienced with *glpA* and led us to consider the possibility that overexpression of *bar* in *E. coli* might somehow be lethal. Therefore, we devised a cloning strategy similar to that employed for *glpA* to overcome this obstacle.

The general strategy adopted was to construct two complementary plastid expression vectors that, when recombined within the plastid, would permit *bar* expression. Some uncertainty existed as to whether the *bar* gene could act both as a herbicide resistance gene and also as a selectable marker gene for plastid transformation (as there was serious concern that rapid, PPT-induced ammonia accumulation might be toxic to the plant cell before sufficient *bar* gene amplification in the plastids had occurred). In the event that the *bar* gene did not permit direct recovery of PPT-resistant plastid transformants, the *hph* gene was included to permit recovery of glyphosate-

resistant, *bar*-expressing plastid transformants that could later be assessed for their PPT resistance phenotype.

One plasmid, designated pSCO56, contained a plastid gene expression cassette with a truncated version of the *hph* gene (designated \**hph*\*) under control of the petunia  
5 plastid *rrn* promoter. Besides missing a portion of the carboxyl-terminus of the HPH protein, this cassette lacked a plastid 3' element for transcript maturation and stability and was flanked on just one side (rather than the usual two sides) with chloroplast DNA necessary for facilitating integration into the plastid chromosome. It was anticipated that this plasmid alone would not permit recovery of glyphosate-resistant plastid  
10 transformants since both DNA integration (via double homologous recombination events) and HPH enzymatic activity would be severely impaired. A second plasmid, designated pSCO57, contained in order, promoterless *hph* and *bar* genes, the  $T_{\text{tbl}}$  element for plastid transcript maturation and stability, and flanking chloroplast DNA sequences for facilitating DNA integration. Although the *hph* and *bar* genes each possessed their own  
15 respective plastid-like RBS elements, no plastid promoter was linked to the genes, thereby avoiding the putative lethality problem associated with *bar* overexpression in *E. coli*. Once again, it was anticipated that plastid transformation with plasmid pSCO57 alone would not confer glyphosate resistance for the same reasons provided above for plasmid pSCO56.

20 After co-bombardment of plasmids pSCO56 and pSCO57 into the plastid, however, homologous recombination between their respective *hph* genes (the only shared regions of homology within the cassette) should yield a fully functional  $P_{\text{rrn}}\text{-}hph\text{-}bar\text{-}T_{\text{tbl}}$  cassette capable of integrating into the plastid chromosome. Recombination within the *hph* sequences of pSCO56 and pSCO57 should preferentially occur extra-chromosomally  
25 (plasmid-to-plasmid and not plasmid-to-chromosome) since neither of these plasmids

should integrate with much efficiency into the plastid chromosome due to insufficient regions of homology.

This differs significantly from the very similar approach used for expressing *glpA* within the plastid. Plasmid pSCO24, harboring the mutant *glpA* gene, was capable of  
5 integrating into the plastid chromosome. Therefore, double homologous recombination events between plasmid pSCO18 (carrying the wild-type *glpA* gene) and sequences on pSCO24 could potentially occur as either plasmid-to-plasmid or plasmid-to-chromosome events.

Plasmids pSCO56 and pSCO57 were co-precipitated onto tungsten for  
10 bombardment into tobacco NT1 cells, followed by selection on medium containing 2 mM glyphosate. Cells resistant to glyphosate will be observed to manifest both successful transformation and resultant glyphosate and glufosinate resistance.

**We claim:**

1. A method of producing an herbicide-resistant plant cell, the method comprising stably transforming a plastid or proplastid genome of the plant cell with a nucleic acid comprising a first herbicide-resistance-conferring selectable marker gene, wherein the first selectable marker gene encodes a protein that inactivates the herbicide, thereby eliminating the toxicity of the herbicide to the plant cell, and which gene is expressed at levels that result in the plant cell surviving contact with the minimal amount of the herbicide that would kill an untransformed plant cell of the same species.
2. The method according to claim 1, wherein the herbicide is glyphosate.
3. The method according to claim 1, wherein the herbicide is glufosinate.
4. The method according to claim 2, wherein the first glyphosate resistance selectable marker gene is the *hph* gene.
5. The method according to claim 2, wherein the first glyphosate resistance selectable marker gene is the *glpA* gene.
6. The method according to claim 3, wherein the first glufosinate resistance selectable marker gene is the *bar* gene.
7. The method according to claim 3, wherein the first glufosinate resistance selectable marker gene is the *pat* gene.
8. The method according to claim 1, wherein the nucleic acid comprises a plurality of genes.
9. The method according to claim 1, wherein the nucleic acid further comprises a second gene.

10. The method according to claim 9, wherein the first herbicide resistance-conferring gene is a glyphosate resistance-conferring selectable marker gene and the second gene is a different herbicide resistance-conferring selectable marker gene.
11. The method according to claim 10, wherein the first selectable marker gene is the *hph* or *glpA* gene.
12. The method according to claim 11, wherein the second gene encodes a glyphosate resistant EPSPS enzyme.
13. The method according to claim 10, wherein the second gene is either the *bar* gene or the *pat* gene.
14. The method according to claim 9, wherein the second selectable marker gene is other than a herbicide resistance-conferring gene.
15. The method according to claim 14, wherein the first selectable marker gene is a glyphosate resistance-conferring gene and the second gene encodes a protein that enhances the glyphosate resistance of a plant cell whose plastids are transformed with and express both genes.
16. The method according to claim 15, wherein the first selectable marker gene is *hph* or *glpA* and the second gene is *glpB*.
17. The method according to claim 9, wherein the nucleic acid further comprises a third gene.
18. The method according to claim 17, wherein the first gene is a glyphosate resistance conferring gene.
19. The method according to claim 18, wherein the glyphosate resistance conferring gene is *hph* or *glpA*.

20. The method according to claim 19, wherein the glyphosate resistance conferring gene is *hph* or *glpA*, the second gene is *glpB*, and the third gene is the *bar* or *pat* gene.
21. The method according to claim 1, wherein the plant cell is a non-photosynthetic cell.
22. The method according to claim 4, wherein the plant cell is a non-photosynthetic cell.
23. The method according to claim 5, wherein the plant cell is a non-photosynthetic cell.
24. The method according to claim 9, wherein the plant cell is a non-photosynthetic cell.
25. The method according to claim 11, wherein the plant cell is a non-photosynthetic cell.
26. The method according to claim 16, wherein the plant cell is a non-photosynthetic cell.
27. The method according to claim 17, wherein the plant cell is a non-photosynthetic cell.
28. The method according to claim 19, wherein the plant cell is a non-photosynthetic cell.
29. The method according to claim 1, wherein the plant cell is a monocot plant cell.
30. The method according to claim 4, wherein the plant cell is a monocot plant cell.
31. The method according to claim 5, wherein the plant cell is a monocot plant cell.
32. The method according to claim 9, wherein the plant cell is a monocot plant cell.
33. The method according to claim 11, wherein the plant cell is a monocot plant cell.
34. The method according to claim 16, wherein the plant cell is a monocot plant cell.
35. The method according to claim 17, wherein the plant cell is a monocot plant cell.
36. The method according to claim 19, wherein the plant cell is a monocot plant cell.

37. The method according to any one of claims 21 to 28, wherein the plant cell is a monocot plant cell.
38. The method according to any one of claims 29 to 36, wherein the monocot is selected from the group consisting of wheat, rice, maize, and turfgrass.
39. The method according to claim 37, wherein the monocot is selected from the group consisting of wheat, rice, maize, and turfgrass.
40. The method according to claim 1, wherein said transforming comprises introduction of a first vector and a second vector into the plastid, wherein
- a) the first vector comprises an herbicide resistance-conferring selectable marker gene whose expression product is capable of inactivating an herbicide, but which vector does not comprise one or a plurality of nucleic acid sequences required for recombination into the plastid or proplastid genome, required for expression of the selectable marker gene, or both,
  - b) the second vector comprises the nucleic acid sequence or sequences not present in the first vector that are required for recombination into the plastid or proplastid genome, required for expression of the selectable marker gene, or both,
- and wherein the first vector, the second vector, and the plastid genome together are capable of recombining through a series of recombination events to produce a plastid genome transformed with the herbicide resistance-conferring selectable marker gene.
41. The method according to claim 40, wherein the herbicide resistance-conferring selectable marker gene is the *glpA* gene.
42. The method according to claim 40, wherein the herbicide resistance-conferring selectable marker gene is the *bar* gene.

43. The method according to claim 41 or 42, wherein the plant cell is a non-photosynthetic cell.
44. The method according to claim 43, wherein the plant cell is a monocot plant cell.
45. The method according to claim 44, wherein the monocot is selected from the group consisting of wheat, rice, maize, and turfgrass.
46. A multicellular plant tissue resistant to an herbicide, the plant tissue comprising a plurality of cells having plastids, proplastids, or both whose genome comprise a first herbicide-resistance-conferring selectable marker gene, wherein the first selectable marker gene encodes a protein that inactivates the herbicide, thereby eliminating the toxicity of the herbicide to the cells, and which gene is expressed at levels that result in the plant tissue surviving contact with the minimal amount of the herbicide that would kill an untransformed plant tissue of the same species.
47. A method of transforming a plastid or proplastid of a plant cell, the method comprising simultaneously introducing two plasmids into the plastid or proplastid.
48. The method according to claim 47, wherein one of the plasmids comprises an herbicide resistance conferring selectable marker gene.
49. The method according to claim 48, wherein the herbicide resistance conferring selectable marker gene is the *hph* or *gfpA* gene.
50. The multicellular plant tissue according to claim 46, wherein the herbicide is glyphosate.
51. The multicellular plant tissue according to claim 46, wherein the herbicide is glufosinate.
52. The multicellular plant tissue according to claim 50, wherein the first glyphosate resistance selectable marker gene is the *hph* gene.

53. The multicellular plant tissue according to claim 50, wherein the first glyphosate resistance selectable marker gene is the *gipA* gene.
54. The multicellular plant tissue according to claim 51, wherein the first glufosinate resistance selectable marker gene is the *bar* gene.
55. The multicellular plant tissue according to claim 51, wherein the first glufosinate resistance selectable marker gene is the *pat* gene.
56. The multicellular plant tissue according to claim 46, wherein the nucleic acid comprises a plurality of genes.
57. The multicellular plant tissue according to claim 56, wherein the nucleic acid comprises a second gene.
58. The multicellular plant tissue according to claim 57, wherein the first herbicide resistance-conferring gene is a glyphosate resistance-conferring selectable marker gene and the second gene is a different herbicide resistance-conferring selectable marker gene.
59. The multicellular plant tissue according to claim 58, wherein the first selectable marker gene is the *hph* or *gipA* gene.
60. The multicellular plant tissue according to claim 59, wherein the second gene encodes a mutant EPSPS enzyme.
61. The multicellular plant tissue according to claim 58, wherein the second gene is either the *bar* gene or the *pat* gene.
62. The multicellular plant tissue according to claim 57, wherein the second selectable marker gene is other than a herbicide-resistance-conferring gene.

63. The multicellular plant tissue according to claim 62, wherein the first selectable marker gene is a glyphosate resistance-conferring gene and the second gene encodes a protein that enhances the glyphosate resistance of a plant cell whose plastids are transformed with and express both genes.
64. The multicellular plant tissue according to claim 63, wherein the first selectable marker gene is *hph* or *glpA* and the second gene is *glpB*.
65. The multicellular plant tissue according to claim 57, wherein the nucleic acid further comprises a third gene.
66. The multicellular plant tissue according to claim 65, wherein the first gene is a glyphosate resistance conferring gene.
67. The multicellular plant tissue according to claim 66, wherein the glyphosate resistance conferring gene is *hph* or *glpA*.
68. The multicellular plant tissue according to claim 67, wherein the glyphosate resistance conferring gene is *hph* or *glpA*, the second gene is *glpB*, and the third gene is the *bar* or *pat* gene.
69. The multicellular plant tissue according to claim 46, wherein the plant cell is a non-photosynthetic cell.
70. The multicellular plant tissue according to claim 52, wherein the plant cell is a non-photosynthetic cell.
71. The multicellular plant tissue according to claim 53, wherein the plant cell is a non-photosynthetic cell.

72. The multicellular plant tissue according to claim 57, wherein the plant cell is a non-photosynthetic cell.
73. The multicellular plant tissue according to claim 59, wherein the plant cell is a non-photosynthetic cell.
74. The multicellular plant tissue according to claim 64, wherein the plant cell is a non-photosynthetic cell.
75. The multicellular plant tissue according to claim 65, wherein the plant cell is a non-photosynthetic cell.
76. The multicellular plant tissue according to claim 67, wherein the plant cell is a non-photosynthetic cell.
77. The multicellular plant tissue according to claim 46, wherein the plant cell is a monocot plant cell.
78. The multicellular plant tissue according to claim 52, wherein the plant cell is a monocot plant cell.
79. The multicellular plant tissue according to claim 53, wherein the plant cell is a monocot plant cell.
80. The multicellular plant tissue according to claim 57, wherein the plant cell is a monocot plant cell.
81. The multicellular plant tissue according to claim 59, wherein the plant cell is a monocot plant cell.
82. The multicellular plant tissue according to claim 64, wherein the plant cell is a monocot plant cell.

83. The multicellular plant tissue according to claim 65, wherein the plant cell is a monocot plant cell.
84. The multicellular plant tissue according to claim 67, wherein the plant cell is a monocot plant cell.
85. The multicellular plant tissue according to any one of claims 69 to 76, wherein the plant cell is a monocot plant cell.
86. The multicellular plant tissue according to any one of claims 77 to 84, wherein the monocot is selected from the group consisting of wheat, rice, maize, and turfgrass.
87. The multicellular plant tissue according to claim 85, wherein the monocot is selected from the group consisting of wheat, rice, maize, and turfgrass.
88. A nucleic acid comprising:
- a) an herbicide resistance-conferring selectable marker gene whose expression product inactivates an herbicide, and
  - b) flanking sequences homologous to regions of the plastid genome, which flanking sequences enable the nucleic acid to integrate by recombination into the plastid genome.
89. The nucleic acid according to claim 88, wherein the herbicide resistance-conferring selectable marker gene is a glyphosate resistance-conferring gene.
90. The nucleic acid according to claim 89, wherein the glyphosate resistance-conferring gene is the *hph* or *gfpA* gene.
91. The nucleic acid according to claim 90, wherein the plastid promoter is the *rrn* promoter.

92. The nucleic acid according to claim 90, wherein the flanking regions are homologous to the plastid genome inverted repeat region.
93. The nucleic acid according to claim 88, wherein the nucleic acid comprises a plurality of genes.
94. The nucleic acid according to claim 93, wherein the nucleic acid comprises a second gene.
95. The nucleic acid according to claim 94, wherein the first herbicide resistance-conferring gene is a glyphosate resistance-conferring selectable marker gene and the second gene is a different herbicide resistance-conferring selectable marker gene.
96. The nucleic acid according to claim 95, wherein the first selectable marker gene is the *hph* or *gfpA* gene.
97. The nucleic acid according to claim 96, wherein the second gene encodes a mutant EPSPS enzyme.
98. The nucleic acid according to claim 95, wherein the second gene is either the *bar* gene or the *pat* gene.
99. The nucleic acid according to claim 94, wherein the second selectable marker gene is other than a herbicide-resistance-conferring gene.
100. The nucleic acid according to claim 99, wherein the first selectable marker gene is a glyphosate resistance-conferring gene and the second gene encodes a protein that enhances the glyphosate resistance of a plant cell whose plastids are transformed with and express both genes.
101. The nucleic acid according to claim 100, wherein the first selectable marker gene is *hph* or *gfpA* and the second gene is *gfpB*.

102. The nucleic acid according to claim 94, wherein the nucleic acid further comprises a third gene.
103. The nucleic acid according to claim 102 wherein the first gene is a glyphosate resistance conferring gene.
104. The nucleic acid according to claim 103, wherein the glyphosate resistance conferring gene is *hph* or *glpA*.
105. The nucleic acid according to claim 104, wherein the glyphosate resistance conferring gene is *hph* or *glpA*, the second gene is *glpB*, and the third gene is the *bar* or *pat* gene.
106. The nucleic acid according to and one of claims 94 to 105, wherein the plastid promoter is the *rrn* promoter.
107. The nucleic acid according to and one of claims 94 to 106, wherein the flanking regions are homologous to the plastid genome inverted repeat region.
108. A composition of two vectors, wherein
- a) the first vector comprises an herbicide resistance-conferring selectable marker gene whose expression product is capable of inactivating an herbicide, but which vector does not comprise one or a plurality of nucleic acid sequences required for recombination into the plastid or proplastid genome, required for expression of the selectable marker gene, or both, and
  - b) the second vector comprises the nucleic acid sequence or sequences not present in the first vector that are required for recombination into the plastid or proplastid genome, required for expression of the selectable marker gene, or both,
- such that when the composition is introduced into the plastid, the first and second vector, together with the plastid genome can recombine to yield a transformed plastid genome

capable of expressing the herbicide resistance-conferring selectable marker gene at levels sufficient to confer herbicide resistance to amount of the herbicide that would kill an untransformed cell of the same species.

109. The composition according to claim 108, wherein the herbicide resistance-conferring selectable marker gene is the *glpA* gene.
110. The composition according to claim 108, wherein the herbicide resistance-conferring selectable marker gene is the *bar* gene.
111. The composition according to claim 109 or 110, wherein the plant cell is a non-photosynthetic cell.
112. The composition according to claim 111, wherein the plant cell is a monocot plant cell.
113. The composition according to claim 112, wherein the monocot is selected from the group consisting of wheat, rice, maize, and turfgrass.
114. A method of producing transformed non-photosynthetic plant cells, the method comprising transforming a non-photosynthetic plant cell with a nucleic acid comprising the *aadA* gene, wherein the *aadA* gene is expressed at levels that result in the plant cell surviving contact with the minimal amount of spectinomycin that would kill an untransformed plant cell of the same species.
115. The method according to claim 114, wherein the nucleic acid comprises a plurality of genes.
116. The method according to claim 114, wherein the nucleic acid further comprises a second gene.
117. The method according to claim 115, wherein the second gene is a glyphosate resistance-conferring gene.

118. The method according to claim 117, wherein the second gene is the *hph* or *gfpA* gene.
119. The method according to any one of claims 107 to 118, wherein the plant cell is a monocot plant cell.
120. The method according to any one of claims 119, wherein the monocot is selected from the group consisting of wheat, rice, maize, and turfgrass.
121. A multicellular plant tissue comprising a plurality of non-photosynthetic cells transformed with a nucleic acid comprising the *aadA* gene, wherein the *aadA* gene is expressed at levels that result in the plant cell surviving contact with the minimal amount of spectinomycin that would kill an untransformed plant cell of the same species.
122. The multicellular plant tissue according to claim 121, wherein the nucleic acid comprises a plurality of genes.
123. The multicellular plant tissue according to claim 121, wherein the nucleic acid further comprises a second gene.
124. The multicellular plant tissue according to claim 122, wherein the second gene is a glyphosate resistance-conferring gene.
125. The multicellular plant tissue according to claim 124, wherein the second gene is the *hph* or *gfpA* gene.
126. The method according to any one of claims 121 to 125, wherein the plant cell is a monocot plant cell.
127. The method according to any one of claims 126, wherein the monocot is selected from the group consisting of wheat, rice, maize, and turfgrass.

FIG. 1

-116 GCGGCCGCAATGTGAGTTTTTGTAGTTGGATTTGCTCCCCCGCCGTCGTTCAATGAGAATGGATAA  
-35 -10 \*\*  
-50 GAGGCTCGTGGGATTGACGTGAGGGGGCAGGGATGGCTATAATTCTGGGAGCGAACTCCGGGCGAA  
RBS  
TATGAAGCGCATCGATACAAGTGAGTTGTAGGGAGGGAACCATGG

FIG. 2

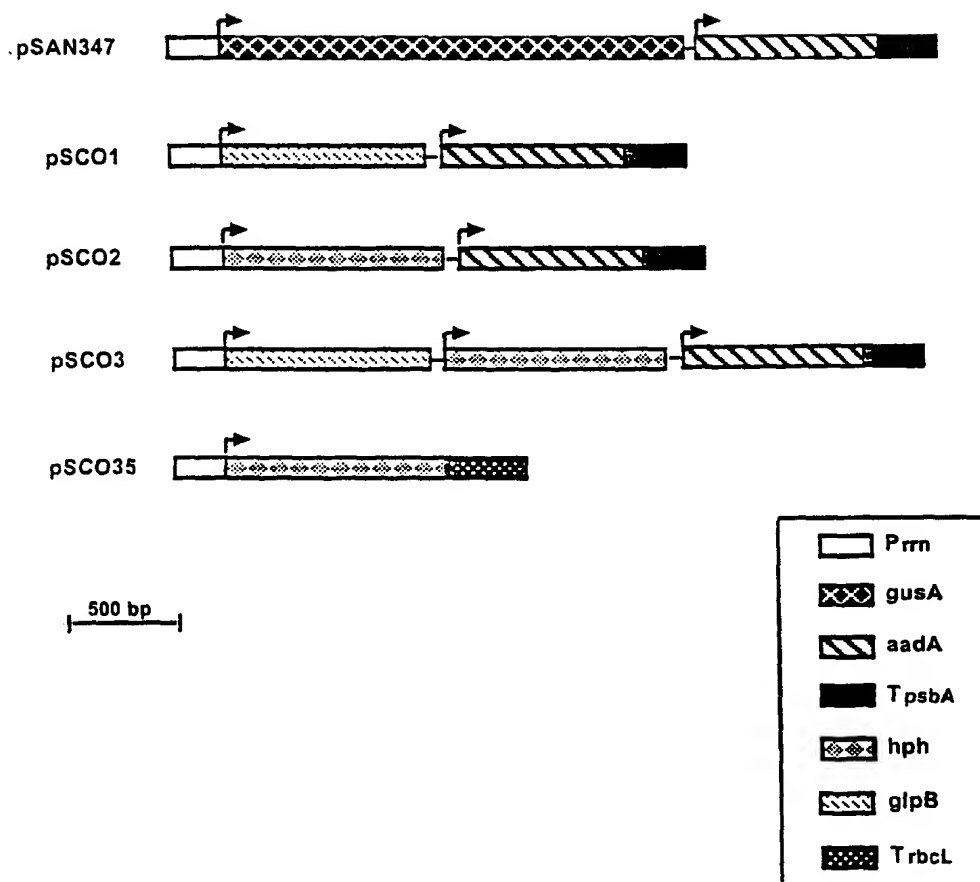
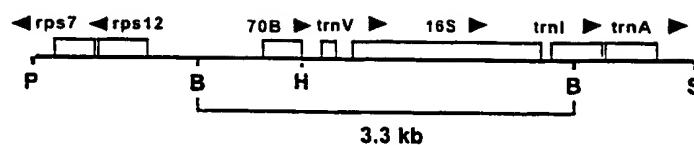
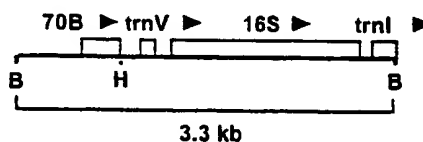
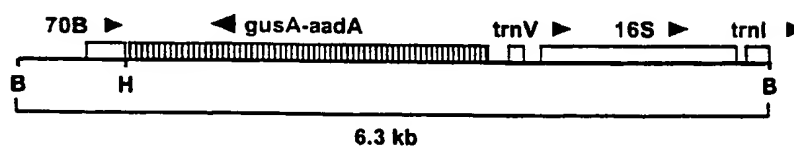
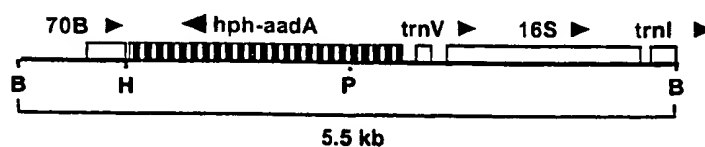
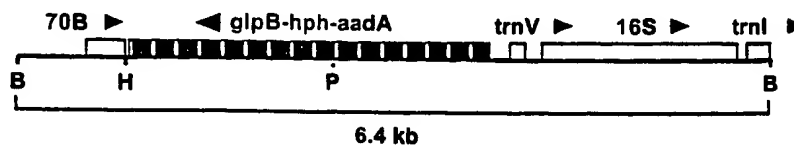


FIG. 3

**A** pSAN347 plastid targeting DNA**B** pSCO1-pSCO3 plastid targeting DNA**C** pSAN347 transgenic chromosome**D** pSCO2 transgenic chromosome**E** pSCO3 transgenic chromosome

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FIG. 4A

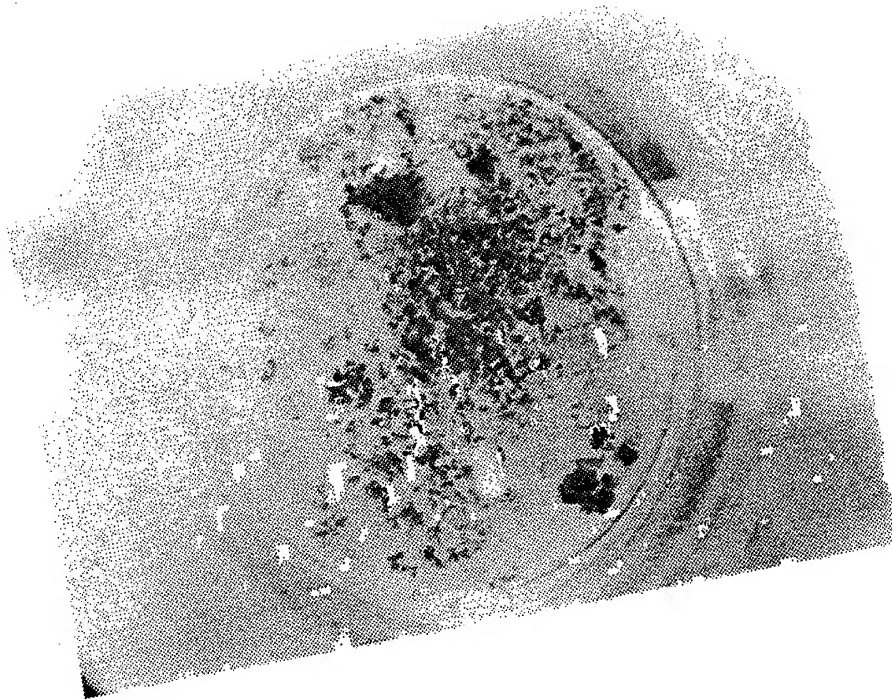


FIG. 4B

Sample	Reporter Activity <sup>a</sup>
Control	7
pSAN347	$1.23 \times 10^4$
pBI426	$0.44 \times 10^4$

<sup>a</sup> nmoles/mg protein/hr

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SUBSTITUTE SHEET (RULE 26)

FIG. 5

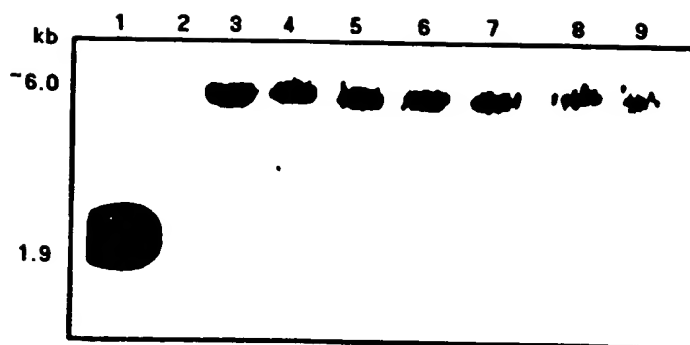


FIG. 6

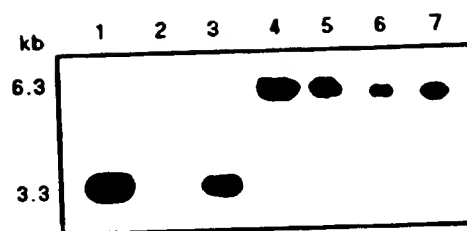


FIG. 7

Sample	Phosphotransferase activity <sup>a</sup> (x 10 <sup>-3</sup> )
NT1 Control	0.62
pSCO2-1/NT1	4.48
pSCO2-2/NT1	17.84
pSCO2-3/NT1	10.50
pSCO3-1/NT1	8.19
pSCO3-2/NT1	8.95
pSCO3-3/NT1	19.31
NT-R <sup>b</sup> Control	0.60
pSCO2-1/NT-R	14.31
pSCO3-1/NT-R	3.04
pSCO3-2/NT-R	6.53
pSCO3-3/NT-R	4.86

<sup>a</sup> cpm/mg protein<sup>b</sup> regenerable, photosynthetically-active callus

FIG. 8

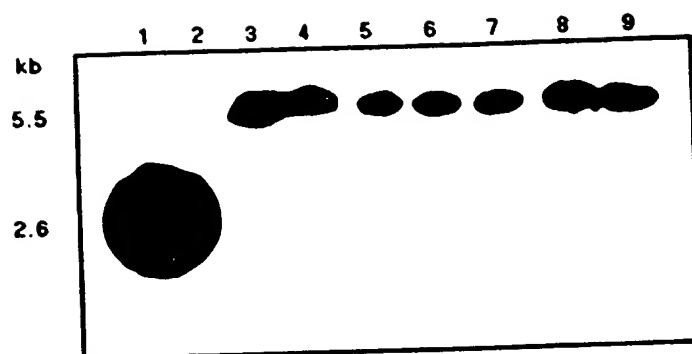


FIG. 9

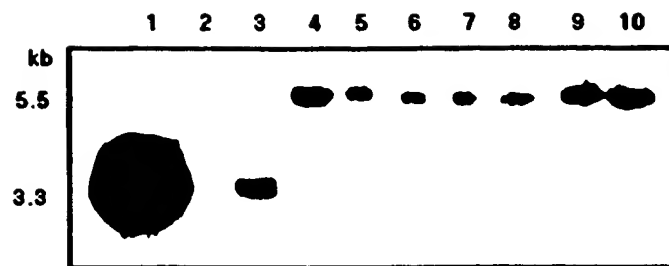


FIG. 10

pep

Nt 16S TTGACGTGAGGGGGCAGGGATGGCTATATTTCTGGGAGCGAACTCCGGGCGAATA---TGAAGC  
 Sa 16S TTGACGTGAGGGGGTAGGGGTAGCTATATTTCTGGGAGCGAACTCCATGCGAATA---TGAAGC  
 Gm 16S TTACAC-GAGGGG-TGGGGGG-GCTATATTTCTGGGAGCGAACTCCAGTCGAATA---TGAAGC  
 So 16S TTGACGTGAGGGGGTAGGGATGGCTATATTTCTGGGAGCGAACTCCAGGCGAATA---TGAAGC  
 Zm 16S TTGACGTGATAGGGTAGGGTTGGCTATACTGCTGGTGGCGAACTCCAGGCTAATAATCTGAAGC  
 Prrn TTGACGTGAGGGGGCAGGGATGGCTATAATTCTGGGAGCGAACTCCGGGCGAATA---TGAAGC

nep

Nt 16S GCATGGATACAAGTTATGCCTTGGAATGAAAGACAAT  
 Sa 16S GCATGGATACAAGTTATGACTTGGAATGAAAGACAAT  
 Gm 16S GCCTGGATACAAGTTATGCCTTGGAATGGAAGAGAAT  
 So 16S GCATGGATACAAGTTATGCCTTGGAATGAAAGACAAT  
 Zm 16S GCATGGATACAAGTTAT-CCTTGGAAGGAAAGACAAT  
 Prrn GCATCGATACAAGTGAGTTGTAGGGAGGGAACCATGG

consensus nep promoter:

...  
 ATAGAATAAA

FIG. 11

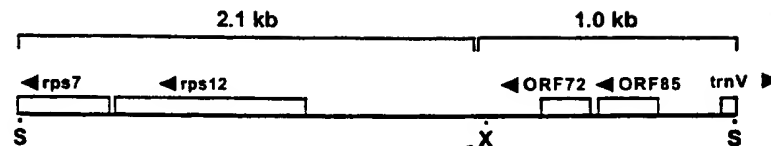
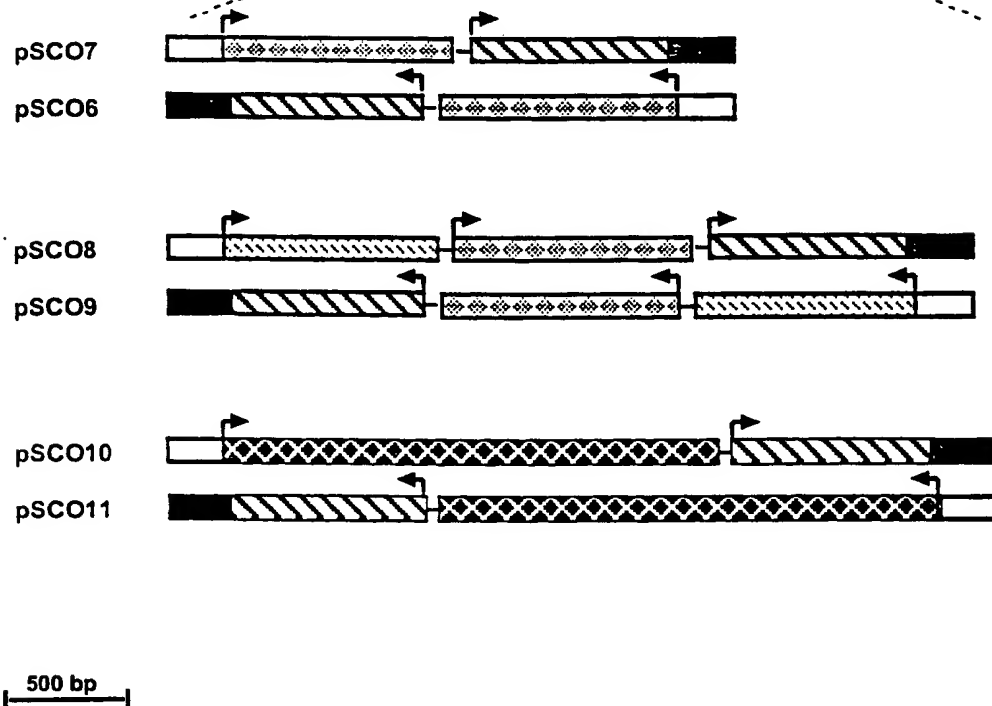
**A** Bentgrass plastid targeting DNA in pSCO5**B** Bentgrass plastid expression vectors

FIG. 12

Sample	Phosphotransferase activity <sup>a</sup> (x 10 <sup>-3</sup> )
Tobacco Control (untrans.)	0.85
pSCO2-1	14.74
pSCO2-2	16.54
pSCO3-1	20.78
pSCO3-2	15.81
pSCO3-3	19.19

<sup>a</sup> cpm/mg protein

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SUBSTITUTE SHEET ( rule 26 )

FIG. 13

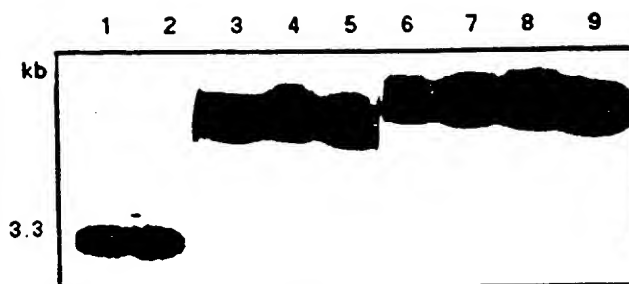


FIG. 14A

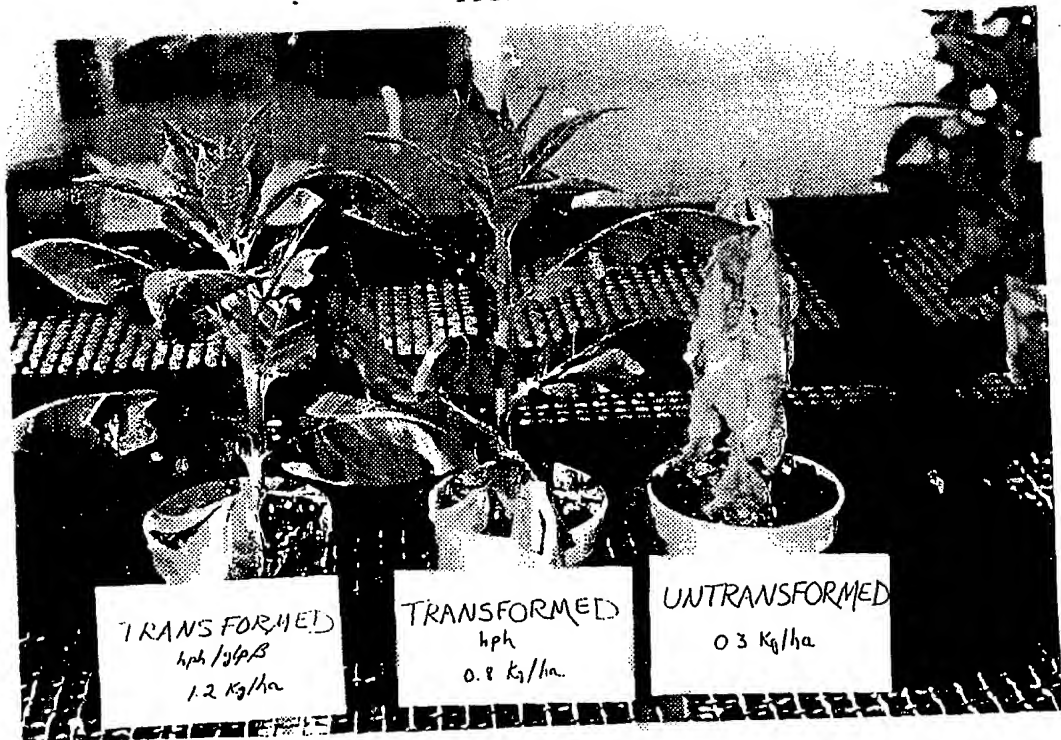


FIG. 14B



FIG. 15

Sample	Phosphotransferase activity <sup>a</sup> (x 10 <sup>-3</sup> )
BMS Control (untrans.)	0.86
pSCO6-1	13.33
pSCO6-2	15.26
pSCO6-3	5.45
pSCO6-4	68.23
pSCO6-5	6.25

<sup>a</sup> cpm/mg protein

FIG. 16

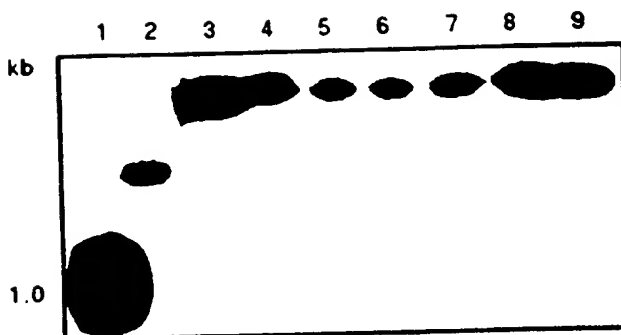


FIG. 17

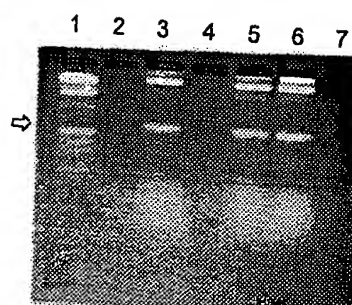


FIG. 18

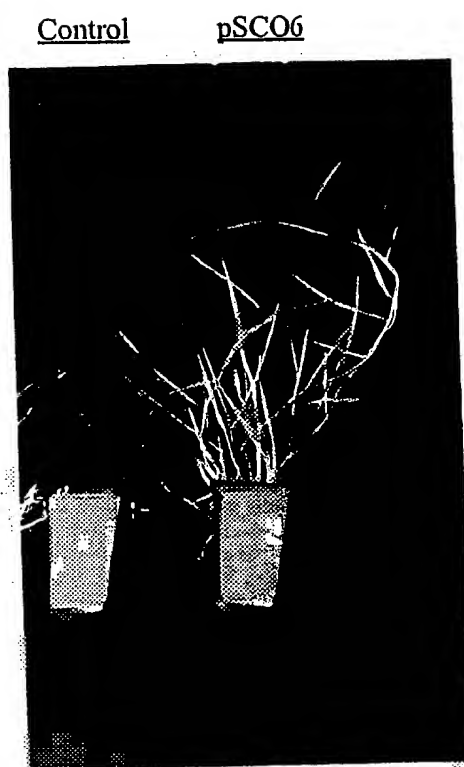


FIG. 19

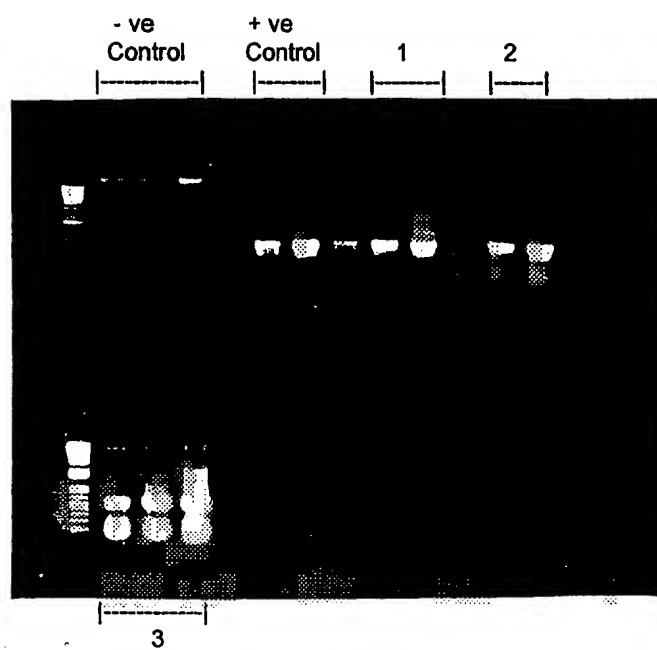


FIG. 20

Sample	Phosphotransferase activity <sup>a</sup> (x 10 <sup>-3</sup> )
Avocado Control (untrans.)	0.85
pSCO2-1	2.54
pSCO2-2	4.74
pSCO2-3	4.11
pSCO2-4	31.14
pSCO2-5	24.82
Papaya Control (untrans.)	0.86
pSCO2-1	16.85
pSCO2-2	14.64

<sup>a</sup> cpm/mg protein

FIG. 21

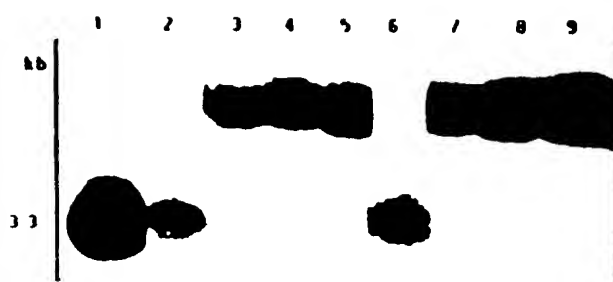


FIG. 22

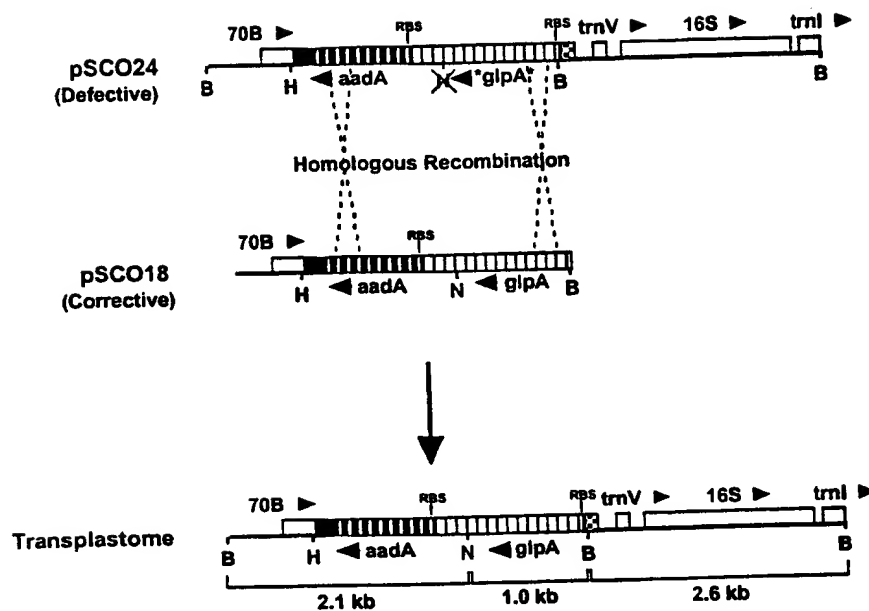


FIG. 23

Sample	Phosphotransferase activity <sup>a</sup> (x 10 <sup>-3</sup> )
NT1 Control (untrans.)	0.65
pSCO24/pSCO18-1	5.30
pSCO24/pSCO18-2	3.88
pSCO24/pSCO18-3	7.77
pSCO24/pSCO18-4	2.85

<sup>a</sup> cpm/mg protein

FIG. 24A

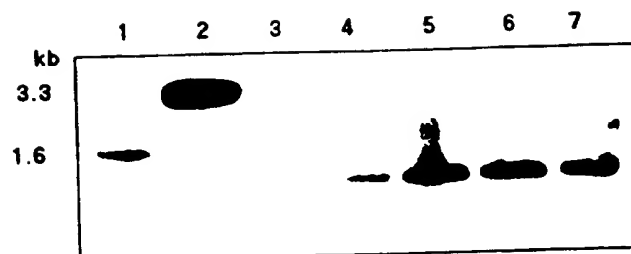


FIG. 24B

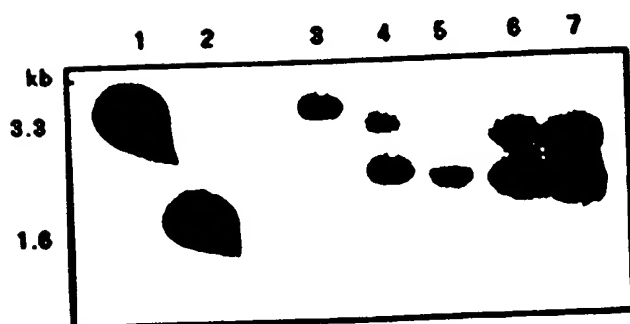
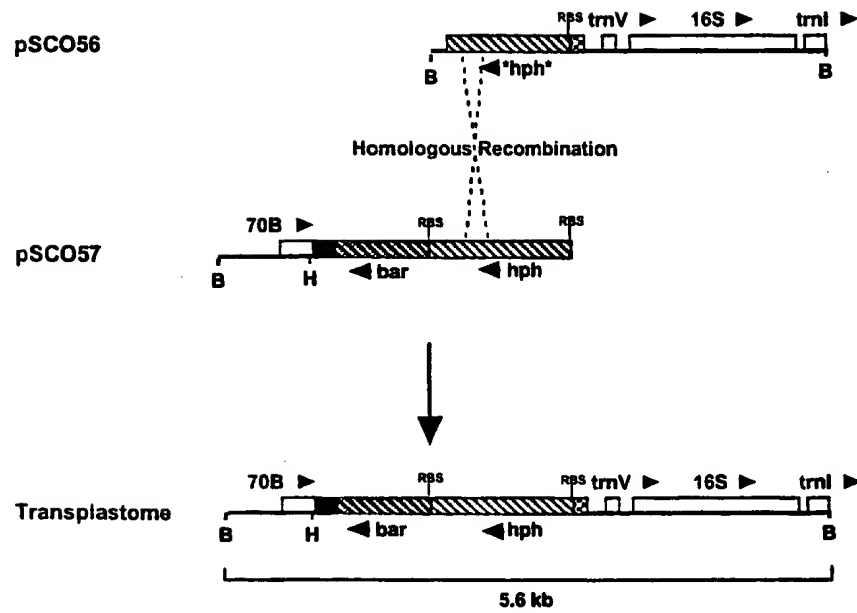


FIG. 25



## SEQUENCE LISTING

- <110> Sandford Ph.D., John  
 Blowers Ph.D., Alan D.  
 Bailey Ph.D., Ana Maria  
 Sanford Scientific, Inc.  
 Centro De Investigacion y De Estudios Avanzados De
- <120> IMPROVED PLASTID TRANSFORMATION OF HIGHER PLANTS AND  
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ribosomal RNA operon and the 16S rRNA  
transcription initiation site

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## INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

<b>(51) International Patent Classification <sup>6</sup> :</b> <b>C12N 15/82, 15/52, 5/04, 15/05,</b> <b>A01H 1/00</b>	<b>A3</b>	<b>(11) International Publication Number:</b> <b>WO 99/05265</b>  <b>(43) International Publication Date:</b> 4 February 1999 (04.02.99)
<b>(21) International Application Number:</b> PCT/US98/15289 <b>(22) International Filing Date:</b> 23 July 1998 (23.07.98) <b>(30) Priority Data:</b> 08/899,061 23 July 1997 (23.07.97) US <b>(63) Related by Continuation (CON) or Continuation-in-Part (CIP) to Earlier Application</b> US 08/899,061 (CIP) Filed on 23 July 1997 (23.07.97) <b>(71) Applicants (for all designated States except US):</b> SANFORD SCIENTIFIC, INC. [US/US]; 877 Marshall Road, Waterloo, NY 13165 (US). CENTRO DE INVESTIGACION Y DE ESTUDIOS AVANZADOS DEL I.P.N. [MX/MX]; Km 9.6 del Libramiento Norte Carretera Irapuato-Leon, Irapuato, Guajanto (MX). <b>(72) Inventors; and</b> <b>(75) Inventors/Applicants (for US only):</b> BLOWERS, Alan, D. [US/US]; 4 N. 589 Arrowhead Drive, St. Charles, IL 60105 (US). SANFORD, John [US/US]; 43 Sunset Drive, Geneva, NY 14456 (US). BAILEY, Ana, Maria [MX/MX]; Mirador		Bel Balle, 1068-7 Villas De Irapuato, Irapuato, Guajanto, Mexico 36620 (MX). <b>(74) Agent:</b> GREENFIELD, Michael, S.; McDonnell Boehnen Hulbert and Berghoff, Suite 3200, 300 South Wacker Drive, Chicago, IL 60606 (US). <b>(81) Designated States:</b> AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, CA, CH, CN, CU, CZ, DE, DK, EE, ES, FI, GB, GE, GH, GM, HU, ID, IL, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MD, MG, MK, MN, MW, MX, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TR, TT, UA, UG, US, UZ, VN, YU, ZW, ARIPO patent (GH, GM, KE, LS, MW, SD, SZ, UG, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GW, ML, MR, NE, SN, TD, TG). <b>Published</b> <i>With international search report.</i> <i>Before the expiration of the time limit for amending the claims and to be republished in the event of the receipt of amendments.</i> <b>(88) Date of publication of the international search report:</b> 20 May 1999 (20.05.99)
<b>(54) Title:</b> IMPROVED PLASTID TRANSFORMATION OF HIGHER PLANTS AND PRODUCTION OF TRANSGENIC PLANTS WITH HERBICIDE RESISTANCE <b>(57) Abstract</b> <p>The present invention provides a method of producing a herbicide-resistant plant, which method entails delivering one or more herbicide resistance-conferring selectable marker genes into and expressing the same within the plastid of the plant, both in photosynthetic as well as non-photosynthetic cells. Nucleic acids for transformation and multicellular plants whose plastids have been transformed are also provided.</p>		

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# INTERNATIONAL SEARCH REPORT

International Application No  
PCT/US 98/15289

**A. CLASSIFICATION OF SUBJECT MATTER**

IPC 6 C12N15/82 C12N15/52 C12N5/04 C12N15/05 A01H1/00

According to International Patent Classification (IPC) or to both national classification and IPC

**B. FIELDS SEARCHED**

Minimum documentation searched (classification system followed by classification symbols)

IPC 6 C12N A01H

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

**C. DOCUMENTS CONSIDERED TO BE RELEVANT**

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	US 5 451 513 A (MALIGA PAL ET AL) 19 September 1995 cited in the application	1,21,29, 40,46, 48,60, 69,77 114,121
A	see the whole document and specially see column 4, line 42 - column 5, line 11 see column 8, line 50-65 see column 9, line 55 - column 10, line 5 see column 14; example 3; tables 1-3 --- -/--	



Further documents are listed in the continuation of box C.



Patent family members are listed in annex.

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Date of the actual completion of the international search

11 March 1999

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# INTERNATIONAL SEARCH REPORT

International Application No  
PCT/US 98/15289

C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT		
Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	<p>EP 0 223 247 A (CIBA GEIGY AG) 27 May 1987</p> <p>see the whole document and specially see abstract see page 2, column 1, line 1 - column 3, line 32 see page 4, column 6, line 1 - page 5, column 7, line 22; examples 7,10</p>	<p>1,9,46, 56,57, 69,77,88</p>
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Int. l. Application No  
PCT/US 98/15289

C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT		
Category	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	D'HALLUIN K ET AL: "TRANSFORMATION OF SUGARBEET (BETA VULGARIS L.) AND EVALUATION OF HERBICIDE RESISTANCE IN TRANSGENIC PLANTS" BIO/TECHNOLOGY, vol. 10, March 1992, pages 309-314, XP002056296 see the whole document ---	1,3,6,8, 9,13,14, 46,51, 54,56, 57,88, 98,99
A	ZORA SVAB ET AL: "STABLE TRANSFORMATION OF PLASTIDS IN HIGHER PLANTS" PROCEEDINGS OF THE NATIONAL ACADEMY OF SCIENCES OF USA, vol. 87, no. 21, 1 November 1990, pages 8526-8530, XP000164753 cited in the application see the whole document ---	1,46,91, 106,114, 121
A	RATHORE K S ET AL: "USE OF BAR AS A SELECTABLE MARKER GENE AND FOR THE PRODUCTION OF HERBICIDE-RESISTANT RICE PLANTS FROM PROTOPLASTS" PLANT MOLECULAR BIOLOGY, vol. 21, no. 5, March 1993, pages 871-884, XP002064546 see abstract ---	1,3,6,8, 9,14,29, 38,46, 51,54, 56-58, 61,77,86
P,X	WO 98 02562 A (PELISSIER BERNARD ;DEROSE RICHARD (FR); SAILLAND ALAIN (FR); RHONE) 22 January 1998 see abstract see page 1, line 31 - page 5, line 38; example 9 ---	1
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Int. l. Application No  
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**C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT**

Category	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
P,X	US 5 693 507 A (DANIELL HENRY ET AL) 2 December 1997 cited in the application  see abstract see column 6, line 50 - column 7, line 63 see column 9, line 32-52; examples I-IV ---	1,2,8,9, 12,14, 15,46, 48,50, 56-58, 60,62, 88,89, 91-95, 97,99, 106,107
P,X	WO 97 32977 A (UNIV RUTGERS ;MALIGA PAL (US); SIKDAR SAMIR R (IN); REDDY SIVA VAN) 12 September 1997 see abstract see page 1, line 14 - page 2, line 26 see page 10, line 5-32; examples I-III -----	1, 114-116, 121-123

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Information on patent family members

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